

No. IR-27/2/2025-IR-DOP  
Notice Inviting Tender (NIT)

for

**Deployment of Smart Lock Systems to Strengthen Mail Security and  
Service Quality**

of

**Department of Posts  
Ministry of Communications  
Government of India**

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## **Section I: Notice Inviting Tender (NIT)**

### **1. Notice Inviting Tender (NIT)**

The President of India, through the DDG (International Relations & Global Business) of the International Relations and Global Business Division in Department of Posts, Ministry of Communications, Government of India (hereinafter referred to as 'the Head of Procurement', 'the Procuring Entity' and 'the Procuring Organisation' respectively), invites proposals (hereinafter referred as the 'bid(s)') for entering into a contract for the delivery of Goods and Non-consultancy Services (hereinafter referred to as 'the Goods and Services'). This Tender Document, reference number, No. IR-27/2/2025-IR-DOP (hereinafter referred to as 'the Tender Document'), gives further details.

### **2. The Tender Document**

#### **2.1. Bidders must read the complete 'Tender Document'.**

This NIT is an integral part of the Tender Document and serves a limited purpose of invitation, and does not purport to contain all relevant details for submission of bids. 'Tender Information Summary' (TIS) appended to this notice gives a salient summary of the tender information. Any generic reference to NIT shall also imply a reference to TIS as well. However, Bidders must go through the complete Tender Document for details before submission of their Bids.

#### **2.2. Availability of the Tender Document**

The Tender Document shall be published on the Portal. It shall be available for download after the date and time of the start of availability till the deadline for availability as mentioned in TIS. Unless otherwise stipulated in TIS, the downloaded Tender Document is free of cost. If the Procuring Entity happens to be closed on the deadline for submitting the bids as specified above, this deadline shall not be extended. Any query/ clarification regarding downloading Tender Documents and uploading Bids on the e-Procurement portal may be addressed to the Help Desk (contact details given in TIS).

#### **2.3. Clarifications**

A Bidder requiring any clarification regarding the Tender Document may ask questions in writing/ electronically from Office/ Contact Person as mentioned in TIS, provided the questions are raised before the clarification end date mentioned in TIS. This **deadline** shall not be extended in case of any intervening holidays.

### **3. Eligibility Criteria for Participation in this Tender**

Subject to provisions in the Tender Document, participation in this Tender Process is open to all bidders who fulfil the 'Eligibility' and 'Qualification criteria. Bidder should meet the following eligibility criteria as of the date of his bid submission and should continue to meet these till the award of the contract. Bidder shall be required to declare fulfilment of Eligibility Criteria in Form 1.2 (Eligibility Declarations). Bidder unless otherwise stipulated in TIS/ AITB:

1) must be:

- a) a natural person, private entity, public entity (State-owned enterprise or institution),

- b) Not be or proposes to be, a Joint Venture/ Consortium (an association of several persons, firms, or companies - hereinafter referred to as JV/C).
- c) a provider of the Goods and Non-consultancy Services offered with valid registration regarding GSTIN, PAN, ITD, EPF, ESI, Labour, etc. as applicable to the subject Goods and Services.

2) must:

(a) not be insolvent, in receivership, bankrupt or being wound up, not have its affairs administered by a court or a judicial officer, not have its business activities suspended and must not be the subject of legal proceedings for any of aforesaid reasons.

(b) (Including their affiliates or subsidiaries or Contractors/ subcontractors for any part of the contract):

i) Not stand declared ineligible/ blacklisted/ banned/ debarred by the Department of Posts from participation in its Tender Processes; and/ or

ii) Not be convicted (within three years preceding the last date of bid submission) or stand declared ineligible/ suspended/ blacklisted/ banned/ debarred by appropriate agencies of Government of India from participation in Tender Processes of all of its entities, for

- offences involving moral turpitude in business dealings under the Prevention of Corruption Act, 1988 or any other law; and/or.
- offences under the Indian Penal Code or any other law for causing any loss of life/ limbs/ property or endangering Public Health during the execution of a public procurement contract and/ or.
- suspected to be or of doubtful loyalty to the Country or a National Security risk as determined by appropriate agencies of the Government of India.

iii) Not have changed its name or created a new "Allied Firm", consequent to having declared ineligible/ suspended/ blacklisted/ banned/ debarred as above.

iv) Not have an association (as a bidder/ partner/ director/ employee in any capacity)

- of any retired Manager (of Gazetted Rank) or any retired Gazetted Officer of the Central or State Government or its Public Sector Undertakings if such a retired person has not completed the cooling-off period of one year after his retirement. However, this shall not apply if such managers/ officers have obtained a waiver of the cooling-off period from their erstwhile organisation.
- of the near relations of executives of Procuring Entity involved in this Tender Process.

(c) Not have a conflict of interest, which substantially affects fair competition. The prices quoted should be competitive and without adopting any unfair/ unethical/ anti-competitive means. No attempt should be made to induce any other bidder to submit or not to submit an offer for restricting competition

3) must fulfil any other additional eligibility condition, if any, as may be prescribed, in Tender Document.

4) must provide such evidence of their continued eligibility to the Procuring Entity if so requested.

5) of Class I Local Supplier. Class-II Local Suppliers and Non-Local Suppliers (as defined in Make-in-India policy) shall not be eligible to participate in the Tender.

6) from specified countries having land borders with India (but not in development partnership with India) shall be eligible subject to certain conditions as detailed in the ITB-clause 3.3.

#### **4. Purchase Preference Policies of the Government**

As detailed in the Tender Document, the Procuring Entity reserves its right to grant preferences to eligible Bidders under various Government Policies/ directives (policies relating to Make in India; MSME; Start-ups etc.).

#### **5. Pre-bid Conference:**

Bidders are requested to attend a Pre-bid conference for clarification on the Tenders' technical specifications and commercial conditions, on the time, date, and place mentioned therein. Participation in such a Pre-bid Conference is not mandatory. If a bidder does not participate or submit any query, then no subsequent representations from them regarding the Technical/ commercial specifications/ conditions shall be entertained.

#### **6. Submission of Bids:**

1) Bids must be uploaded till the deadline for submission mentioned in TIS. If the office happens to be closed on the deadline to submit the bids as specified above, this deadline shall not be extended.

2) Originals (or self-attested copies of originals – as specified therein) of specified scanned uploaded documents must be physically submitted sealed in double cover and acknowledgement be obtained before the bid submission deadline at mentioned venue. Failure to do so is likely to result in the bid being rejected. If the office is closed on the deadline for physical submission of originals, it shall stand extended to the next working day at the same time and venue.

3) No manual Bids shall be made available or accepted for submission (except for originals of scanned copies as per sub-clause above). Bidder must comply with the conditions of the eProcurement portal, including registration, compatible Digital Signature Certificate (DSC) etc. In the case of downloaded documents, Bidder must not make any changes to the contents of the documents while uploading, except for filling in the required information.

4) Originals of the EMD/ Bid Security instrument must be physically submitted sealed in double cover and acknowledgement be obtained before the bid submission deadline at the venue mentioned in TIS. Failure to do so is likely to result in the bid being rejected. If the office is closed on the deadline for such physical submission, the physical submission deadline shall stand extended to the next working day at the same time and venue. However, bidder if exempted (in case of MSME or Start up) must submit, in lieu of bid security, Bid Securing Declaration (BSD) as 'Form 7A: Documents Relating To Bid Security' in their bid as per format given therein. The BSD shall be drawn in favour of

the authority stipulated in TIS. A self-attested scan of the original Form 7/Form 7A should be uploaded along with bids. Bids not complying with these provisions shall be rejected.

5) **Integrity Pact:** All Bidders shall have to sign the Integrity Pact with the Procuring Entity as per 'Form 8: Integrity Pact' and submit the same along with the bid. Bids without a signed Integrity Pact shall be rejected.

6) If a firm quotes NIL charges/ consideration, the bid shall be treated as unresponsive and will not be considered"

## 7. Bid Opening

Bids received shall be opened online at the specified date and time given in TIS. If the office is closed on the specified date of opening of the bids, the opening shall be done on the next working day at the same time.

## 8. Disclaimers and Rights of Procuring Entity

The issue of the Tender Document does not imply that the Procuring Entity is bound to select bid(s), and it reserves the right without assigning any reason to:

- a) reject any or all of the Bids, or
- b) cancel the tender process; or
- c) abandon the procurement of the Goods and Services; or
- d) issue another tender for identical or similar Goods and Services

**Note:** For further details, please refer to appended TIS and the complete Tender Document.

  
16/6/26  
(L K Dash)

**DDG (International Relations  
& Global Business)**  
ddgir@indiapost.gov.in  
Ph. No. 011-23096090

**Appendix: Tender Information Summary (TIS)**

## Appendix to NIT: Tender Information Summary

Tender Document No. IR-27/2/2025-IR-DOP

**Tender Title: Deployment of Smart Lock Systems to Strengthen Mail Security and Service Quality**

(Ref ITB-clause 1.4)

<b>Tender Information Summary (TIS)</b>			
<b>1.0 Basic Tender Details</b>			
Tender Title	<b>Deployment of Smart Lock Systems to Strengthen Mail Security and Service Quality</b>		
Tender Reference Number	IR-27/2/2025-IR-DOP	Tender ID	
Tender Type	Open Tender – Domestic	Form of Contract	Lumpsum
Tender Category	Goods & Non Consultancy Services	No. of Covers	Two Covers
Bidding System	Two Bid	Price Structure	Lumpsum (Rs. 14,80,00,000)
e-Reverse Auction to be held after Financial bid opening (See AITB also in case of Yes)	No		
the Procuring Organisation:	Department of Posts, Ministry of Communications	The Procuring Entity:	International Relations & Global Business Division
Authority on whose behalf Tender is invited	President of India	Through	DDG (International Relations & Global Business)
Tender Inviting Authority (TIA)	DDG (International Relations & Global Business)	Address	Room No. 334, Third Floor, Dak Bhawan, Department of Posts, New Delhi - 110001
Appointing Authority for Arbitration	Secretary, Department of Posts		

<b>2.0 Requirement Details (ITB 5.0)</b>			
Contract Period:	<i>1 year</i>	Consignee/ State:	<i>As per service locations (details attached)</i>
Details:	<b>Deployment of Smart Lock Systems to Strengthen Mail Security and Service Quality</b>		
<b>3.0 Critical Dates (ITB – Clause 7.0, 8.0; 9.0, 10.0 and 11.0)</b>			
Published Date	<i>16/06/2026</i>	Bid Validity (Days from the date of Bid Opening) – ITB– clause 9.3	<i>120 days</i>
Document Download Start Date & Time	<i>16/06/2026 15.00 hrs.</i>	Document Download End Date & Time	<i>07/07/2026 15.00 hrs.</i>
Clarification Start Date & Time	<i>16/06/2026 15.00 hrs.</i>	Clarification End Date & Time	<i>23/06/2026 17.00 hrs.</i>
bid Submission Start Date & Time	<i>16/06/2026 15.00 hrs.</i>	bid Submission Closing Date & Time	<i>07/07/2026 15.00 hrs.</i>
Bid Opening (techno– commercial bid) Date & Time (tentative)	<i>09/07/2026 15.00 hrs.</i>	Bid Opening (Financial bid) Date & Time	<i>To be intimated later on</i>

<b>4.0 Eligibility to Participates (NIT–clause and ITB–clause 3.2)</b>		
Nature of Bidders eligible	<i>Individual Service Provider/ Firms/companies</i>	
Minimum local content for eligibility to participate ITB–clause 4.1.4 (Make in India Policy)	50%	
Classes of Local Suppliers eligible to participate ITB–clause 4.1.4 (Make in India Policy)	<i>Only Class I Local Suppliers eligible</i>	
<b>5.0 Thresholds for Eligibility to Participate and Preference under Make in India Policy (ITB–clause 4.1.4)</b>		
Classification of Local Suppliers based on Minimum local content (ITB–clause 4.1.1)	<i>Class-I Local Suppliers: 50% Class -II Local Supplier: more than 20% but less than 50% Non-Local Supplier less than 20%</i>	
The margin of purchase preference (ITB–clause 4.1.5)	<i>No purchase preference</i>	
Is the requirement divisible for preference (ITB–clause 4.1.5)	<i>No</i>	
Would the contract be split among more than one bidder (ITB–clause 4.1.5)	<i>No</i>	
<b>6.0 Obtaining the Tender Document and clarification (ITB 7.0)</b>		
eProcurement Portal and helpdesk for Document availability and submission	<a href="https://eprocure.gov.in/eprocure/app">https://eprocure.gov.in/eprocure/app</a>	<i>0120-4001 002; 0120-4001 005; 0120-6277 787 or support-eproc@nic.in</i>
Cost of Tender Document (INR)	<i>Nil</i>	
Office/ Contact Person/ email for clarifications	<i>Sh. L K Dash, DDG (International Relations &amp; Global Business) Room No. 334, Third Floor, Dak Bhawan, Department of Posts, New Delhi - 110001 Ph. No.011-23096090 e-mail: <a href="mailto:ddgir@indiapost.gov.in">ddgir@indiapost.gov.in</a> <a href="mailto:gaurav.saini@upu.int">gaurav.saini@upu.int</a></i>	

<b>7.0 Pre-bid Conference (ITB-clause 8)</b>			
Is a Pre-Proposal Conference proposed to be held?	Yes		
Place, time, and date of the Pre-Proposal Conference	<p align="center"><b>22/06/2026 at 11.00 hrs.</b></p> <p align="center"><i>UPU REGIONAL OFFICE, Room 503, 5th Floor, Dak Bhawan, New Delhi - 110001</i></p>		
Place, time, and date before which Written queries for the Pre-bid conference must be received	<b>19/06/2026 at 17.00 hrs.</b>		
Place, time, and date before which registration of participants for the Pre-bid conference must be received	<b>19/06/2026 at 17.00 hrs.</b>		
<b>8.0 Preparation and Submission and Opening of Bids (ITB-clause 9.0, 10.0 and 11.0)</b>			
Bids to be Addressed to	President of India, Through DDG (International Relations & Global Business), International Relations & Global Business Division		
Instructions for Online bid Submission	<a href="https://etenders.gov.in/eprocure/app?page=HelpForContractors&amp;service=page">https://etenders.gov.in/eprocure/app?page=HelpForContractors&amp;service=page</a>		
Bid Opening Place	On e-procurement portal(s) mentioned above at <i>UPU Regional Office, Room No. 503, Fifth Floor, Dak Bhawan, New Delhi - 110001</i>		
Alternate Bids allowed or not ITB-clause 9.1.6	<i>Only one bid meeting the conflict-of-interest criteria (as mentioned in this document) shall be considered as valid from a bidder.</i>		
<b>9.0 Documents relating to Bid Security (ITB-clause 9.4.1)/Bid Securing Declaration (ITB-clause 9.4.2) and Performance Security (ITB-clause 13.2.4)</b>			
Bid Security (EMD) Amount in INR:	<i>Rs.45,00,000/-</i>	Is Bid Securing Declaration permitted in lieu of Bid Security	Yes  <i>(Allowed for MSMEs &amp; Start Up)</i>
Performance Security	<i>3% of Contract value</i>	Bid/ Performance Security to be addressed/ in favour of	DDG (International Relations & Global Business), Department of Posts, Ministry of Communications
Form of Bid/ Performance Security	Insurance Surety Bonds, Account Payee Demand Draft, Fixed Deposit Receipt, Banker's Cheque (only for EMD), Bank Guarantee from any of the Commercial Banks		

<b>10.0 Physical submission of Originals/ Self-attested copies of Originals of Scanned Documents uploaded (ITB-clause 10.3)</b>			
Physical documents required/ permitted to be submitted		Yes	
If Yes, List of Documents to be submitted physically		<i>Form 7/ Form 7A: Documents related to Bid Security, as applicable</i>	
Deadline for physical submission of originals/ self-attested copies of Originals of uploaded scanned documents		07/07/2026	
Address of Physical Submission of Originals		<i>DDG (International Relations &amp; Global Business), Department of Posts, Room no 334, Dak Bhawan, New Delhi – 110001</i>	
<b>Additional Clauses</b>			
Clauses		Description	
Integrity Pact to be Signed and Submitted along with bid ITB-clause 9.2.1	Yes	Independent External Monitor, Name and Contact Details	<p>Details of the Independent External Monitor (IEM) are as given below:</p> <p>1. Sh. Raj Kumar Singh, IRS (Retd), Ex-Member, Customs Excise and Service Tax Appellate Tribunal, New Delhi Email id – mrrajksingh@gmail.com</p> <p>2. Shri Animesh Chauhan, Former MD &amp; CEO of Oriental Bank of Commerce Email id - animeshchauhan7185@gmail.com</p>
Price Variation (ITB-clause 6.2)	No	Advance Payments Provided	No

## **Section II: Instructions to Bidders (ITB)**

### **1. The Tender Document**

#### **1.1. Basic Tender Details**

This 'Tender Document' Document (hereinafter referred to as the 'the Tender Document') details the terms and conditions for entering into a contract for delivery of the Goods and Non-consultancy Services (hereinafter called 'the Goods and Services') mentioned in Section VI: "Schedule of Requirements". Bidders must go through the Tender Document for further details. 'Tender Information Summary' (TIS) is appended to Section I: Notice Inviting Tender (NIT) for ready reference. The 'Goods and Services' may include incidental Goods and Works if so indicated. In this Tender Document, any generic reference to 'Goods and Services' shall be deemed to include such incidental Goods and Works.

#### **1.2. Interpretations, Definitions, Abbreviations and Document Conventions**

Section IV: General Conditions of Contract (GCC), details Tenets of interpretation (GCC-clause 1.1), Definitions (GCC-clause 1.2), Document conventions (GCC-clause 1.3) and Abbreviations (GCC-clause 1.4), which shall also apply to the rest of the Tender Document.

#### **1.3. Overview of Contents**

1) The Sections, Forms and Formats comprising this Tender Document are described in ITB-clauses 1.4, 1.5 and 1.6 below. A BOQ file separately available on the eProcurement Portal is also part of this Tender Document. Any generic reference to Tender Document shall also imply a reference to any/ all the sections, Forms, Formats and the BOQ file or other files that comprise this Tender Document.

2) Bidder must submit the bid in the Forms/ Formats mentioned in ITB-clauses 1.5 and 1.6 below. The sections mentioned in ITB-clause 1.4 below need not be signed or returned by the bidders; however, Bidder must declare in his bid Form (Form 1) that he has read, understood, complied, and stands bound by all requirements of these sections:

#### **1.4. Sections of the Tender Document (need not be signed or uploaded)**

##### **1.4.1 Sections of the Tender Document (need not be signed or uploaded)**

The Tender Document contains the following sections, which are described in subsequent sub-clauses:

- 1) Section I: Notice Inviting Tender (NIT) and its Appendix: Tender Information Summary (TIS)
- 2) Section II: Instructions to Bidders (ITB)
- 3) Section III: Appendix to Instructions to Bidders (AITB)
- 4) Section IV: General Conditions of Contract (GCC)
- 5) Section V: Special Conditions of Contract (SCC)
- 6) Section VI: Schedule of Requirements
  - a) Section VI-1: Services and Activities Schedule
- 7) Section VII: Performance Standards and Quality Assurance
  - a) Section VII-1: Method Statement

## 8) Section VIII: Qualification Criteria

### **1.4.2. Section I: Notice Inviting Tender (NIT) and its Appendix: Tender Information Summary (TIS)**

Section I – Notice Inviting Tender (NIT) and its Appendix – Tender Information Summary (TIS) provides a synopsis of information relevant for a Bidder to decide on participating in the Tender. Any generic reference to NIT shall also imply a reference to TIS as well. Bidders must fill up 'Form 5: Terms and Conditions - Compliance' regarding any deviations from this Schedule.

### **1.4.3. Section II: Instructions to Bidders (ITB) and Section III: Appendix to Instructions to Bidders (AITB)**

Section II: "Instructions to Bidders" - ITB along with Section III: "Appendix to Instructions to Bidders – AITB" provides the relevant information as well as instructions to assist the prospective Bidders in preparation and submission of Bids. It also includes the mode and procedure adopted for receipt/ opening, scrutiny/ evaluation of Bids, and contract award. In case of a conflict, provisions of AITB shall prevail over those in the ITB. Any generic reference to ITB shall also imply a reference to AITB as well. Bidders must fill up 'Form 5: Terms and Conditions - Compliance' regarding any deviations from this Schedule.

### **1.4.4 Section IV: General Conditions of Contract (GCC) and Section V: Special Conditions of Contract (SCC).**

Section IV – General Conditions of Contract (GCC) and Section V – Special Conditions of Contract (SCC) describe the conditions that shall govern the resulting contract. In case of a conflict, provisions of SCC shall prevail over those in the GCC. Any generic reference to GCC shall also imply a reference to SCC as well. In case of any conflict, provisions of GCC/ SCC shall prevail over those in ITB/ AITB. Bidders must fill up 'Form 5: Terms and Conditions - Compliance' regarding any deviations from terms and conditions in this and other Schedules.

### **1.4.5 Section VI: Schedule of Requirements and Section VI-1: Services Schedule**

Section VI –Schedule of Requirements and Section VI-1: Service and Activities Schedule describe the background, purpose/ objectives, description/ scope, deliverables/ outcomes, quantum, timelines of Services required etc. The requirements may consist of more than one schedule. Each schedule may contain more than one Service. Bidders must fill up 'Form 2: Schedule of Requirements – Compliance' and its sub-forms regarding these Schedule.

### **1.4.6 Section VII: Performance Standards and Quality Assurance**

- 1) Section VII – Performance Standards and Quality Assurance stipulate the quantitative/ qualitative parameters/ limits/ thresholds for Performance standards/ Service Levels and functional/ technical specifications to which the service must be performed. It shall stipulate procedures for measurement, reporting and monitoring of performance parameters, including institutional or third-party arrangements for this purpose. It shall also stipulate the procedure for resolution and escalation in case of deficiency in performance/ quality/ service levels. In the case of long-term and complex services, it may stipulate a Service-Level Agreement (SLA) agreement which must be complied with during delivery of Services. Performance Standards shall also include statutory

compliance required for Occupational Safety, Health and Working Conditions requirements during delivery of Services.

- 2) Section VII-1: Method Statement stipulates requirement for the performance of services to desired quality and standards.
- 3) Bidders must fill up the following Forms regarding this Schedule:
  - a) Form 3: Performance Standards and Quality Assurance - Compliance
  - b) Form 3.1: Method Statement
  - c) Form 3.2: Work-Plan

#### **1.4.7 Section VIII: Qualification Criteria:**

1. This Section lays down the Qualifying Criteria for a bid/ Bidder to be considered a responsive bid/ bidder for further evaluation. Bids/ bidders not meeting these Qualification criteria shall be rejected as nonresponsive. It may indicate the extent of dispensation allowed for Start-ups under ITB-clause 4.3.2-2 and Make in India -JVs under ITB 4.1.7-2. Unless otherwise stipulated in Section VII: Qualification Criteria, the Qualification Criteria shall include:
  - a) Criteria 1: Experience and Past Performance
    - i) Similar Experience
    - ii) Non-performing Contracts and Litigation
  - b) Criteria 2: Performance Capability
    - i) Key Personnel
    - ii) Critical Equipment
  - c) Criteria 3: Financial Capability
    - i. Turnover
2. Bidders must fill up the following Forms regarding this Schedule. Forms quoted by the Bidder under Form 3 shall also be evaluated for determining compliance to qualification criteria.:
  - a) Form 4: Qualification Criteria - Compliance
  - b) Form 4.1: Performance Statement
  - c) Form 4.2: Non-performance, Litigation Statement
  - d) Form 4.3: Financial Capability Statements
  - e) Form 4.4: Contract Manager Curriculum Vitae (CV)

#### **1.5 Forms (To be filled, digitally signed, and uploaded by Bidders)**

Please refer to ITB-clause 1.4 above to relate the following forms to the corresponding Sections.

1. Form 1: Bid Form – (To serve as a covering letter to both the Techno-commercial and Financial Bids)
  - a) Form 1.1: Bidder Information
  - b) Form 1.2: Eligibility Declarations

- c) Form 1.3: Declaration by Agents/ Associates of Foreign Principals
- 2. Form 2: Schedule of Requirements - Compliance
- 3. Form 3: Performance Standards and Quality Assurance - Compliance
  - a) Form 3.1: Method Statement
  - b) Form 3.2: Work-Plan
- 4) Form 4: Qualification Criteria - Compliance
  - a) Form 4.1: Performance Statement
  - b) Form 4.2: Non-performance, Litigation Statement
  - c) Form 4.3 Financial Capability Statements
  - d) Form 4.4: Contract Manager Curriculum Vitae (CV)
- 5) Form 5: Terms and Conditions - Compliance
- 6) Form 6: Checklist for the Bidders
- 7) Form 7/Form 7A: Documents Relating to Bid Security
- 8) BOQ Excel Sheet (To be Downloaded from the Portal).
- 9) Form 8: Integrity Pact

## **1.6 Other Formats**

- 1) Contract Form (Required after Letter of Award)
  - a) Format 1.1: Description of Services
  - b) Format 1.2: Price Schedule
  - c) Format 1.3: Bank Guarantee Format for Performance Security
  - d) Format 1.4: No Claim Certificate
  - c) Format 1.5: Certification by Prospective Arbitrators
- 2) Format 2: Authorisation for Attending Pre-bid Conference. (To be filled up, if required, by Bidder)

## **2. Procuring Entity Rights and Disclaimers**

### **2.1. The Procuring Entity**

Bids are to be addressed to the President of India through the DDG (International Relations and Global Business), International Relations and Global Business Division in the Department of Posts {headed by the Secretary (Posts)}. The Tender Inviting Authority (TIA) is the designated officer for uploading and clarifying this Tender Document. The contract may designate, as required, counterpart Contract Manager (or Contract Management Team); interim/ ultimate Beneficiaries of the Goods and Services and Paying authority who shall discharge designated function during contract execution.

### **2.2. Right to Intellectual Property and confidentiality:**

- 1) The Tender Document and associated correspondence are subject to copyright laws and shall always remain the property of the Procuring Entity and must not be shared with third parties or reproduced, whether in whole or part, without the Procuring Entity's prior written consent.

2) However, Bidders may share these to prepare and submit its bid with its employees, subcontractor(s), or holding Company. Bidders shall obtain from them an undertaking of confidentiality similar to that imposed on Bidder under this clause.

3) This condition shall also apply to bidders who do not submit a bid after downloading it or who are not awarded a contract in the process.

4) The obligation of the Bidders under sub-clauses above, however, shall not apply to information that:

- a. now or hereafter is or enters the public domain through no fault of Bidder;
- b. is legally possessed by Bidder at the relevant time and was not previously obtained, directly or indirectly, from the Procuring Entity; or
- c. otherwise lawfully becomes available to Bidder from a third party that has no obligation of confidentiality.

5) The provisions of this clause shall survive completion or termination for whatever reason of the Tender Process or the contract.

### **2.3. Right to Reject any or all Bids**

The Procuring Entity reserves its right to accept or reject any or all Bids, abandon/ cancel the Tender process, and issue another tender for the same or similar Goods/services at any time before the award of the contract. It would have no liability to the affected Bidder or Bidders or any obligation to inform the affected Bidder or Bidders of the grounds for such action(s).

### **2.4 Disclaimers**

#### **2.4.1 Regarding Purpose of the Tender Document**

The Tender Document is neither an agreement nor an offer to prospective Bidder(s) or any other party hereunder. The purpose of the Tender Document is to provide the Bidder(s) with information to assist them in participation in this Tender Process.

#### **2.4.2 Regarding Documents/guidelines**

The Tender Document, ensuing communications, and Contracts shall determine the legal and commercial relationship between the bidders/ contractors and the Procuring Entity. No other Government or Procuring Entity's document/ guidelines/ Manuals including its Procurement Manual (for internal and official use of its officers), notwithstanding any mention thereof in the Tender Document, shall have any locus-standii in such a relationship. Therefore, such documents/ guidelines/ Manuals shall not be admissible in any legal or dispute resolution or grievance redressal proceedings.

#### **2.4.3 Regarding Information Provided**

Information contained in the Tender Document or subsequently provided to the Bidder(s) is on the terms and conditions set out in the Tender Document or subject to which that was provided. Similar terms apply to information provided verbally or in documentary or any other form, directly or indirectly, by the Procuring Entity or any of its employees or associated agencies.

#### **2.4.4 Regarding Tender Document:**

- 1) The Tender Document does not purport to contain all the information Bidder(s) may require. It may not address the needs of all Bidders. They should conduct due diligence, investigation, and analysis, check the information's accuracy, reliability, and completeness, and obtain independent advice from appropriate sources. Information provided in the Tender Document to the Bidder(s) is on a wide range of matters, some of which may depend upon interpreting the law. The information given is not intended to be an exhaustive account of statutory requirements and should not be regarded as a complete or authoritative statement of law. The Procuring Entity, its employees and other associated agencies accept no responsibility for the accuracy or otherwise for any interpretation or opinion on law expressed herein.
- 2) The Procuring Entity, its employees and other associated agencies make no representation or warranty for the accuracy, adequacy, correctness, completeness or reliability, assessment, assumption, statement, or information in the Tender Document. They have no legal liability, whether resulting from negligence or otherwise, for any loss, damages, cost, or expense that may arise from/incurred/suffered however caused to any person, including any Bidder, on such account.

### **3. Bidders – Eligibility and Preferential Policies.**

#### **3.1. Bidders**

Subject to provisions in the following clauses in this section and provisions in Tender Document, this invitation for Bids is open to all bidders who fulfil the 'Eligibility Criteria' stipulated in the Tender Document.

##### **3.1.1 Sub-Contractors**

Sub-contracting of the contract by the successful bidder is not allowed.

#### **3.2. Eligibility Criteria for Participation in this Tender**

Subject to provisions in this Tender Document, participation in this Tender Process is open to all bidders who fulfil the 'Eligibility' and 'Qualification criteria. Bidder should meet (as on the date of his bid submission and should continue to meet till the award of the contract) the 'Eligibility Criteria' detailed in NIT-clause 3, which shall be considered to be part of this clause of ITB (even though it is not being reproduced here for the sake of brevity). Bidder shall submit a declaration about the 'Eligibility Criteria' compliance in Form 1.2 – Eligibility Declarations.

#### **3.3. Eligibility of bidders from specified countries**

Orders issued by the Government of India restricting procurement from bidders from certain countries that share a land border with India shall apply to this procurement.

- 1) Any bidder (as defined in GCC-clause 1.2) from a country that shares a land border with India<sup>2</sup>, excluding countries as listed on the website of the Ministry of External Affairs<sup>3</sup>, to which the Government of India has extended lines of credit or in which the Government of India is engaged in development projects – hereinafter called 'Restricted Countries' shall be eligible to bid in this tender only if Bidder is registered<sup>4</sup> with the Registration Committee constituted by the Department for Promotion of Industry and Internal Trade (DPIIT). Bidders shall enclose the certificate in this regard in Form 1 - bid Form.

2 <https://mea.gov.in/india-and-neighbours.htm>

3 <http://meadashboard.gov.in/indicators/92>

4 <https://dipp.gov.in/sites/default/files/Revised-Application-Format-for-Registration-of-Bidders-15Oct2020.pdf>

- 2) "Bidder from such Restricted Countries" means: -
- a) An entity incorporated, established, or registered in such a country; or
  - b) A subsidiary of an entity incorporated, established, or registered in such a country; or
  - c) An entity substantially controlled through entities incorporated, established, or registered in such a country; or
  - d) An entity whose beneficial owner is situated in such a country; or
  - e) An Indian (or other) agent of such an entity; or
  - f) A natural person who is a citizen of such a country; or
  - g) A consortium/ joint venture where any member falls under any of the above
- 3) The beneficial owner shall mean:
- a) In a company or Limited Liability Partnership, the beneficial owner is the natural person(s). Whether acting alone or together or through one or more juridical persons, controlling ownership interest or exercises control through other means.

Explanation-

- i. "Controlling ownership interest" means ownership of or entitlement to more than twenty-five percent of the company's shares or capital, or profits.
  - ii. "Control" shall include the right to appoint a majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholder agreements or voting agreements;
- b) In the case of a partnership firm, the beneficial owner is the natural person(s) who, whether acting alone or together or through one or more juridical persons, has ownership of entitlement to more than fifteen percent of capital or profits.
- c) In case of an unincorporated association or body of individuals, the beneficial owner is the natural person(s), who, whether acting alone or together or through one or more juridical person, has ownership of or entitlement to more than fifteen percent of the property or capital or profits of such association or body of individuals;
- d) Where no natural person is identified under (a) or (b) or (c) above, the beneficial owner is the relevant natural person who holds the position of senior managing official.
- e) In case of a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with fifteen percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

### **3.4. Conflict of Interest**

Any bidder having a conflict of interest, which substantially affects fair competition, shall not be eligible to bid in this tender. Bids found to have a conflict of interest shall be rejected as

non-responsive. Bidder shall be required to declare the absence of such conflict of interest in Form 1.2 - Eligibility Declarations. A bidder in this Tender Process shall be considered to have a conflict of interest if the bidder:

- 1) directly or indirectly controls, is controlled by or is under common control with another Bidder; or
- 2) receives or have received any direct or indirect subsidy/ financial stake from another bidder; or
- 3) has the same legal representative/ agent as another bidder for purposes of this bid. A Principal can authorise only one agent, and an agent also should not represent or quote on behalf of more than one Principals. However, this shall not debar more than one Authorised distributor from quoting equipment manufactured by an Original Equipment Manufacturer (OEM), in procurements under Proprietary Article Certificate; or
- 4) has a relationship with another bidder, directly or through common third parties, that puts it in a position to have access to information about or influence the bid of another Bidder or influence the decisions of the Procuring Entity regarding this Tender process; or
- 5) participates in more than one bid in this tender process. Participation in any capacity by a Bidder (including the participation of a Bidder as sub-contractor in another bid or vice-versa) in more than one bid shall result in the disqualification of all bids in which he is a party. However, this does not limit the participation of a non-bidder firm as a sub-contractor in more than one bid; or
- 6) would be providing goods, works, or non-consulting services resulting from or directly related to consulting services that it provided (or were provided by any affiliate that directly or indirectly controls, is controlled by, or is under common control with that firm), for the procurement planning (inter-alia preparation of feasibility/ cost estimates/ Detailed Project Report (DPR), design/ technical specifications, terms of reference (ToR)/ Activity Schedule/ schedule of requirements or the Tender Document etc) of this Tender process; or
- 7) has a close business or family relationship with a staff of the Procuring Organisation who: (i) are directly or indirectly involved in the preparation of the Tender document or specifications of the Tender Process, and/or the evaluation of bids; or (ii) would be involved in the implementation or supervision of resulting Contract unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Procuring Entity throughout the Tender process and execution of the Contract.

### **3.5. Regulation of Indian Agents/Associates of Foreign Principals**

Wherever the foreign principal desires to involve in this tender process, an Indian Agent/ associate, their dealings shall be regulated. Foreign Principals and their Agents/ Associates must provide required declarations in Form 1.3 – Declarations by Agents/ Associates of Foreign Principals:

- 1) The name and address of the foreign principals, if any, indicating their nationality as well as their status, i.e., whether manufacturer or agents of manufacturer holding the Letter of Authority of the Principal authorising them specifically to make an offer in India in response to tender either directly or through the agents/ representatives.

- 2) Such Agents/ Associates shall provide self-attested documentary evidence about their identity, business details to establish that they are a bonafide business and conform to regulations.
- 3) The Bidder/ Foreign Principal must commit to submitting after the Financial bid opening, due to price-sensitive information, the Agreement between them, including the amount of commission/ remuneration included in the price (s).
- 4) Confirmation on behalf of the foreign principals that the commission/ remuneration, if any, reserved for Indian Agents/ Associates in the quoted price(s), shall be paid by the Procuring Entity in India in equivalent Indian Rupees on satisfactory completion of the Project or supplies of Goods and Spares.
- 5) Failure to furnish correct and detailed information shall render Foreign Principal's bid liable to be rejected as nonresponsive in addition to other punitive actions against the Foreign Principal and their Indian Agents/ Associates for violation of Code of Integrity as per the Tender Document.

#### **4. Purchase Preference Policies of the Government**

The Procuring Entity reserves its right to grant preferences to the following categories of eligible Bidders under various Government Policies/ Directives:

- 1) Class I Local Suppliers under Public Procurement (Preference to Make in India) Order 2017" (MII) of Department for Promotion of Industry and Internal Trade, (DPIIT - Public Procurement Section) as revised from time to time.
- 2) Bidders from Micro and/ or Small Enterprises (MSEs) under Public Procurement Policy for the Micro and Small Enterprises (MSEs) Order, 2012 as amended from time to time.
- 3) Start-ups Bidders under Ministry of Finance, Department of Expenditure, Public Procurement Division OM No F.20\212014-PPD dated 25.07.2016 and subsequent clarifications; and/ or
- 4) Any other category of Bidders, as per any Government Policies, announced from time to time, if so, provided in the TIS/ AITB

##### **4.1. Make in India Order**

Orders issued by the Government of India regarding eligibility to participate and for purchase preference to "Local Suppliers" to encourage 'Make in India' and promote manufacturing and production of goods and services in India shall apply to this procurement, as detailed below.

##### **4.1.1 Categories of Local Supplies**

Bidders/Contractors are divided into three categories based on Local Content. Local content in the context of this policy is the total value of the service procured (excluding net domestic indirect taxes) minus the value of imported content in the service/ incidental Goods (including all customs duties) as a proportion of the total value, in percent:

- 1) 'Class-I local Supplier' with local content equal to or more than that prescribed in TIS or 50% if not prescribed.
- 2) 'Class-II local Supplier' with local content equal or more than that prescribed in TIS or 20% if not prescribed, but less than that applicable for Class-I local Supplier.
- 3) 'Non - Local Supplier' with local content less than that applicable for Class-II local Supplier, in sub-clause above.

##### **4.1.2 Eligibility Restrictions based on Reciprocity.**

If so stipulated in the Tender Document, entities from such countries identified as not allowing Indian companies to participate in their Government procurement shall not be allowed to participate on a reciprocal basis in this tender. The term entity of a country shall have the same meaning as under the FDI Policy of DPIIT as amended from time to time.

#### **4.1.3 Eligibility to participate**

- 1) **Minimum local content for eligibility to participate:** Only bidders meeting the minimum prescribed local content for the product shall be eligible to participate subject to the following conditions.
- 2) **Classes of Local Supplies eligible to Participate:** Based on the Make in India Policy, classes of local/ non-local Suppliers eligible to participate in the tender shall be declared in TIS/ AITB/ Schedule of Requirements.

#### **4.1.4. Thresholds**

- 1) Following thresholds shall be declared in the Tender Document.
  - a. **Minimum local content for Contractor classification:** local content percentage prescribed to qualify as Class – I or Class – II local suppliers for various products.
  - b. **Minimum local content for eligibility to participate:** Minimum local content percentage prescribed for eligibility for a bid to be considered.
- 2). The default threshold shall be as follows:
  - a. Local content for eligibility for Class-I shall be 50% and above.
  - b. Minimum local content for eligibility to participate shall be 50%,

#### **4.1.5 Purchase preference to Class – I local Suppliers**

- 1) Only Class I Local supplier are eligible to bid in the tender.

#### **4.1.6 Verification of local content and violations:**

- 1) The 'Class-I local Supplier' at the time of tender, bidding, or solicitation shall be required to indicate the percentage of local content and provide self-certification that the service offered meets the local content requirement for 'Class-I local Supplier'.
- 2) The 'Class-I local Supplier' shall be required to provide a certificate from the statutory auditor or cost auditor of the company (in the case of companies) or a practicing cost accountant or practicing chartered accountant (in respect of Contractors other than companies) giving the percentage of local content.
- 3) Complaints about Local content declarations may be made through the channels of Procuring Entity. Procuring Entities and Nodal Ministries may prescribe fees for such complaints.
- 4) Bids with false declarations regarding Local contents shall be rejected as responsive, in addition to punitive actions under the MII orders and for violating the Code of Integrity as per the Tender Document.

#### **4.1.7 Manufacture under license/ technology collaboration agreements with phased indigenization.**

- 1) If so, declared in TIS and/ or AITB, foreign companies shall enter into a joint venture with an Indian company to participate.
- 2) The Procuring Entity reserves its right, but without being under any obligation to do so, to grant exemption from meeting the stipulated local content to Bidders manufacturing indigenously a product developed abroad under a license from a foreign manufacturer (who holds intellectual property rights) under a technology collaboration agreement/ transfer of technology agreement with a precise phasing of increase in local content. Bidder must obtain such an exemption letter and submit it along with his bid to avail such an exemption.

#### **4.1.8 Information to be provided by Bidders regarding Make in India Policy**

- 1) Bidder shall provide required self-declaration as detailed in Form 1.2 – Eligibility Declarations.
- 2) Self-declaration of their local content (and required certificate) and their status as Class-I local Supplier and their eligibility to participate as per this clause.
- 3) If a Bidder is claiming exemption (as obtained from relevant authorities) from meeting the stipulated local content on account of manufacturing the product in India under a license from a foreign manufacturer with the precise phasing of increase in local content, he must provide proof thereof.

#### **4.2 Support/ Preferential Treatment to Micro & Small Enterprises (MSEs)**

Policies of the Government to support Micro and Small Industries (MSEs, registered as per the following sub-clause) in comparison to non-MSE enterprises shall apply to this procurement.

##### **4.2.1 Registration of MSEs**

- 1) MSEs interested in availing such benefits must enclose in Form 1.2 with their offer the Udyam Registration Certificate with the Udyam Registration Number as proof of their being MSE registered on the Udyam Registration Portal. The certificate shall be of latest but before the deadline for the bid submission.
- 2) MSEs shall be treated as owned by SC/ ST or women entrepreneurs:
  - a) The proprietor(s) shall be SC/ ST or women, in proprietary MSEs.
  - b) At least 51% shares shall be held by the SC/ ST or women partners in a partnership MSEs.
  - c) At least a 51% share shall be held by SC/ ST or women promoters in Private Limited Companies MSEs.

##### **4.2.2 Support to MSEs**

- 1) MSEs shall be exempted from payment of Earnest Money. (as per ITB-clause 9.4 below, they shall be required only to submit Bid Security Declaration)
- 2) **Relaxation in Prior Turnover and Experience:** The Procuring Entity reserves its right to relax the condition of prior turnover and prior experience for MSEs subject to meeting of quality & technical specifications. The decision of the Procuring Entity in this regard shall be final.

4.2.3 Concurrent application of Public procurement policy for Micro and Small Enterprises Order, 2012 and Public Procurement (Preference to Make in India) Order, 2017, instructions contained in OM No. 1-4-2021-PPD dated 18.05.2023 shall be followed.

### **4.3. Support to Start-up Enterprises.**

#### **4.3.1 Definition of Start-up Enterprises**

- 1) As defined by DPIIT, an entity shall be considered as a 'Start-up':
  - a) Upto a period of ten years from the date of incorporation/ registration, if it is incorporated as a private limited company (as defined in the Companies Act, 2013) or registered as a partnership firm (registered under section 59 of the Partnership Act, 1932) or a limited liability partnership (under the Limited Liability Partnership Act, 2008) in India, and
  - b) Turnover of the entity for any of the financial years since incorporation/ registration has not exceeded one hundred crore rupees, and
  - c) The entity works towards innovation, development or improvement of products or processes or services or a scalable business model with a high potential for employment generation or wealth creation.
- 2) Provided that an entity formed by splitting up or reconstructing an existing business shall not be considered a 'Start-up'.
- 3) A Start-up so identified under the above definition shall be required to obtain and submit along with his bid a certificate of an eligible Start-up from the inter- Ministerial Board of Certification to obtain support.

#### **4.3.2 Support to Start-ups**

The Government of India has ordered the following support to Start-ups (as defined by the Department of Promotion of Industrial and Internal Trade - DPIIT).

- 1) **Exemption from submission of Bid Security:** Such Start-ups shall be exempted from payment of Earnest Money. (as per ITB-clause 9.4 below, they shall be required only to submit Bid Security Declaration)
- 2) **Relaxation in Prior Turnover and Experience:** The Procuring Entity reserves its right to relax the condition of prior turnover and prior experience for start-up enterprises subject to meeting of quality & technical specifications. The decision of the Procuring Entity in this regard shall be final.

### **5. The Schedule of Requirements and Form of Contract**

#### **5.1. Eligible Service – Origin and Minimum Local Content**

All 'Services' and incidental 'Goods and Works' to be delivered under the contract must conform to i) restrictions on certain countries with land-borders with India; ii) minimum local content (Make in India Policy). If Bidder avails benefits under any preferential policy as Class-I Local Supplier or as MSE or Start-up enterprise, the Services delivered must not circumvent the provisions relating to such benefits.

#### **5.2. Quotation for All Schedules and all Services**

Bidder must quote for all the schedules (and all the Services in a Schedule) in the Schedule of Requirement; otherwise, his bid would be rejected as nonresponsive.

#### **5.3. Facilities to be Provided by the Procuring Entity**

Unless otherwise stipulated in the Tender Document, no Facilities (including Reference Documents, Medical facilities, Rooms, Furniture, Transport, Access to IT Services etc.) shall be provided by the Procuring Entity to Contractor at Site.

#### **5.4 Contract Period**

The contract Period for which the Goods and Service shall be contracted shall be 9 months, unless terminated earlier as per the contract. In addition, at the option of the Procuring Entity, the contract period may be extended by one more year. Notice of renewal shall be provided by physical/ digital means to the Service Provider no later than thirty (30) days before the contract end.

#### **5.5 Form to Contract**

##### **5.5.1 Form of BOQ/ Contract**

The Form of BOQ/ Contract shall be Lumpsum i.e. the bidder shall quote the rate for the specified number of Smart Locks and Computer Systems (preferably Laptops) to be supplied, installed and deployed at the locations mentioned in the NIT.

### **6. Bid Prices, Taxes and Duties**

#### **6.1 Prices**

##### **6.1.1 Competitive and Independent Prices**

- a) The prices should be arrived at independently, without restricting competition, any consultation, communication, or agreement with any other bidder or competitor relating to:
  - i. those prices; or
  - ii. the intention to submit an offer; or
  - iii. the methods or factors used to calculate the prices offered.
- b) The prices should neither be nor shall be knowingly disclosed by the Bidder, directly or indirectly, to any other bidder or competitor before bid opening or contract award unless otherwise required by law.

##### **6.1.2 Undue profiteering**

- 1) **Controlled Price, if any:** The price quoted by Bidder shall not be higher than the controlled price fixed by law for the Goods and Services, if any, or where there is no controlled price, it shall not exceed the prices or contravene the norms for fixation of prices if any, laid down by Government or where the Government has fixed no such prices or norms, it shall not exceed the price appearing in any agreement, if any, relating to price regulation by any industry.
- 2) **Undue profiteering:** If the price quoted is higher than the controlled price in the sub-clause above, Bidder shall specifically mention this fact in his bid giving reasons for quoting a higher price(s). If he fails to do so or makes any misstatement, it shall be lawful for the Procuring Entity either to revise the price at any stage to bring it in conformity with the sub-clause (1) above or to terminate the contract for default as per the contract and avail all the remedies available therein in addition to other punitive actions for violation of Code of Integrity.

### **6.1.3 Price Components**

- 1) All costs related to Personnel shall be based on the prevailing minimum wages and shall show applicable liabilities of EPF and ESI and other statutory allowances.

### **6.1.4 Price Schedule**

- 1) Bidders are to upload only the downloaded BOQ (in excel format) after entering the relevant fields without any alteration/ deletion/ modification of other portions of the excel sheet. All the columns shown in the price schedule should be filled up as required. If any column does not apply to a Bidder, he should clarify the same.
- 2) Bidders shall fill in their rates other than zero value in the specified cells without keeping it blank.
- 3) The quoted price shall be considered to include all relevant financial implications, including inter-alia the scope of the Services to be delivered and the incidental goods/ works to be supplied, location of the bidder, site(s) of the delivery of Services, terms of delivery, extant rules and regulations relating to taxes, duties, customs, transportation, environment, labour, Private Security Agencies, Mining & Forest etc. of the bidder's country and in India, Bhutan and Sri Lanka.

### **6.1.5 Provisions of GST**

- 1) Break up of different price elements, i.e., as per GST Act, shall be indicated separately, along with its associated HSN code and GST rate.
- 2) While quoting the basic rate, the bidder should offset the input credit available as per the GST Act.
- 3) Please refer to ITB-Clause 6.3 for further details.

### **6.1.6 Currencies of Bid and Payment**

- 1) The currency of bid and payment shall be quoted by Bidder entirely in Indian Rupees. All payments shall be made in Indian Rupees only.

### **6.1.7 Non-Compliance**

Tenders, where prices are quoted in any other way, shall be rejected as nonresponsive.

## **6.2 Firm/ Variable Price**

### **6.2.1 Firm Price**

Prices quoted by Bidder shall remain firm and fixed during the currency of the contract and not subject to variation on any account.

## **6.3 Goods and Services Tax (GST)**

### **6.3.1 GST Registration Status:**

- 1) All the Bidders should ensure that they are GST compliant and their quoted tax structure/ rates as per GST Act/ Rules. Bidder should be registered under GST and furnish GSTIN number and GST Registration Certificate in their offer unless they are specifically exempted from registration under specific notification/ circular/ section/ rule issued by statutory authorities
- 2) **GST Registration Number (15-digit GSTIN).** If the bidder has multiple business verticals in a state and has separate registration for each vertical, the GSTIN of each

vertical is concerned with the delivery of the Goods and Services involved, as per the Schedule of Requirements and Price Schedule's scope be quoted. If the services provided are from multiple states, the bidder should mention GST registration numbers for each state separately.

- 3) **Composition scheme:** If the Bidder has opted for a composition levy under Section 10 of CGST, he should declare the fact while bidding along with GSTIN and GST registration certificate.
- 4) **Exemption from Registration:** If a bidder is not liable to take GST registration, i.e., having turnover below threshold, he shall submit undertaking/ indemnification against tax liability. Bidder claiming exemption in this respect shall submit a valid certificate from practising Chartered Accountant (CA)/ Cost Accountant with Unique Document Identification Number (UDIN) to the effect that Bidder fulfils all conditions prescribed in notification exempting him from registration. Such bidder/ dealer shall not charge any GST and/ or GST Cess in the bill/ invoice. In such case, applicable GST shall be deposited under Reverse Charge Mechanism (RCM) or otherwise as per GST Act by the Procuring Entity directly to concerned authorities. Bidder should note that his offer would be loaded with the payable GST under the RCM. Further, Bidder should notify and submit to the Procuring Entity within 15 days from the date of becoming liable to registration under GST.

### **6.3.2 GST Rate;**

- 1) As per the GST Act, the bid and contract must show the GST Tax Rates (and GST Cess if applicable) and GST Amount explicitly and separate from the bid/ contract price (exclusive of GST). If the price is stated to be inclusive of GST, the current rate included in the price must be declared by the bidder.
- 2) If GST, other taxes, duties are not specified, or column is left blank in the price schedule, it shall be presumed that no such tax/ levy is applicable or payable by the Procuring Entity.

## **6.4. Payments**

### **6.4.1 General**

Payment will be made on basis of the milestones as specified in the NIT.

### **6.4.2 No Advance Payments**

No advance payment of any type (Mobilization, secured advances etc.), shall be made by the Procuring Entity.

## **7. Downloading the Tender Document; Corrigenda and Clarifications**

### **7.1 Downloading the Tender Document**

The Tender Document shall be published and be available for download as mentioned in TIS. Bidders can obtain the Tender Document after the date and time of the start of availability till the deadline for availability. If the office happens to be closed on the deadline for the availability of the Tender Document, the deadline shall not be extended.

### **7.2 Corrigenda/ Addenda to Tender Document**

Before the deadline for submitting bids, the Procuring Entity may update, amend, modify, or supplement the information, assessment or assumptions contained in the Tender Document by issuing a corrigenda and addenda. The corrigenda and addenda shall be published in the same manner as the original Tender Document. Without any liability or obligation, the Portal may send intimation of such corrigenda/ addenda to bidders who have downloaded the document under their login. However, the bidders' responsibility is to check the website(s) for any corrigenda/ addenda. Any corrigendum or addendum thus issued shall be considered a part of the Tender Document. To give reasonable time to the prospective bidders to take such corrigendum/ addendum into account in preparing their bids, the Procuring Entity may suitably extend the deadline for the bid submission, as necessary. After the procuring entity makes such modifications, any Bidder who has submitted his bid in response to the original invitation shall have the opportunity to either withdraw his bid or re-submit his bid superseding the original bid within the extended time of submission as per ITB-clause 10.4.1 below.

### **7.3 Clarification on the Tender Document**

A Bidder may seek clarification of the Tender Document from Office/ Contact Person/ e-procurement Help Desk as mentioned in TIS, provided the clarifications are raised before the clarification end date mentioned in TIS. The Procuring Entity will respond within 3 working days of receipt of such a request for clarification. The query and clarification shall be shared on the portal with all the prospective bidders. Any modification of the Tender Document that may become necessary due to the clarification shall be made by the Procuring Entity through an Addendum/ Corrigendum issue under the sub-clause above.

### **8. Pre-bid Conference**

- 1) Prospective bidders interested in participating in this tender may attend a Pre-bid conference to clarify the techno- commercial conditions of the Tenders at the venue, date and time specified therein. Participation in the Pre-bid conference is restricted to prospective bidders who have downloaded the Tender Document.
- 2) Participation is not mandatory. However, if a bidder chooses not to (or fails to) participate in the Pre-bid conference or does not submit a written query, it shall be assumed that they have no issues regarding the techno-commercial conditions.
- 3) The date and time by which the written queries for the Pre-bid must reach the authority and the last date for registration for participation in the Pre-bid conference are also mentioned in the TIS.
- 4) Delegates participating in the Pre-bid conference must provide a photo identity and an authorization letter as per Format 2: "Authorization for attending a Pre-bid Conference" from their Company/ principals; else, they shall not be allowed to participate. The pre-bid conference may also be held online at the discretion of the Procuring Entity.
- 5) After the Pre-bid conference, Minutes of the Pre-bid conference shall be published on the Procuring Entity's portal within seven days from the Pre-bid conference. If required, a clarification letter and corrigendum to Tender Document shall be issued, containing amendments of various provisions of the Tender Document, which shall form part of the Tender Document. As per ITB- clause 7.2 above, to give reasonable time to the prospective bidders to take such clarifications into account in preparing their bids, the Procuring Entity may suitably extend, as necessary, the deadline for the bid submission.

### **9. Preparation of Bids**

## **9.1 The Bid**

### **9.1.1 Language of the bid**

The bid submitted by Bidder and all subsequent correspondence and documents relating to the bid exchanged between Bidder and the Procuring Entity shall be written in English. However, the language of any printed literature furnished by Bidder in connection with its bid may be written in any other language provided a translation accompanies the same in the bid language. For purposes of interpretation of the bid, translation in the language of the bid shall prevail.

### **9.1.2 Acquaintance with Local Conditions and Factors**

The Bidder, at his own cost, responsibility, and risk, is encouraged to visit, examine, and familiarise himself with all the site/ local conditions and factors. The Bidder acknowledges that before the submission of the bid, he has, after a complete and careful examination, made an independent evaluation of the Site/ local conditions, infrastructure, logistics, communications, the legal, environmental, and any other conditions or factors, which would have any effect on the performance of the contract. Bidders shall themselves be responsible for compliance with Rules, Regulations, Laws and Acts in force from time to time at relevant places. On such matters, the Procuring Entity shall have no responsibility and shall not entertain any request from the bidders in these regards.

### **9.1.3 Costing of Bidding**

The Bidder(s) shall bear all direct or consequential costs, losses and expenditure associated with or relating to the preparation, submission, and subsequent processing of their Bids, including but not limited to preparation, copying, postage, delivery fees, expenses associated with any submission of samples, demonstrations, or presentations which the Procuring Entity may require, or any other costs incurred in connection with or relating to their Bids. All such costs, losses and expenses shall remain with the Bidder(s), and the Procuring Entity shall not be liable in any manner whatsoever for the same or any other costs, losses and expenses incurred by a Bidder(s) for participation in the Tender Process, regardless of the conduct or outcome of the Tender process.

### **9.1.4 Interpretation of Provision**

The provisions in the Tender Document must be interpreted in the context in which these appear. Any interpretation of these provisions far removed from such context or other contrived or between-the-lines interpretation is unacceptable.

### **9.1.5 Quote Quantities/ Price in both Numerals and Words**

Although the software on the Portal may convert quantities/ rates/ amounts in numerical digits in Bids to words, the bidders are advised to ensure that there is no ambiguity in this regard.

### **9.1.6 Alternate Bids not Allowed**

Conditional offers, alternative offers, multiple bids by a bidder shall not be considered. The Portal shall permit only one bid to be uploaded.

## **9.2 Documents comprising the bid:**

### **9.2.1 Techno-commercial bid/ Cover**

"Technical Bid" shall include inter-alia the original or scanned copies of duly signed or digitally signed copies of the following documents in pdf format. Pdf documents should not be password protected. If so stipulated in TIS/ AITB, specified originals or self- certified copies of originals shall also be required to be physically submitted as per instruction contained therein. No price details should be given or hinted at in the Technical bid:

1. Form 7/Form 7A: Documents relating to Bid Security or a Bid Securing Declaration (BSD) in lieu of bid security in the format provided therein shall be uploaded as per ITB clause 9.4.
2. Form 1: Bid Form (to serve as covering letter and declarations applicable for both the Technical bid and financial bid);
  - a) Form 1.1: Bidder Information;
  - b) Form 1.2: Eligibility Declarations;
  - c) If applicable. Form 1.3: Declaration by Agents/ Associates of Foreign Principals (ITB-clause 3.5 above).
3. Form 4: Qualification Criteria - Compliance: Documentary evidence and following related forms needed to establish the Bidder's qualifications as stipulated in Section VIII: Qualification Criteria. Besides the stipulated documents, other supporting documents, literature, pamphlets may also be attached.
  - a) Form 4.1: Performance Statement
  - b) Form 4.2 Non-performance, Litigation Statement
  - c) Form 4.3 Financial Capability Statements (and its sub-forms)
  - d) Form 4.4: Contract Manager Curriculum Vitae (CV)
4. Form 2: Schedule of Requirements - Compliance. Bidders should fill this form to detail the Schedules of Services offered by them, maintaining the same numbering and structure. They may add additional details not covered elsewhere in their bid. They should highlight here any deviations/ exceptions/ reservations regarding Section VI: 'Schedule of Requirements', in a chart form, without any ambiguity or conditionality along with justification and supporting documents. Even in case of no deviation, please fill in confirmations and nil deviation statements. If mentioned elsewhere in the bid, such deviations shall not be recognised and shall be null and void.
5. Form 3: Performance Standards and Quality Assurance - Compliance.

Bidder shall upload the required and relevant documents like technical data, literature, drawings, and other documents. to establish that the Services offered in the bid fully conform to the Services specified by the Procuring Entity in the Tender Document. Bidder is also required to provide clause by clause compliance/ deviation Statement in a chart form (without ambiguity or conditionality along with justification) relating to all Performance Standards, Quality Assurance, Methods Statement, and Work Plan parameters. Even in case of no deviation, please fill in confirmations and nil deviation statements. If mentioned elsewhere in the bid, such deviations shall not be recognised and shall be null and void.

  - a) Form 3.1: Method Statement
  - b) Form 3.2: Work-Plan

- 6). Form 5: Terms and Conditions - Compliance. Bidder must comply with the entire commercial and other clauses of this Tender Document. Any deviations should be listed in a chart form without ambiguity or conditionality, along with justification and supporting documents. All such Statements and Documents shall be uploaded as Form 5. Even in case of no deviation, please fill in confirmations and nil deviation statements. If mentioned elsewhere in the bid, such deviations shall not be recognised and shall be null and void.
- 7). Form 6- Checklist for the Bidders: Bidder must also upload the Checklist given in the Tender Document as Form 6 to confirm that he has complied with all the instructions in the Tender Document, and nothing is inadvertently left out. This checklist is only for general guidance and is not comprehensive, and does not absolve Bidder from complying with all the requirements stipulated elsewhere in the Tender Document.
- 8). Duly signed Form 8: Integrity Pact
- 9). Any other format/ Form if stipulated in AITB or if considered relevant by the bidder

### **9.2.2 Financial bid/ Cover**

"Financial bid" shall comprise the Price Schedule (To be submitted separately as an excel sheet) considering all financially relevant details, including Taxes and Duties as per ITB- clause 6.3. No additional technical details, which have not been brought out in the technical bid shall be brought out in the financial bid.

### **9.3 Bid Validity**

1. Bids shall remain valid for a period not less than 120 days from the deadline for the bid submission stipulated in TIS. A bid valid for a shorter period shall be rejected as nonresponsive.
2. In case the day upto which the bids are to remain valid falls on/ subsequently declared a holiday or closed day for the Procuring Entity, the bid validity shall automatically be deemed to be extended upto the next working day.
3. In exceptional circumstances, before the expiry of the original time limit, the Procuring Entity may request the bidders to extend the validity period for a specified additional period. The request and the bidders' responses shall be made in writing or electronically. A bidder may agree to or reject the request. A bidder who has agreed to the Procuring Entity's request for extension of bid validity, in no case, he shall be permitted to modify his bid.

### **9.4 Bid Security – Related Documents**

#### **9.4.1 Bid Security**

- 1) EMD as Bid Security: EMD of **Rs. 45,00,000/- (Rupees Forty Five Lakh only)** must be deposited by the Bidder as Earnest Money Deposit (EMD).
- 2) Modalities of EMD: It shall be in favour of the Account specified in TIS and shall be furnished in one of the following modalities valid for forty-five days beyond the validity of the proposal:
  - a) Insurance Surety Bonds,
  - b) Account Payee Demand Draft or
  - c) Fixed Deposit Receipt or
  - d) Banker's cheque

- e) Bank Guarantee from/ confirmed by any of the commercial banks in the format specified in Form 7,
- 3) Forfeiture of EMD: EMD shall be forfeited if the Bidder breaches any of the following obligation(s) under the RFP:
  - (a) withdraws or amends his Proposal or impairs or derogates from the Proposal in any respect within the period of validity of its Proposal; or
  - (b) after having been notified within the period of Proposal validity of the acceptance of his Proposal by the Procuring Entity:
    - i) refuses to or fails to submit the original documents for scrutiny or the required Performance Security within the stipulated time as per the RFP document's conditions.
    - ii) fails or refuses to sign the contract.
- 4) Return of EMD: Unsuccessful Bidder's EMD shall be returned to them without any interest not later than thirty days after the conclusion of the resultant contract. Successful Bidder's EMD shall be returned without any interest after receipt of performance security from them.

#### **9.4.2 Bid Securing Declaration (BSD)**

- 1) MSME/Start Up may furnish/upload, In lieu of Bid Security, a Bid Securing Declaration (BSD) as Form 7A: Documents Relating to Bid Security, along with its Technical bid. The BSD is required to protect the Procuring Entity against the risk of the Bidder's unwarranted conduct as amplified under the sub-clause below.
- 2) The BSD provides for automatic suspension of the Bidder from being eligible for bidding in any tender in Ministry/ Department of Procuring Organisation for 2 years from the date of such enforcement. This declaration shall stand enforced if Bidder breaches the following obligation(s) under the tender conditions:
  - a) withdraws or amends his bid or impairs or derogates from the bid in any respect within the period of validity of its bid; or
  - b) after having been notified within the period of bid validity of the acceptance of his bid by the Procuring Entity:
    - i) refuses to or fails to submit the original documents for scrutiny or the required Performance Security within the stipulated time as per the conditions of the Tender Document.
    - ii) fails or refuses to sign the contract.
- 3) Unsuccessful Bidders' bid-Securing Declaration shall expire, if the contract is not awarded to them, upon:
  - (a) receipt by Bidder of the Procuring Entity's notification
    - i) of cancellation of the entire tender process or rejection of all bids or
    - ii) of the name of the successful bidder or
  - (b) forty-five days after the expiration of the bid validity or any extension thereof
- 4) The bid-Securing Declaration of the successful bidder shall stand expired only when Bidder has furnished the required Performance Security and signed the Agreement.

## **9.5 Non-Compliance with these provisions**

Bids are liable to be rejected as non-responsive if a Bidder:

- 1) fails to provide and/ or comply with the required information, instructions etc., incorporated in the Tender Document or gives evasive information/ reply against any such stipulations.
- 2) furnishes wrong and/ or misleading data, statement(s) etc. In such a situation, besides rejection of the bid as nonresponsive, it is liable to attract other punitive actions under relevant provisions of the Tender Document for violation of the Code of Integrity.

## **10. Signing and Uploading of Bids**

### **10.1 Relationship between Bidder and eProcurement Portal**

The Procuring Entity is neither a party nor a principal in the relationship between Bidder and the organisation hosting the e-procurement portal (hereinafter called the Portal). Bidders must acquaint and train themselves with the rules, regulations, procedures, and implied conditions/ agreements of the Portal. Bidders intending to participate in the bid shall be required to register in the Portal. Bidders shall settle clarifications and disputes, if any, regarding the Portal directly with them. In case of conflict between provisions of the Portal with the Tender Document, provisions of the Portal shall prevail. Bidders may study the resources provided by the Portal for Bidders.

### **10.2 Signing of bid**

The individual signing/ digitally signing the bid or any other connected documents should submit an authenticated copy of the document(s), which authorizes the signatory to commit and submit bids on behalf of the bidder in Form 1.1: Bidder Information.

### **10.3 Submission/ uploading of Bids**

#### **10.3.1 Submission/Uploading to the Portal**

- 1) No manual Bids shall be made available or accepted for submission (except for originals of scanned copies as per sub-clause 5 below). In the case of downloaded documents, Bidder must not make any changes to the contents of the documents while uploading, except for filling the required information– otherwise, the bid shall be rejected as nonresponsive.
- 2) Bids shall be received only Online on or before the deadline for the bid submission as notified in TIS.
- 3) Only one copy of the bid can be uploaded, and Bidder shall digitally sign all statements, documents, certificates uploaded by him, owning sole and complete responsibility for their correctness/ authenticity as per the provisions of the IT Act 2000 as amended from time to time.
- 4) Bidder need not sign or up-load the Schedules in ITB-clause 1.4 above while uploading his bid unless otherwise instructed in the Tender Document. It is assumed that Bidder commits itself to comply with all the Sections and documents uploaded by the Tender Inviting Officer.
- 5) Bidder must upload scanned copies of originals (or self-attested copies of originals – as specified). Uploaded Pdf documents should not be password protected. Bidder should ensure

the clarity/ legibility of the scanned documents uploaded by him.

- 6) If stipulated in the TIS, copies/ originals of such specified uploaded scanned documents must also be physically submitted sealed in double cover and acknowledgement be obtained before the deadline for the bid submission at the venue mentioned. Failure to do so is likely to result in the bid being rejected as nonresponsive. If the office is closed on the deadline for physical submission of originals, it shall stand extended to the next working day at the same time and venue. The Procuring Entity reserves its right to call for verification originals of all such self-certified documents from the Bidders at any stage of evaluation, especially from the successful Bidder(s) before the issue of Letter of Award (LoA).
- 7) Regarding the protected Price Schedule (excel format, Cover-2), Bidder shall write his name in the space provided in the specified location only. Bidder shall type rates in the figure only in the rate column of respective service(s) without any blank cell or Zero values in the rate column, without any alteration/ deletion/ modification of other portions of the excel sheet. If space is inadequate, Bidder may upload additional documents under "Additional Documents" in the "bid Cover Content."
- 8) The date and time of the deadline for the bid submission shall remain unaltered even if the specified date is declared a holiday for the Tender Inviting Officer.
- 9) The date and time of the e-Procurement server clock, which is also displayed on the dashboard of the bidders, shall be taken as the reference time for deciding the closing time of bid submission. Bidders are advised to ensure they submit their bid within the deadline and time of bid submission, taking the server clock as a reference, failing which the portal shall not accept the Bids. No request on the account that the server clock was not showing the correct time and that a particular bidder could not submit their bid because of this shall be entertained. Failure or defects on the internet or heavy traffic at the server shall not be accepted as a reason for a complaint. The Procuring Entity shall not be responsible for any failure, malfunction or breakdown of the electronic system used during the e-Tender Process.
- 10) All Bids uploaded by Bidder to the portal shall get automatically encrypted. The encrypted bid can only be decrypted/ opened by the authorised persons on or after the due date and time. The bidder should ensure the correctness of the bid before uploading and take a printout of the system generated submission summary to confirm successful bid upload.
- 11) The Procuring Entity may extend the deadline for the bid submission by issuing an amendment as per ITB-clause 7.2 above, in which case all rights and obligations of the Procuring Entity and the bidders previously subject to the original deadline shall then be subject to the new deadline for the bid submission.
- 12) Bid submitted through modalities other than those stipulated in TIS shall be liable to be rejected as nonresponsive.

### **10.3.2 Implied acceptance of procedures by Bidders**

Submission of bid in response to the Tender Document is deemed to be acceptance of the e-Procurement and tender procedures and conditions of the Tender Document.

### **10.3.3 Late Bids**

The bidder shall not be able to submit his bid after the expiry of the deadline for the bid submission (as per server time). Therefore, in eProcurement, a situation of Late Tender does not arise.

## **10.4 Modification, Resubmission and Withdrawal of Bids**

### **10.4.1 Modification & Resubmission**

Once submitted in e-Procurement, Bidder cannot view or modify his bid since it is locked by encryption. However, resubmission of the bid by the bidders for any number of times superseding earlier bid(s) before the date and time of submission is allowed. Resubmission of a bid shall require uploading of all documents, including financial bid afresh. The system shall consider only the last bid submitted.

### **10.4.2 Withdrawal**

- 1) The bidder may withdraw his bid before the bid submission deadline, and it shall be marked as withdrawn and shall not get opened during the Bid opening.
- 2) No bid should be withdrawn after the deadline for the bid submission and before the expiry of the bid validity period. If a Bidder withdraws the bid during this period, the Procuring Entity shall be within its right to enforce Bid Security or Bid Securing Declaration, in addition to other punitive actions provided in the Tender Document for such misdemeanor.

## **11. Bid Opening**

The date & time of the opening bid is as stipulated in TIS. Bids cannot be opened before the specified date & time, even by the Tender Inviting Officer, the Procurement Officer, or the Publisher. If the specified date of Bid opening falls on a subsequently declared a holiday or closed day for the Procuring Entity, the Bids shall be opened at the appointed time on the next working day.

## **12. Evaluation of Bids and Award of Contract**

### **12.1 General norms**

#### **12.1.1 Evaluation based only on declared criteria.**

The evaluation shall be based upon scrutiny and examination of all relevant data and details submitted by Bidder in its/ his bid and other allied information deemed appropriate by Procuring Entity. Evaluation of bids shall be based only on the criteria/ conditions included in the Tender Document.

#### **12.1.2 Deviations/ Reservations/ Omissions – Substantive or Minor**

- 1) During the evaluation of Bids, the following definitions apply:
  - a) “Deviation” is a departure from the requirements specified in the Tender

Document;

- b) "Reservation" is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the Tender Document; and
  - c) "Omission" is the failure to submit part or all of the information or documentation required in the Tender Document.
- 2) A deviation/ reservation/ omission from the requirements of the Tender Document shall be considered as a substantive deviation as per the following norm, and the rest shall be considered as Minor deviation:
    - a) which affects in any substantive way the scope, quality, or performance standards of the Services;
    - b) which limits in any substantive way, inconsistent with the Tender Document, the Procuring Entity's rights or the Bidder's obligations under the contract; or
    - c) Whose rectification would unfairly affect the competitive position of other Bidders presenting substantively responsive Bids.
  - 3) The decision of the Procuring Entity shall be final in this regard. Bids with substantive deviations shall be rejected as non-responsive.
  - 4) Variations and deviations and other offered benefits (Techno-commercial or Financial) above the scope/ quantum of Services stipulated in the Tender Document shall not influence evaluation Bids. If the bid is otherwise successful, such benefits shall be availed by the Procuring Entity, and these would become part of the contract.
  - 5) The Procuring Entity reserves the right to accept or reject bids with any minor deviations. Wherever necessary; the Procuring Entity shall convey its observation as per ITB-clause 12.1.3 below, on such 'minor' issues to Bidder by registered/ speed post/ electronically etc. asking Bidder to respond by a specified date. If Bidder does not reply by the specified date or gives an evasive reply without clarifying the point at issue in clear terms, that bid shall be liable to be rejected as nonresponsive.

### **12.1.3 Clarification of Bids and shortfall documents**

- 1) During the evaluation of Techno commercial or Financial Bids, the Procuring Entity may, at its discretion, but without any obligation to do so, ask Bidder to clarify its bid by a specified date. Bidder should answer the clarification within that specified date (or, if not specified, 7 days from the date of receipt of such request). The request for clarification shall be submitted in writing or electronically, and no change in prices or substance of the bid shall be sought, offered, or permitted that may grant any undue advantage to such bidder. Any clarification submitted by a Bidder regarding its Bid that is not in response to a request by the Purchasing Entity shall not be considered.
- 2) If discrepancies exist between the uploaded scanned copies and the Originals submitted by the bidder, the original copy's text, etc., shall prevail. Any substantive discrepancy shall be construed as a violation of the Code of Integrity, and the bid shall be liable to be rejected as non-responsive in addition to other punitive actions under the Tender Document for violation of the Code of Conduct.
- 3) The Procuring Entity reserves its right to, but without any obligation to do so, to seek any shortfall information/ documents only in case of historical

documents which pre-existed at the time of the Bid Opening, and which have not undergone change since then and does not grant any undue advantage to any bidder.

#### **12.1.4 Contacting Procuring Entity during the evaluation.**

From the time of bid submission to awarding the contract, no Bidder shall contact the Procuring Entity on any matter relating to the submitted bid. If a Bidder needs to contact the Procuring Entity for any reason relating to this tender and/ or its bid, it should do so only in writing or electronically. Any effort by a Bidder to influence the Procuring Entity during the processing of bids, evaluation, bid comparison or award decisions shall be construed as a violation of the Code of Integrity, and bid shall be liable to be rejected as non-responsive in addition to other punitive actions for violation of Code of Integrity as per the Tender Document.

## **12.2 Evaluation of Bids**

### **12.2.1 Preliminary Examination of Bids – Determining Responsiveness**

A substantively responsive bid is complete and conforms to the Tender Document's essential terms, conditions, and requirements, without substantive deviation, reservation, or omission. Only substantively responsive bids shall be considered for further evaluation. Unless otherwise stipulated in the AITB, the following are some of the crucial aspects for which a bid shall be rejected as non-responsive:

- 1) The bid is not in the prescribed format or is not submitted as per the stipulations in the Tender Document.
- 2) Required Bid Security (Form 7) or Bid Security Declaration (Form 7A) has not been provided.
- 3) Bidder is not eligible to participate in the bid as per laid down eligibility criteria;
- 4) The Services offered are not eligible as per the provision of this tender.
- 5) Bidder has quoted conditional bids or more than one bid or alternative bids.
- 6) The bid validity is shorter than the required period.
- 7) The bid departs from the essential requirements stipulated in the bidding document;
- 8) Bidder has not quoted all Schedules or against a schedule in Section VI: Schedule of Requirement or has not quoted the entire Services as stipulated in that schedule.
- 9) Bidder has quoted 'Nil' Service charges/ margin over the minimum wages in Personnel Deployment Schedule.
- 10) Non-submission or submission of illegible scanned copies of stipulated documents/ declarations.

### **12.2.2 The evaluation process in Single/ multiple Cover(s) and PQB Tenders**

This Tender Process is for multiple (two or more) covers

- 1) Bids. Initially, only the techno-commercial bids shall be opened on the stipulated date of opening of bids. After that, the techno-commercial evaluation shall be done whether these bids meet the eligibility & qualification criteria and techno- commercial aspects.

Subsequent opening of financial bids and financial evaluation shall be done only of bids declared successful in techno-commercial evaluation.

### **12.3 Techno-commercial Evaluation.**

Only substantively responsive bids shall be evaluated for techno-commercial evaluation. In evaluating the techno-commercial bid, conformity to the eligibility/ qualification criteria, technical specifications, and Quality Assurance; and commercial conditions of the offered Services to those in the Tender Document is ascertained. Additional factors incorporated in the Tender Document shall also be considered in the manner indicated therein. Bids with substantive techno-commercial deviations shall be rejected as non-responsive. Procuring entity reserves its right to consider and allow minor deviations in technical and Commercial Conditions as per ITB-clause 12.1.2.

#### **12.3.1 Evaluation of eligibility**

Procuring Entity shall determine, to its satisfaction, whether the Bidders are eligible as per ITB-clause 3.2 and NIT-clause 3 above to participate in the Tender Process as per submission in Form 1.2: Eligibility Declarations. Tenders that do not meet the required eligibility criteria prescribed shall be rejected as non-responsive.

#### **12.3.2 Evaluation of Qualification Criteria**

Procuring Entity shall determine, to its satisfaction, whether the Bidders are qualified and capable in all respects to perform the contract satisfactorily (subject to dispensation if any, for Start-ups, as per ITB-clause 4.3.2) as per submission in Form 4 and its sub- forms (4.1 to 4.4). This determination shall, inter-alia, consider the Bidder's Experience/ Past Performance, Performance and Financial Capabilities; for satisfying all requirements incorporated in the Tender Document. The determination shall not consider the qualifications of other firms such as the Bidder's subsidiaries, parent entities, affiliates, subcontractors (other than specialized subcontractors if permitted in the bidding document), or any other firm(s) different from the Bidder.

#### **12.3.3 Evaluation of Conformity to Performance Standards and Quality Assurance**

The Procuring Entity shall examine the Performance Standards and Quality Assurance; Methods Statement, Input Deployment Plans and Work Plan, as per Form 3, 3.1 and 3.2.

#### **12.3.4 Evaluation of Conformity to Commercial and Other Clauses**

Bidder must comply with all the Commercial and other clauses of the Tender Document as per submissions in Form 5. The Procuring Entity shall also evaluate the commercial conditions quoted by Bidder to confirm that all terms and conditions stipulated in the Tender Document have been accepted without substantive omissions/ reservations/ exception/ deviation by the Bidder. Deviations from or objections or reservations to critical provisions such as those concerning Governing laws and Jurisdiction (GCC Clause 3), Contractor's Obligations and Restrictions of its Rights (GCC Clause 5), Performance Bond/ Security (GCC Clause 5.8), Force Majeure (GCC Clause 10.7), Taxes & Duties (GCC Clause 11.2), Defects Liability Period (GCC Clause 11.7) and Code of Integrity (GCC Clause 14) will be deemed to be a material deviation.

#### **12.3.5 Declaration of Techno-commercially Suitable Bidders and Opening of Financial Bids**

Bids that succeed in the above techno-commercial evaluation shall be considered techno-commercially suitable, and financial evaluation shall be done only of such Bids. The list of such techno-commercially suitable bidders and a date/time and venue for the opening of their financial bids shall be declared on the Portal and individually to all participant bidders in accordance with ITB-clause 12.2.2 as per the type of Tender Processes.

## **12.4 Evaluation of Financial Bids and Ranking of Bids**

### **12.4.1 Ranking of Financial Bids**

- 1) Evaluation of the financial bids shall be on the price criteria only. Financial Bids of all Techno-commercially suitable bids are evaluated and ranked to determine the lowest priced bidder.
- 2) The comparison of the responsive Bids shall be on total outgo from the Procuring Entity's pocket, to be paid to the contractor or any third party, including all elements of costs as per the terms of the proposed contract, duly delivered, commissioned, etc. as the case may be, including any taxes, duties, levies etc.
- 3) If any bidder offers conditional discounts/ rebates in his bid or suo-motu discounts and rebates after the Bid Opening (techno-commercial or financial), such rebates/ discounts shall not be considered for ranking the offer. But if such a bidder does become L-1 without discounts/ rebates, such discounts/ rebates shall be availed and incorporated in the contracts;
- 4) Evaluation of Bids shall include and consider the following taxes/ duties, as per ITB-clause 6.3 above:
  - a. in the case of Services performed in India or incidental goods of foreign origin already located in India, GST & other similar duties, which shall be contractually payable, on the Services and incidental Goods, if a contract is awarded on the bidder;
  - b) The offers shall be evaluated based on the GST rate quoted by each bidder, and the same shall be used for determining the inter-se ranking. The Procuring Entity shall not be responsible for any misclassification of HSN Number or incorrect GST rate if quoted by the bidder. Any increase in GST rate due to misclassification of HSN number shall have to be absorbed by the supplier; and
  - c) If GST is quoted extra, but with the provision that it shall be charged as applicable at the time of delivery, the offer shall be evaluated for comparison purposes by loading the maximum existing rate of GST for the product/ HSN code.
- 5) **Ambiguous Financial Bid:** If the financial bid is ambiguous and leads to two equally valid total price amounts, it shall be rejected as non-responsive.

### **12.4.2 Cartel Formation/ Pool Rates:**

- 1) If the Procuring Entity decides this to be a case of Cartel/ Pool Rates, if more than one bidder quote the same total evaluated price, then the Procuring Entity reserves its right to distribute unequal quantities among the bidders - excluding one or more bidders based on considerations like performance/ financial capabilities, any extra features/ benefits offered etc.
- 2) If Procuring Entity decides this to be a case of Cartel/ Pool Rates, leading to "Appreciable Adverse Effect on Competition" (AAEC) as identified in Competition Act, 2002, as amended from time to time, It reserves its rights to:
  - (a) order any quantity on any one or more bidders without assigning any reason thereof.

And/ or

- (b) consider it as a violation of the Code of Integrity and reject the bid(s) as non-responsive in addition to other punitive actions provided in this regard in the Tender Document. In addition to such remedies, the Procuring Entity also reserves the right to refer the matter to the Competition Commission of India (CCI) for obtaining necessary relief. In addition, the attention of the bidders is drawn to Chapter VI of the "The Competition Act 2002", which deals with Penalties. Such actions shall be in addition to other rights and remedies available to the Procuring Entity under the contract and Law.

#### 12.4.3 Reasonableness of Rates Received

Procuring Entity shall evaluate whether the rates received in the Bids in the zone of consideration are reasonable. If the rates received are considered abnormally low or unreasonably high, it reserves its right to take action as per the following sub-clauses, or as per ITB-clause 2.3, reject any or all Bids; abandon/ cancel the Tender process and issue another tender for identical or similar Services.

#### 12.4.4 Consideration of Abnormally Low Bids

An Abnormally Low bid is one in which the bid price, in combination with other elements of the bid, appears so low that it raises substantive concerns as to the Bidder's capability to perform the contract at the offered price. Procuring Entity shall in such cases seek written clarifications from the Bidder, including detailed price analyses of its bid price concerning scope, schedule, allocation of risks and responsibilities, and any other requirements of the Tender Document. If, after evaluating the price analyses, procuring entity determines that Bidder has substantively failed to demonstrate its capability to deliver the contract at the offered price, the Procuring Entity shall reject the bid/ proposal, and evaluation shall proceed with the next ranked bidder (and so on), on the basis of own quoted rate by the vendor for award of Contract.

#### 12.4.5 Price Negotiation

Usually, there shall be no price negotiations. However, the Procuring Entity reserves its right to negotiate with the lowest acceptable bidder (L-1), who is techno-commercially suitable for

delivery of Services and on whom the contract would have been placed but for the decision to negotiate.

### **13 Award of Contract**

#### **13.1 The Procuring Entity's Rights**

##### **13.1.1 Right to Vary Quantities at the Time of Award:**

At the time of contract award, the Procuring Entity reserves the right to increase or decrease without any change in the unit prices or other terms and conditions of the bid and the Tender Document, the quantum of Services originally stipulated in Section VI: Schedule of Requirements, provided this increase/ decrease does not exceed 100 (Hundred) percent of tendered quantity (or any other percentage indicated in the Tender Document).

#### **13.2 Letter of Award (Acceptance – LoA) and Signing of Contract**

##### **13.2.1 Selection of Successful Bidder(s)**

The Procuring Entity shall award the contract to the Bidder(s) whose bid(s) is Techno-commercially suitable and bid price(s) is lowest and reasonable, as per evaluation criteria detailed in the Tender Document.

##### **13.2.2 Verification of Original Documents**

Before issuing a Letter of Award (LoA) to the successful Bidder(s), the Procuring Entity may, at its discretion, ask Bidder to submit for verification the originals of all such documents whose scanned copies were submitted online along with the Technical bid. If so decided, the photocopies of such self-certified documents shall be verified and signed by the competent officer and kept in the records as part of the contract agreement. If the Bidder fails to provide such originals or in case of substantive discrepancies in such documents, it shall be construed as a violation of the Code of Integrity. Such bid shall be liable to be rejected as non-responsive in addition to other punitive actions provided in the Tender Document. The evaluation of Bids shall proceed with the subsequent ranked offers.

##### **13.2.3 Letter of Award (LoA)**

- 1) The Bidder, whose bid has been accepted and documents verified (at the discretion of Procuring Entity), shall be notified of the award by the Procuring Entity before the expiration of the bid validity period by written or electronic means. This notification (hereinafter and in the Conditions of Contract called the "Letter of Award - LoA") shall state the sum (hereinafter and in the contract called the "Contract Price") that the Procuring Entity shall pay the contractor in consideration of delivery of Services. The Letter of Award (LoA) shall constitute the legal formation of the contract, subject only to the furnishing of performance security as per the provisions of the sub-clause below. The Procuring Entity, at its discretion, may directly issue the contract subject only to the furnishing of performance security, skipping the issue of LoA.

##### **13.2.4 Performance Security**

- 1) Within 28 days of receipt of the Letter of Award (LoA, or the contract if LoA has been skipped), performance Security as per details in GCC-5.8 shall be submitted by the contractor to the Procuring Entity.

- 2) If the contractor, having been called upon by the Procuring Entity to furnish Performance Security, fails to do so within the specified period, it shall be lawful for the Procuring Entity at its discretion to annul the award and enforce Bid Security or Bid Securing Declaration, besides taking any other administrative punitive action like 'Removal from List of Registered Suppliers' etc.
- 3) If the bidder, whose bid is the lowest evaluated bid withdraws or whose bid has been accepted, fails to sign the procurement contract as may be required or fails to provide the security as may be required for the performance of the contract or otherwise withdraws from the procurement process, the Procuring Entity shall cancel the procurement process. The Procuring Entity on being satisfied that it is not a case of cartelization and that the integrity of the procurement process has been maintained, may offer the next successful bidder an opportunity to match the financial bid of the first successful bidder, and if the offer is accepted, award the contract to the next successful bidder at the price bid of the first successful bidder.

### **13.2.5 Signing of Contract.**

- 1) within fourteen working days of receiving performance security and after verification of the performance security from the issuing bank, the Procuring Entity shall send the contract form (as per Format 1: Contract Form along with sub-formats) duly completed and signed, in duplicate, by registered/ speed post or by suitable digital means to the successful Bidder.
- 2) If so asked by the Procuring Entity, the successful Bidder shall return the original copy of the contract, duly signed and dated, within seven days from the date of receipt of the contract, to the Procuring Entity by registered/ speed post or by a suitable digital means.
- 3) Otherwise, the contract shall be taken to be legally effective from the date of its signing. The contractor may point out to the Procuring Entity, in writing/ electronically, any anomalies noticed in the contract within seven days of its receipt.

### **13.2.6 Expiry of bid Declaring Declaration**

Upon the furnishing by the successful Bidder of the Performance Security, the Procuring Entity shall promptly notify the other Bidders that their Bids have been unsuccessful. Bid Security of the unsuccessful bidders will be returned or the Bid Securing Declarations of unsuccessful bidders shall expire on receipt of this notification by them, in terms of ITB-clause 9.4 above. The bid-Securing Declaration of the successful bidder shall expire when Bidder has furnished the required Performance Security and signed the Agreement.

### **13.2.7 Publication of Tender Result**

The name and address of the successful Bidder(s) receiving the contract(s) shall be published in the Portal and notice board/ bulletin/website of the Procuring Entity.

## **14. Grievance Redressal/ Complaint Procedure**

- 1) Bidder has the right to submit a complaint or seek de-briefing regarding the rejection of his bid, in writing or electronically, within 10 days of declaration of

techno-commercial or financial evaluation results. The complaint shall be addressed to the Head of Procurement.

- 2) Within 5 working days of receipt of the complaint, the Tender Inviting Officer shall acknowledge the receipt in writing to the complainant indicating that it has been received, and the response shall be sent in due course after a detailed examination.
- 3) The Tender Inviting Officer shall convey the final decision to the complainant within 15 days of receiving the complaint. No response shall be given regarding the confidential process of evaluating bids and awarding the contract before the award is notified, although the complaint shall be kept in view during such a process. However, no response shall be given regarding the following topics explicitly excluded from such complaint process:
  - a) Only a bidder who has participated in the concerned Tender Process, i.e., pre-qualification, bidder registration or bidding, as the case may be, can make such representation.
  - b) Only a directly affected bidder can represent in this regard.
    - i) In case of pre-qualification bid has been evaluated before the bidding of Technical/ financial bids, an application for review concerning the technical/ financial bid may be filed only by a bidder who has qualified in pre-qualification bid;
    - ii) In case a technical bid has been evaluated before the opening of the financial bid, an application for review concerning the financial bid may be filed only by a bidder whose technical bid is found to be acceptable.
  - c) Following decisions of the Procuring Entity shall not be subject to review:
    - i) Determination of the need for procurement.
    - ii) Complaints against performance standards except under the premise that they are either vague or too specific to limit competition
    - iii) Selection of the mode of procurement or bidding system;
    - iv) Choice of the selection procedure.
    - v) Provisions limiting the participation of bidders in the Tender Process, in terms of policies of the Government
    - vi) Provisions regarding purchase preferences to specific categories of bidders in terms of policies of the Government
    - vii) The decision to enter into negotiations with the L-1 bidder; and
    - viii) Cancellation of the Tender Process except where it is intended to subsequently re-tender the same Services.

#### **15. Code of Integrity in Public Procurement, Misdemeanors and Penalties:**

Procuring authorities, bidders, suppliers, contractors, and consultants should observe the highest standard of integrity and not indulge in prohibited practices or other misdemeanors,

either directly or indirectly, at any stage during the Tender Process or during the execution of resultant contracts. GCC-clause 14 (including the penalties prescribed therein) shall be considered to be part of this clause of ITB (even though it is not being reproduced here for the sake of brevity) and shall apply mutadis mutandis during the pre-award tender process.

## Section III: Appendix to Instructions to Bidders (AITB)

**Tender Document No.** IR-27/2/2025-IR-DOP

**Tender Title:** Deployment of Smart Lock Systems to Strengthen Mail Security and Service Quality **(Ref ITB-clause 1.4)**

*Note for Bidders: Following clauses (in column 1), wherever these appear in ITB shall be taken to be negated or additional provision be added to, or existing provisions be altered as per column 2. Whenever there is any conflict between the provision in the ITB and that in the AITB, the provision contained in the AITB shall prevail.*

ITB Clauses	To be read as
<b>ITB 3, 4</b>	<b>Bidders - Eligibility and Preferential Policies</b>
ITB 4.2.2	Relaxation in Prior turnover and experience will be available to MSEs as detailed in <b>Section VIII: Qualification Criteria.</b>
ITB 4.3.2	Relaxation in Prior turnover and experience will be available to Start-ups as detailed in <b>Section VIII: Qualification Criteria.</b>
<b>ITB 5 The Schedule of Requirements and Form of Contract</b>	
ITB 5.2	Bidder must quote for all Schedules
ITB 5.3	Necessary space at each location (Mail Office managing the MMS vehicles) as is required to setup the charging infra for the smart locks.
ITB 5.4	The contract Period will be nine months , unless terminated earlier as per the contract. In addition, at the option of the Procuring Entity, the contract period may be extended by one more year.
ITB 5.5	Form of BOQ/ Contract shall be Lumpsum
<b>ITB 6. Bid Prices, Taxes and Duties</b>	
ITB 6.1.6	Prices are to be quoted in INR only.
ITB 6.2.1	Prices will remain firm and no variation allowed.
ITB 6.4.1	Payment will be made on monthly basis.
ITB 6.4.2	No Advance Payments will be made.
<b>ITB 7 to 11 Downloading, Preparation, Submission and Opening of Bids</b>	
ITB 9.1.1	Bids to be submitted in English language.
ITB 9.1.6	Alternate Bids are not allowed.
<b>ITB 12 and 13 Evaluation of Bids and Award of Contract</b>	
ITB 12.2.2	Two cover bid process i.e. techno-commercial bids and financial bids

ITB 12.4.1	Financial Bids are to be ranked on the basis of price criteria (all-inclusive prices)
ITB 12.4.2	Global Tender Enquiry (International Competitive Bidding) not allowed
ITB 13.1.1	At the time of contract award, the Procuring Entity reserves the right to increase or decrease without any change in the unit prices or other terms and conditions of the bid and the Tender Document, the quantum of Services originally stipulated in Section VI: Schedule of Requirements, provided this increase/ decrease does not exceed 100 (Hundred) percent of tendered quantity (or any other percentage indicated in the Tender Document).
ITB 13.2.4	Performance guarantee will be 3% of contract value

## **Section IV: General Conditions of Contract (GCC)**

### **1. General**

#### **1.1 Tenets of Interpretation**

Unless where the context requires otherwise, throughout the contract:

- 1) The heading of these conditions shall not affect the interpretation or construction thereof.
- 2) Writing or written includes matter either whole or in part, in digital communications, manuscript, typewritten, lithographed, cyclostyled, photographed, or printed under or over signature or seal or digitally acceptable authentication, as the case may be.
- 3) Words in the singular include the plural and vice-versa.
- 4) Words importing the masculine gender shall be taken to include other genders, and words importing persons shall include any company or association or body of individuals, whether incorporated or not.
- 5) Terms and expression not herein defined shall have the meanings assigned to them in the Contract Act, 1872 (as amended) or the Sale of Goods Act, 1930 (as amended) or the General Clauses Act, 1897 (as amended) or of INCOTERMS, (current edition published by the International Chamber of Commerce, Paris) as the case may be.
- 6) Any reference to 'Services' shall be deemed to include the incidental Works/ Goods also.
- 7) Any generic reference to GCC shall also imply a reference to SCC as well.
- 8) In case of conflict, provisions of SCC shall prevail over those in GCC.
- 9) Any reference to 'Contract' shall be deemed to include all other documents (inter-alia GCC, SCC) as described in GCC-clause 2.5.
- 10) Any reference to any legal Act, Government Policies or orders shall be deemed to include all amendments to such instruments, from time to time, till date.
- 11) GCC-clause 5.13 (Book Examination clause) shall not apply unless invoked explicitly in the contract.

#### **1.2 Definitions**

In the contract, unless the context otherwise requires:

- 1) "Agent" is a person employed to do any act for another or represent another in dealings with a third person. In the context of public procurement, an Agent is a representative participating in the Tender Process or Execution of a Contract for and on behalf of its principals.
- 2) "Allied Firm" are all business entities that are within the 'controlling ownership interest' (ownership of or entitlement to more than twenty-five percent of the company's shares or capital or profits) or 'control' (including the right to appoint a majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholder agreements or voting agreements) of the principal firm acting alone or together or through one

or more juridical persons. All successor firms or assigns of the principal firm shall be considered as allied firms.

- 3) "Beneficiary" (of Services/ Works) means the person for whom the Services/ Works are to be delivered as stipulated in the contract.
- 4) "bid" (including the term 'tender', 'offer', 'quotation' or 'proposal' in specific contexts) means an offer to supply goods, services or execution of works made as per the terms and conditions set out in a document inviting such offers.
- 5) "Bidder" (including the term 'Bidder', 'consultant' or 'service provider' in specific contexts) means any person or firm or company, including any member of a consortium or joint venture (that is an association of several persons, or firms or companies), every artificial juridical person not falling in any of the descriptions of bidders stated hereinbefore, including any agency branch or office controlled by such person, participating in a Tender Process.
- 6) "Bill of Quantities" (including the term Price Schedule or BOQ) means the priced and completed Bill of Quantities forming part of the bid.
- 7) "Commercial Bank" means a bank, defined as a scheduled bank under section 2(e) of the Reserve Bank of India Act, 1934.
- 8) "Contract" (including the terms 'Purchase Order' or 'Supply Order' or 'Withdrawal Order' or 'Work Order' or 'Consultancy Contract' or 'Contract for Services', 'rate contract' or 'framework contract' or 'Letter of Award – LoA' (letter or memorandum communicating to the contractor the acceptance of his bid) or 'Agreement' or a 'repeat order' accepted/ acted upon by the contractor in specific contexts), means a formal legal agreement in writing relating to the subject matter of procurement, entered into between the Procuring Entity and the contractor on mutually acceptable terms and conditions and which are in compliance with all the relevant provisions of the laws of the country;
- 9) "Contractor" (including the terms 'Supplier' or 'Service Provider' or 'Consultant' or 'Firm' or 'Vendor' or 'Manufacturer' or 'Successful Bidder' in specific contexts) means the person, firm, company, or a Joint Venture with whom the contract is entered into and shall be deemed to include the contractor's successors (approved by the Procuring Entity), agents, subcontractor, representatives, heirs, executors, and administrators as the case may be unless excluded by the terms of the contract;
- 10) "Contract Manager" means the Procurement Officer or any other officer or a third-party agency who has been assigned the authority to take all actions on behalf of the Procuring Entity during the execution of the contract by the contractor;
- 11) "Day", "Month", "Year" shall mean calendar day/ month or year (unless reference to financial year is clear from the context).
- 12) "Drawing" means the drawing or drawings stipulated in or annexed to the Specifications or the Tender Document/ Contract;
- 13) "Equipment" means the contractor's machinery and vehicles brought temporarily to the Site for the performance of Service.
- 14) "General Conditions" means the General Conditions of Contract, also referred to as GCC.
- 15) "Goods" (including the terms 'Stores', 'Material(s)' in specific contexts) includes all articles, material, commodity, livestock, medicines, furniture, fixtures, raw material, consumables, spare parts, instruments, machinery, equipment, industrial plant, vehicles, aircrafts, ships, railway rolling stock assemblies, sub- assemblies,

accessories, a group of machines comprising an integrated production process or such other categories of goods or intangible, products like technology transfer, licenses, patents or other intellectual properties (but excludes books, publications, periodicals, etc., for a library), in specific contexts), procured or otherwise acquired by a Procuring Entity. Any reference to Goods shall be deemed to include specific small work or some services that are incidental or consequential to the supply of such goods;

- 16) "Government" means the Central Government or a State Government as the case may be and includes agencies and Public Sector Enterprises under it, in specific contexts;
- 17) "Inspection" means activities such as measuring, examining, testing, analysing, gauging one or more characteristics of the goods or services or works, and comparing the same with the specified requirement to determine conformity.
- 18) "Intellectual Property Rights" (IPR) means the rights of the intellectual property owner concerning a tangible or intangible possession/ exploitation of such property by others. It includes rights to Patents, Copyrights, Trademarks, Industrial Designs, Geographical indications (GI).
- 19) "Joint Venture" means a Joint Venture or a Consortium (that is an association of several persons, or firms or companies - also referred to as JV/C)
- 20) "Materials" means all supplies, including consumables, used by the contractor for service performance or use by his staff.
- 21) "Outsourcing of Services" means deployment of outside agencies on a sustained long-term basis (for one year or more, excluding short-term stand-alone Services) for the performance of routine Services (e.g., Security Services, Horticultural Services, Janitor/Cooking/Catering/Management Services for Hostels and Guest Houses, Cleaning/Housekeeping Services, Errand/Messenger Services and so forth) that were traditionally being done in-house in the Government.
- 22) "Parties": The parties to the contract are the "Contractor" and the "Procuring Entity", as defined in this clause;
- 23) "Performance Security" (includes the terms 'Security Deposit' or 'Performance Bond' or 'Performance Bank Guarantee' or other specified financial instruments in specific contexts) means a monetary guarantee to be furnished by the successful Bidder or Contractor in the form prescribed for the due performance of the contract;
- 24) "Procurement" or "public procurement" (or 'Purchase', or 'Government Procurement/ Purchase' including an award of Public-Private Partnership projects, in specific contexts) means the acquisition of Goods/ Services/ works by way of purchase, lease, license or otherwise, either using public funds or any other source of funds (e.g. grant, loans, gifts, private investment etc.) of goods, works or services or any combination thereof, by a Procuring Entity, whether directly or through an agency with which a contract for procurement services is entered into, but does not include any acquisition without consideration. The term "procure"/ "procured" or "purchase"/ "purchased" shall be construed accordingly;
- 25) "Procuring Entity" means the entity in The Procuring Organization procuring Goods, Works, or Services;
- 26) "Procurement Officer" means the officer signing the Letter of Award (LoA) and/or the contract on behalf of the Procuring Entity;

- 27) **Route** –*The journey of the Mail Carriage Vehicle touching multiple points on its way while completing its intended activity of mail pickup and drop will be treated as one route.*
- 28) “Service(s)” (including the term ‘Non-consultancy services’ or ‘Outsourcing of Services’ in specific contexts) are defined by exclusion as services that cannot be classified as Consultancy Services. Services (non-Consultancy) involve routine, repetitive physical, procedural, and non-intellectual outcomes for which quantum and performance standards can be tangibly identified and consistently applied and are bid and contracted on such basis but does not include the appointment of an individual made under any law, rules, regulations, or order issued in this behalf. Any reference to Services shall be deemed to include the supply of goods or performance of consultancy service or small works, which are incidental or consequential to such services;
- 29) “Special Conditions” means Special Conditions of Contract, which override the General Conditions, also referred to as SCC.
- 30) “Specification” or “Technical Specification” means the drawing/ document/ standard or any other details governing the construction, manufacture or supply of goods or performance of services that prescribes the requirement to which goods or services have to conform as per the contract.
- 31) “Signed” means ink signed or digitally signed with a valid Digital Signature as per IT Act 2000 (as amended from time to time). It also includes stamped, except in the case of Letter of Award or amendment thereof.;
- 32) “Sub-Contractor” means a person or corporate body with an agreement with the contractor to carry out a specific part of the contract that may or may not include working on the Site.
- 33) “Temporary Works” means works designed, constructed, installed, and removed by the contractor needed during the Services' performance.
- 34) “Variation” means an instruction given by the Contract Manager, which varies the scope, quantum or performance standards of the Service performed.
- 35) “Tender”; “Tender Document”; “Tender Enquiry” or “Tender Process”: ‘Tender Process’ is the whole process from the publishing of the Tender Document till the resultant award of the contract. ‘Tender Document’ means the document (including all its sections, appendices, forms, formats, etc.) published by the Procuring Entity to invite bids in a Tender Process. The Tender Document and Tender Process may be generically referred to as “Tender’ or ‘Tender Enquiry’, which would be clear from context without ambiguity.
- 36) “Works” refer to any activity involving construction, fabrication, repair, overhaul, renovation, decoration, installation, erection, excavation, dredging, and so on, which make use of a combination of one or more of engineering design, architectural design, material and technology, labour, machinery, and equipment.

### **1.3. Document Conventions**

All words and phrases defined in GCC-clause 1.2 are written as ‘Capitalised word’ and shall have the defined meaning. The rest of the words shall be as per grammar, inter- alia ‘Services’ shall indicate definition as given in the GCC while ‘services’ shall have usual dictionary meaning.

#### **1.4. Abbreviations:**

AITB	Appendix to Instructions To Bidders
AO	Account Office
BOQ	Bill of Quantities
BO	Branch Post Office
BSD	Bid Securing Declaration
DPIIT	Department for Promotion of Industry and Internal Trade
EFT	Electronic Funds Transfer
EPFO	Employees Provident Fund Organization
ESI	Employees State Insurance
GCC	General Conditions of Contract
GeM	Government e-Marketplace
GST	Goods and Services Tax
GSTIN	GST Identification Number
GTE	Global Tender Enquiry (International Competitive Bidding)
HSN	Harmonized System of Nomenclature
IEM	Independent External Monitor
IPR	Intellectual Property Rights
INR	Indian Rupee
ITB	Instructions To Bidders
JV	Joint Venture
JV/C	Joint Venture/ Consortium
LoA	Letter of Award (Acceptance)
MII	Make in India
MMS	Mail Motor Service

MSE	Micro and Small Enterprises
MSME	Micro Small and Medium Enterprises
MSMED	MSME Development (Act)
NEFT	National Electronic Funds Transfer
NIT	Notice Inviting Tender
PAN	Permanent Account Number
PC	(Indian) Penal Code
PPD	Procurement Policy Division
PQB	Pre-Qualification Bidding
PVC	Price Variation Clause
RAP	Reverse Auction Process
RCM	Reverse Charge Mechanism
SC	Scheduled Caste
SCC	Special Conditions of Contract
ST	Scheduled Tribe
TCS	Tax Collected at Source
TDS	Tax Deducted at Source
TIA	Tender Inviting Authority
TIS	Tender Information Summary

## **2. The Contract**

### **2.1 Language of Contract**

The contract shall be written in the English. All correspondence and other contract documents, which the parties exchange, shall also be written accordingly in that language. For purposes of interpretation of the contract, the English documents/ translation shall prevail.

### **2.2 The Entire Agreement**

This Contract and its documents (referred to in GCC-clause 2.5 below) constitutes the entire agreement between the Procuring Entity and the contractor and supersedes all other communications, negotiations, and agreements (whether written or oral) of the Parties made before the date of this Contract. No agent or representative of either Party has the authority to make, and the Parties shall not be bound by or be liable for, any statement, representation, promise or agreement not outlined in this Contract.

### **2.3. Severability**

If any provision or condition of this Contract is prohibited or rendered invalid or unenforceable, such prohibition, invalidity or unenforceability shall not affect the validity or enforceability of any other provisions and conditions of this Contract.

### **2.4. Parties**

The parties to the contract are the contractor and the Procuring Entity, as defined in GCC-clause 1.2 above and as nominated in the contract.

### **2.5. Contract Documents and their Precedence**

The following conditions and documents in indicated order of precedence (higher to lower) shall be considered an integral part of the contract, irrespective of whether these are not appended/ referred to in it. Any generic reference to 'Contract' shall imply reference to all these documents as well:

- 1) Valid and authorized Amendments issued to the contract.
- 2) the Agreement consisting of the initial paragraphs, recitals and other clauses set forth immediately before the GCC and including the formats annexed to it and signatures of Procuring Entity;
  - a) Format 1.1: Description of Services;
  - b) Format 1.2: Price Schedule;
- 3) the Letter of Award (LoA)
- 4) Final written submissions made by the contractor during negotiations, if any;
- 5) the SCC
- 6) the GCC
- 7) the contractor's bid;
- 8) any other document listed in the SCC as forming part of this Contract.
- 9) Integrity Pact

## **2.6 Modifications/ Amendments, Waivers and Forbearances**

### **2.6.1 Modifications/ Amendments of Contract**

- 1) If any of the contract provisions must be modified after the contract documents have been signed, the modifications shall be made in writing and signed by the

Procuring Entity, and no modified provisions shall be applicable unless such modifications have been done. No variation in or modification of the contract terms shall be made except by a written amendment signed by the Procuring Entity. Requests for changes and modifications may be submitted in writing by the contractor to the Procuring Entity. At any time during the currency of the contract, the Procuring Entity may suo-moto or, on request from the contractor, by written order, amend the contract by making alterations and modifications within the general scope of the Contract.

- 2) If the contractor does not agree to the suo-moto modifications/ amendments made by the Procuring Entity, he shall convey his views within 14 days from the date of amendment/ modification. Otherwise, it shall be assumed that the contractor has consented to the amendment.
- 3) Any verbal or written arrangement abandoning, modifying, extending, reducing, or supplementing the contract or any of the terms thereof shall be deemed conditional and shall not be binding on the Procuring Entity unless and until the same is incorporated in a formal instrument and signed by the Procuring Entity, and till then the Procuring Entity shall have the right to repudiate such arrangements.

## **2.6.2 Waivers and Forbearance**

The following shall apply concerning any waivers, forbearance, or similar action taken under this Contract:

- 1) Any waiver of a Procuring Entity's rights, powers, or remedies under this Contract must be in writing, dated, and signed by an authorized representative of the Procuring Entity granting such waiver and must specify the terms under which the waiver is being granted.
- 2) No relaxation, forbearance, delay, or indulgence by Procuring Entity in enforcing any of the terms and conditions of this Contract or granting of an extension of time by Procuring Entity to the contractor shall, in any way whatsoever, prejudice, affect, or restrict the rights of Procuring Entity under this Contract, neither shall any waiver by Procuring Entity of any breach of Contract operate as a waiver of any subsequent or continuing breach of Contract.

## **2.7 Separate Contracts in Connection with Services**

The Procuring Entity shall have the right to let other contracts related to or linked with the Services. The contractor shall afford other contractors' reasonable opportunity to store their materials, execute their services/ works, and properly connect and coordinate their services. The contractor shall inspect services/ works of other contractors(s) and promptly report to the Contract Manager any defects that may hinder a proper execution of his Services to proper performance standards. The contractor's failure to inspect and report such defects shall constitute an acceptance of the other contractor's work as fit and proper for the performance of Contractor's services, except as to defects that may develop in the other contractor's work after such a provision Services.

## **3. Governing Laws and Jurisdiction**

### **3.1. Governing Laws and Jurisdiction**

- 1) The Contract, its meaning and interpretation, and the relation between the Parties shall be governed by the Laws of India for the time being in force.
- 2) Irrespective of the place of delivery, or the place of performance or the place of payments under the contract, the contract shall be deemed to have been made at the place from which the Letter of Award (LoA, or the contract Agreement, in the absence of LoA) has been issued. The courts of such a place shall alone have jurisdiction to decide any dispute arising out or in respect of the contract.

### **3.2 Change in Laws and Regulations**

If after the last deadline for the bid submission (Techno-commercial), any law, regulation, ordinance, order or bye-law having the force of law is enacted, promulgated, abrogated, or changed in India (which shall be deemed to include any change in interpretation or application by the competent authorities) that subsequently affects the Delivery Date and/ or the contract Price, then such Delivery Date and/ or Contract Price shall be correspondingly increased or decreased, to the extent that the contractor has thereby been affected in the performance of any of its obligations under the contract. Notwithstanding the foregoing, such additional or reduced cost shall not be separately paid or credited if the same has already been accounted for in the price adjustment provisions where applicable.

## **4. Communications**

### **4.1. Communications**

- 1) All communications under the contract shall be served by the parties on each other in writing, in the contract's language, and served in a manner customary and acceptable in business and commercial transactions.
- 2) The effective date of such communications shall be either the date when delivered to the recipient or the effective date mentioned explicitly in the communication, whichever is later.
- 3) No communication shall amount to an amendment of the terms and conditions of the contract, except a formal letter of amendment of Contract, so designated.
- 4) Such communications would be an instruction or a notification or an acceptance or a certificate from the Procuring Entity, or it would be a submission or a notification from the contractor. A notification or certificate which the contract requires must be communicated separately from other communications.
- 5) The Contract Manager shall direct the order in which the several components of the Services shall be provided, and the contractor shall execute without delay all orders given by the Contract Manager from time to time. Still, the contractor shall not be relieved thereby from responsibility for the due performance of the Services in all respects.

### **4.2 Persons signing the Communications**

For all purposes of the contract, including arbitration, thereunder all communications to the other party shall be signed by:

- 1) The person who has signed the contract on behalf of the contractor, shall sign all correspondences. A person signing communication in respect of the contract or purported to be on behalf of the contractor, without disclosing his authority to do so, shall be deemed to warrant that he has authority to bind the

contractor. If it is discovered at any time that the person, so signing has no authority to do so, the Procuring Entity reserves its right, without prejudice to any other right or remedy, to terminate the contract for default in terms of the contract and avail any or all the remedies thereunder and hold such person personally and/ or the contractor liable to the Procuring Entity for all costs and damages arising from such remedies.

- 2) The Contract Manager signing the contract shall administer the contract and sign communications on behalf of the Procuring Entity. Beneficiaries of Services and the Paying Authorities mentioned in the contract shall also administer respective functions during Contract Execution.

#### **4.3 Address of the parties for sending communications by the other party.**

For all purposes of the contract, including arbitration, thereunder the address of parties to which the other party shall address all communications and notices shall be:

- 1) The address of the contractor as mentioned in the contract, unless the contractor has notified change by a separate communication containing no other topic to the Procuring Entity. The contractor shall be solely responsible for the consequence of an omission to notify a change of address in the manner aforesaid, and
- 2) The address of the Procuring Entity shall be the address mentioned in the contract. The contractor shall also send additional copies to officers of the Procuring Entity presently dealing with the contract.
- 3) In case of the communications from the contractor, copies of communications shall be marked to the Contract Manager and the Procuring Entity's officer signing the contract, and as relevant also to interim/ ultimate Beneficiaries of Services and the Paying Authorities mentioned in the contract. Unless already specified before the contract's start, the Procuring Entity and the contractor shall notify each other if additional copies of communications are to be addressed to additional addresses.

### **5. Contractor's Obligations and restrictions on its Rights**

#### **5.1. Change in Constitution/ financial stakes/ responsibilities of a Contract's Business**

The contractor must proactively keep the Procuring Entity informed of any changes in its constitution/ financial stakes/ responsibilities during the execution of the contract.

- 1) Where the contractor is a partnership firm, the following restrictions shall apply to changes in the constitution during the execution of the contract:
  - a) a new partner shall not be introduced in the firm except with the previous consent in writing of the Procuring Entity, which shall be granted only upon execution of a written undertaking by the new partner to perform the contract and accept all liabilities incurred by the firm under the contract before the date of such undertaking.
  - b) On the death or retirement of any partner of the contractor firm before the complete performance of the contract, the Procuring Entity may, at his option, terminate the contract for default as per the contract and avail any or all remedies thereunder.
  - c) If the contract is not terminated as provided in Sub-clause (b) above notwithstanding the retirement of a partner from the firm, that partner shall continue to be liable under the contract for acts of the firm until a copy of the public notice given by him under Section 32 of the Partnership Act, has been

sent by him to the Procuring Entity in writing or electronically.

## **5.2 Obligation to Maintain Eligibility and Qualifications**

The contract has been awarded to the contractor based on specific eligibility and qualification criteria. The contractor is contractually bound to maintain such eligibility and qualifications during the execution of the contract. Any change which would vitiate the basis on which the contract was awarded to the contractor should be pro-actively brought to the notice of the Procuring Entity within 7 days of it coming to the Contractor's knowledge. These changes include but are not restricted to:

- 1) Change regarding declarations made by it in its bid in Form 1.2: Eligibility Declaration
- 2) Change in its qualification criteria submitted in its bid in Form 4: Qualification Criteria - Compliance and its sub-forms.

## **5.3 Restriction on Potential Conflict of Interest**

- 1) Neither the contractor nor its Subcontractors nor the Personnel shall engage, either directly or indirectly, in any of the following activities:
  - a) during the term of this Contract, any business or professional activities in India that would conflict with the activities assigned to them under this Contract.
  - b) after the termination of this Contract, such other activities as may be stipulated in the contract.
- 2) During the term of this Contract and after its termination, the contractor, and its affiliates, as well as any Subcontractor and any of its affiliates, shall be disqualified from providing goods, works, or Services (other than the subject Service of this Contract and any continuation thereof) for any project resulting from or closely related to the subject Services of this Contract.

## **5.4 Consequences of breach by Constituents of a Contractor.**

Should the contractor or any of its partners or its Subcontractors or the Personnel commit a default or breach of GCC-clause 5.1 to 5.7, the Contractor shall remedy such breaches within 21 days, keeping the Procuring Entity informed. However, at its discretion, the Procuring Entity shall be entitled, and it shall be lawful on his part, to treat it as a breach of contract and avail any or all remedies thereunder. The decision of the Procuring Entity as to any matter or thing concerning or arising out of GCC- clause 5.1 to 5.7 or on any question whether the contractor or any partner of the contractor firm has committed a default or breach of any of the conditions shall be final and binding on the contractor.

### **5.4.1 In the case of Joint Venture/ Consortium**

In the event of default or breach of GCC-clause 5.1 to 5.7 by any member, in the execution of his part of Contract, the Procuring Entity shall be so notified within 30 days by the Lead Member, or in the case of the Lead Member being the defaulter, by the member nominated as Lead Member of the remaining Joint Venture. Within 60 days of the said notice, the Lead Member shall assign the work of the defaulting member to any other equally competent party acceptable to the Procuring Entity to ensure the execution of that part of the contract. Failure to comply with the above provisions or if the Lead Member himself defaults shall be lawful for the Procuring Entity to terminate the contract for default and avail any or all remedies thereunder.

## **5.5 Assignment and Sub-contracting**

- 1) the contractor shall not, save with the previous consent in writing of the Procuring Entity, sublet, transfer, or assign the contract or any part thereof or interest therein

or benefit or advantage thereof in any manner whatsoever.

- 2) The contractor shall notify the Procuring Entity in writing all subcontracts awarded under the contract if not already stipulated in the contract. In its original bid or later, such notification shall not relieve the contractor from any of its liability or obligation under the terms and conditions of the contract. Subcontract shall be only for bought out services and incidental goods/ works. Subcontracts must comply with and should not circumvent Contractor's compliance with its obligations under GCC-clause 5.1 to 5.7, based on which Contract is awarded to him.
- 3) If the contractor sublets or assigns this Contract or any part thereof without such permission, the Procuring Entity shall be entitled, and it shall be lawful on his part, to treat it as a breach of contract and avail any or all remedies thereunder.

## **5.6 Obligation to Indemnity Procuring Entity**

### **5.6.1 For breach of IPR Rights**

- 1) the contractor shall indemnify and hold harmless, free of costs, the Procuring Entity and its employees and officers from and against all suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of any nature, including attorney's fees and expenses, which may arise in respect of the Services provided by the contractor under this Contract, as a result of any infringement or alleged infringement of any patent, utility model, registered design, copyright, or other Intellectual Proprietary Rights (IPR) or trademarks, registered or otherwise existing on the date of the contract arising out of or in connection with:
  - a) any design, data, drawing, specification, or other documents or Services provided or designed by the contractor for or on behalf of the Procuring Entity.
    - a) The sale by the Procuring Entity in any country of the services/ products produced by the Services delivered by the contractor, and
    - b) The delivery of the Services by the contractor or the use of the Services at the Procuring Entity's Site
- 2) Such indemnity shall not cover any use of the Services or any part thereof other than for the purpose indicated by or to be reasonably inferred from the contract, neither any infringement resulting from the use of the Services or any part thereof, or any service/ products produced thereby in association or combination with any other service, equipment, plant, or materials not delivered by the contractor.
- 3) If any proceedings are brought, or any claim is made against the Procuring Entity arising out of the matters referred above, the Procuring Entity shall promptly give the contractor a notice thereof. At its own expense and in the Procuring Entity's name, the contractor may conduct such proceedings and negotiations to settle any such proceedings or claim, keeping the Procuring Entity informed.
- 4) If the contractor fails to notify the Procuring Entity within twenty-eight (28) days after receiving such notice that it intends to conduct any such proceedings or claim, then the Procuring Entity shall be free to conduct the same on its behalf at the risk and cost to the contractor.
- 5) At the contractor's request, the Procuring Entity shall afford all available assistance to the contractor in conducting such proceedings or claim and shall be reimbursed by the contractor for all reasonable expenses incurred in so doing.

## **5.6.2 For Losses and Damages Caused by Contractor**

- 1) the contractor shall indemnify and keep harmless the Procuring Entity, from and against, all actions, suit proceedings, losses, costs, damages, charges, claims, and demands of every nature and description brought or recovered against the Procuring Entity because of any act or omission or default or negligence or trespass of the contractor, his agents, or employees despite all reasonable and proper precautions may have been taken, during the execution of the Services. The contractor shall make good at his own expense all resulting losses and/ or damages to:
  - a) the Services themselves or
  - b) any other property of the Procuring Entity or
  - c) the lives, persons, or property of others
- 2) In case the Procuring Entity is called upon to make good such costs, loss, or damages, or to pay any compensation, including that payable under the provisions of the Workmen's Compensation Act or any statutory amendments thereof; the amount of any costs or charges including costs and charges in connection with legal proceedings, which the Procuring Entity may incur about it, shall be charged to the contractor. All sums payable by way of compensation under any of these conditions shall be considered as reasonable compensation to be applied to the actual loss or damage sustained and whether or not any damage shall have been sustained.
- 3) The Procuring Entity shall have the power and right to pay or to defend or compromise any claim of threatened legal proceedings, or in anticipation of legal proceedings being instituted consequent on the action or default of the contractor, to take such steps as may be considered necessary or desirable to ward off or mitigate the effect of such proceedings, charging to Contractor, as aforesaid, any sum or sums of money which may be paid and any expenses whether for reinstatement or otherwise which may be incurred and the propriety of any such payment, defence or compromise, and the incurring of any such expenses shall not be called in question by the contractor.

## **5.7 Confidentiality, Secrecy and IPR Rights**

### **5.7.1 IPR Rights**

All deliverables, outputs, plans, drawings, specifications, designs, reports, and other documents and software submitted by the contractor under this Contract shall become and remain the property of the Procuring Entity and subject to laws of copyright and must not be shared with third parties or reproduced, whether in whole or part, without the Procuring Entity's prior written consent. The contractor shall, not later than upon termination or expiration of this Contract, deliver all such documents and software to the Procuring Entity, together with a detailed inventory thereof. The contractor may retain a copy of such documents and software but shall not use it for any commercial purpose.

### **5.7.2 Confidentiality**

All documents, drawings, samples, data, associated correspondence or other information furnished by or on behalf of the Procuring Entity to the contractor, in connection with the contract, whether such information has been furnished before, during or following completion or termination of the contract, are confidential and shall remain the property of the Procuring Entity and shall not, without the prior written consent of Procuring Entity neither be divulged by the contractor to any third party, nor be used by him for any purpose other than the design, procurement, or other services and work required for the performance of this Contract. If advised by the Procuring Entity, all copies of all such information in original shall be returned on completion of the contractor's performance and obligations under this contract.

### **5.7.3 Secrecy**

If the contract declares the subject matter of this Contract as coming under the Official Secrets Act, 1923 or if the contract is marked as "Secret", the contractor shall take all reasonable steps necessary to ensure that all persons employed in any connection with the contract, have acknowledged their responsibilities and penalties for violations under the Official Secrets Act and any regulations framed thereunder.

### **5.7.4 Obligations of the contractor**

- 1) Without the Procuring Entity's prior written consent, the contractor shall not use the information mentioned above except for the sole purpose of performing this contract.
- 2) The contractor shall treat and mark all information as confidential (or Secret – as the case may) and shall not, without the written consent of the Procuring Entity, divulge to any person other than the person(s) employed by the contractor in the performance of the contract. Further, any such disclosure to any such employed person shall be made in confidence and only so far as necessary for such performance for this contract.
- 3) Notwithstanding the above, the contractor may furnish to its holding company or its Subcontractor(s) such documents, data, and other information it receives from the Procuring Entity to the extent required for performing the contract. In this event, the contractor shall obtain from such holding company/ Subcontractor(s) an undertaking of confidentiality (or secrecy – as the case may be) similar to that imposed on the contractor under the above clauses.
- 4) The obligation of the contractor under sub-clauses above, however, shall not apply to information that:
  - a) the contractor needs to share with the institution(s) participating in the financing of the contract;
  - b) now or hereafter is or enters the public domain through no fault of Contractor;
  - c) can be proven to have been possessed by the contractor at the time of

- disclosure and which was not previously obtained, directly or indirectly, from the Procuring Entity; or
- d) otherwise lawfully becomes available to the contractor from a third party that has no obligation of confidentiality.
- 5) The above provisions shall not in any way modify any undertaking of confidentiality (or Secrecy – as the case may be) given by the contractor before the date of the contract in respect of the contract or the Tender Document or any part thereof.
  - 6) The provisions of this clause shall survive completion or termination for whatever reason of the contract.

#### **5.7.5 Protection and Security of Personal Data**

- 1) Where the contractor is processing Personal Data, as a Data Processor or otherwise for the Procuring Entity, the contractor shall:
  - a) Process the Personal Data only as per instructions from Procuring Entity (which may be specific instructions or instructions of a general nature) as set out in this Contract or as otherwise notified by Procuring Entity;
  - b) Comply with all applicable laws;
  - c) Process the Personal Data only to the extent, and in such manner as is necessary for the discharge of the contractor's obligations under this Contract or as is required by Law or any Regulatory Body;
  - d) Implement appropriate technical and organisational measures to protect Personal Data against unauthorized or unlawful Processing and accidental loss, destruction, damage, alteration, or disclosure. These measures shall be appropriate to the harm which might result from any unauthorized or unlawful Processing, accidental loss, destruction, or damage to the Personal Data and having regard to the nature of the Personal Data which is to be protected;
  - e) Take reasonable steps to ensure the reliability of its staff and agents who may have access to the Personal Data;
  - f) Obtain prior written consent from the Authority to transfer the Personal Data to any sub-contractor for the provision of the Services;
  - g) Not cause or permit the Personal Data to be transferred, stored, accessed, viewed, or processed outside of India without the prior written consent of the Procuring Entity.
  - h) Ensure that all staff and agents required to access the Personal Data are informed of the confidential nature of the Personal Data and comply with the obligations set out in this clause.
  - i) Ensure that none of the staff and agents publishes or disclose any personal data to any third parties unless directed in writing to do so by the Procuring Entity.
  - j) Not disclose Personal Data to any third parties in any circumstances other than with the written consent of the Procuring Entity or compliance with a legal obligation imposed upon the Procuring Entity;
- 2) Notify the Procuring Entity (within five Working Days) if it receives;
  - a) a request from an employee of Procuring Entity to have access to his or other

- employees' Personal Data; or
- b) a complaint or request relating to the Procuring Entity' obligations under the law;
- 3) The provision of this clause shall apply during the contract Period and indefinitely after its expiry.

## **5.8 Performance Bond/ Security**

- 1) Within 28 days after the issue of Letter of Award (LoA or the contract, if LoA is skipped) by the Procuring Entity, the contractor shall furnish to the Procuring Entity, performance security, valid up to sixty days (or any other period mentioned in Tender Document or Contract) after the date of completion of all contractual obligations by the contractor, including the Defect Liability obligations.
- 2) The amount of Performance security shall be as stipulated in Tender Document or Contract (3% of the contract Price) denominated in Indian Rupees or the currency of the contract and shall be in one of the following forms:
  - a) Insurance Surety Bonds, Account Payee Demand Draft or Fixed Deposit Receipt drawn on any commercial bank in India, in favour of the authority mentioned in tender documents.
  - b) Bank Guarantee issued by a commercial bank in India, in the prescribed form provided in Format 1.3.
- 3) If the contractor, having been called upon by the Procuring Entity to furnish Performance Security, fails to do so within the specified period, it shall be lawful for the Procuring Entity at its discretion to annul the award and enforce Bid Securing Declaration (in lieu of forfeiture of the Bid Security), besides taking any other administrative punitive action like 'Removal from List of Registered Suppliers' etc.
- 4) If the contractor during the currency of the Contract fails to maintain the requisite Performance Security, it shall be lawful for the Procuring Entity at its discretion at its discretion
  - (a) to terminate the Contract for Default besides availing any or all contractual remedies provided for breaches/ default, or
  - (b) without terminating the Contract:
    - i) recover from the contractor the amount of such security deposit by deducting the amount from the pending bills of the contractor under the contract or any other contract with the Procuring Entity or the Government or any person contracting through the Procuring Organisation or otherwise howsoever as per GCC-clause 11.4, or
    - ii) treat it as a breach of contract and avail any or all availing any or all contractual remedies provided for breaches/ default.
- 5) In the event of any amendment issued to the contract, the contractor shall furnish suitably amended value and validity of the Performance Security in terms of the amended contract within fourteen days of issue of the amendment.
- 6) The Procuring Entity shall be entitled, and it shall be lawful on his part,
  - (a) to deduct from the performance securities or to forfeit the said security in whole

or in part in the event of:

- i) any default, or failure or neglect on the part of the contractor in the fulfilment or performance in all respect of the contract under reference or any other contract with the Procuring Organisation or any part thereof
  - ii) for any loss or damage recoverable from the contractor which the Procuring Entity may suffer or be put to for reasons of or due to above defaults/ failures/ neglect
- (b) and in either of the events aforesaid to call upon the contractor to maintain the said performance security at its original limit by making further deposits, provided further that the Procuring Entity shall be entitled, and it shall be lawful on his part, to recover any such claim from any sum then due or which at any time after that may become due to the contractor for similar reasons.
- 7) Subject to the sub-clause above, the Procuring Entity shall release the performance security without any interest to the contractor on completing all contractual obligations, including the Defect Liability obligations, if any. Alternatively, upon the contractor submitting a suitable separate Defect Liability Security for the duration of Defect Liability obligations, the original Performance Guarantee Security shall be released mutatis mutandis.
  - 8) No claim shall lie against the Procuring Entity regarding interest on cash deposits or Government Securities or depreciation thereof.

## **5.9 Labour Codes and Related Obligations**

### **5.9.1 Independent Contractor**

The contractor's status shall be that of an independent contractor and Primary Employer of staff deployed during the contract by him or his sub-contractors or other associates. The contractor, its employees, agents, and subcontractors performing under this Contract are not employees or agents of the Procuring Organisation or Procuring Entity or Central or State Government or their agencies/ Enterprises, simply by Services delivered under this Contract.

### **5.9.2 Obligations of the Contractor under Labour Codes and Rules**

- 1) In cases where Services are to be performed by the contractor at the premises of the Procuring Entity or Beneficiary of Services, the contractor shall comply with the provisions of the Labour Codes including Code on Wages, 2019, The Industrial Relations Code 2020, Code on the Social Security 2020, and The Occupational Safety, Health and Working Conditions 2020, and Rules made thereunder, as modified from time-to-time, wherever applicable and shall also indemnify the Procuring Entity from and against any claims under the aforesaid Labour codes and the Rules.
- 2) The contractor shall obtain a valid licence under the aforesaid Labour codes and the Rules as modified from time to time before the commencement of the contract and continue to have a valid licence until the completion of the contract. Any failure to fulfil this requirement, the Procuring Entity shall treat it as a breach of contract for default as per the contract and avail any or all remedies thereunder.
- 3) In respect of all labour directly or indirectly employed in the contract for the performance of the contractor's part of the contract, the contractor shall comply with or

cause to comply with the provisions of the aforesaid Labour codes and the Rules wherever applicable. The contractor shall be solely responsible for submitting all the necessary returns under these Codes and the Rules. Failure to do so shall entitle Procuring Entity to take any measure to ensure compliance to such codes and rules by the contractor and his associates, including, but not limited to, withholding contractor's on-account bills.

- 4) The contractor shall pay the wages as per the Code on Wages to their workers not below the rate of minimum wages, as notified by the State Government or Central Government, whichever is higher, through the bank transfer. The contractor shall, notwithstanding the contract's provisions to the contrary, cause to be paid the wages to labour directly or indirectly engaged on the contract, including any engaged by his Sub-Contractors in connection with the said contract as if he had immediately employed the labour. The Procuring Entity shall, without any commitments or being obliged to do, may its discretion, monitor that such payments are being made. The contractor shall be required to submit, every month, documentary evidence in the form of a Bank Statement of having transferred the gross minimum wages to each worker. Failure to do so shall entail Procuring Entity taking up any measure to ensure the payment of wages including, but not limited to, withholding contractor's on-account bills.
- 5) In every case in which, by virtue of the provisions of the aforesaid Labour codes and the Rules, the Procuring Entity is obliged to pay any amount of wages to a workman employed by the contractor or his Sub-Contractor in execution of the contract or to incur any expenditure in providing welfare and health amenities required to be provided under the aforesaid Labour codes and the Rules or to incur any expenditure on account of the contingent liability of the Procuring Entity due to the contractor's failure to fulfil his statutory obligations under the aforesaid Labour codes and the Rules the Procuring Entity shall recover from the contractor, the amount of wages so paid or the amount of expenditure so incurred, and without prejudice to the rights of the Procuring Entity under the aforesaid Labour codes and the Rules, the Procuring Entity shall be at liberty to recover such amount or part thereof by deducting it from the security deposit and/ or from any sum due by the Procuring Entity to the contractor whether under the contract or otherwise. The Procuring Entity shall not be bound to contest any claim made against it under the aforesaid Labour codes and the Rules except on the contractor's written request, and upon giving the Procuring Entity complete security for all costs, for which the Procuring Entity might become liable in contesting such claim. The decision of the Procuring Entity regarding the amount recoverable from the contractor as stated above shall be final and binding on the contractor.

### **5.9.3 Occupational Safety, Health, Working Conditions, Social Security, and Industrial Relations Requirements:**

As per Labour Codes, which included Code on Wages, 2019, The Industrial Relations Code 2020, Code on the Social Security 2020, and The Occupational Safety, Health and Working Conditions 2020 and rules thereunder, the following provisions shall be ensured by the contractor.

#### **1) Provisions for Workers:**

- a) At his own expense, the contractor shall make adequate arrangements for the housing, supply of drinking water, and provision of clean sanitation, including

urinals, etc., for his staff and workers, directly or through the petty contractors or sub-contractors.

- b) The contractor shall also provide a temporary creche (Bal-mandir) where 50 or more workers are employed at a time.
- c) Suitable sites on Procuring Entity's land, if available, but without any obligation to do so, may be allotted to the contractor for the erection of labour camps, either free of charge or on such terms and conditions that the Procuring Entity may prescribe.
- d) All camp sites shall be maintained in clean and sanitary conditions by the contractor at his own cost.

2) During the execution of services, unless otherwise stipulated in the contract, the contractor shall at his own cost provide the following materials as is necessary for:

- a) the safety, hygiene, satisfaction, elegance, acceptance, proper handling of assets and shall ensure that no damage, injury, or loss is caused or likely to be caused to any person or assets or hindrance to other works/ services.
- b) environmental requirements to conserve energy, water, wood, paper, and other resources, reduce waste, phase out the use of ozone-depleting substances, and minimise the release of greenhouse gases, volatile organic compounds, and other substances damaging health and the environment.

3) **Medical Facilities:** the contractor shall provide medical facilities at the site as prescribed commensurate with the strength of the contractor's resident staff and workers. Such facilities shall include a First-Aid facility manned with staff trained in first aid as per labour codes or the Contract Manager's directions.

#### 4) **Medical Certificate of Fitness for Labour**

the contractor shall not employ a person below 18 years of age. For delivery of Services under the contract, unless a medical certificate of fitness in the prescribed form under labour codes (or as directed by the contract manager) is granted to each worker by a certifying surgeon certifying that he is fit to work as an adult, is obtained and kept in the custody of the contractor or a person nominated by him in this behalf and the person carries with his, while at work, a token giving a reference to such certificate.

- a) **Period of Validity of Medical Fitness Certificate:** A certificate of fitness granted or renewed for the above-said purposes shall be valid only for one year at a time. The certifying surgeon shall revoke a certificate granted or renewed if, in his opinion, the holder of it is no longer fit for work in the capacity stated therein. Where a certifying surgeon refuses to grant or renew a certificate or revoke a certificate, he shall, if so required by the person concerned, state his reasons in writing for doing so.
- b) **Medical Re-Examination of Labour:** Where any official appointed on this behalf by the Ministry of Labour believes that any person employed in connection with the execution of any work under this Contract in the age group 18 to 65 years is without a certificate of fitness or is having a certificate of fitness but no longer fit to work in the capacity stated in the certificate, he may serve on the contractor, or the person nominated by him in this regard, a notice requiring that a certifying

surgeon and such person shall examine such persons shall not if the concerned official so directs, be employed or permitted to do any work under this Contract unless he has been medically examined and certified that he has been granted a certificate of fitness or a fresh certificate of fitness, as the case may be.

#### **5.9.4 Mandatory Compliance of Government Welfare Schemes**

the contractor must ensure and provide documentary evidence for the following and must submit documentary evidence to show the coverage of all his workers or labour under the following schemes at all times during the contract period on an annual basis:

- 1) All the contractor's workers or labour employed directly or indirectly must be enrolled under the Pradhan Mantri Jan Dhan Yojana (PMJDY), a scheme that aims to provide all the citizens of India a bank account, credit facility, insurance cover and debit card.
- 2) All the workers or labour employed directly or indirectly by the contractor between the ages 18-70 years must be enrolled under the Pradhan Mantri Suraksha Bima Yojana (PMSBY), an accident insurance scheme which shall be a one-year cover, renewable from year to year, offering accidental death and disability cover for death or disability on account of an accident. The contractor shall pay the premium per annum per member for all his workers during the contract period.
- 3) All the workers or labour employed directly or indirectly by the contractor between the ages 18-50 years must be enrolled under the Pradhan Mantri Jeevan Jyoti Bima Yojana, an insurance scheme which shall be a one-year cover, renewable from year to year, offering life insurance cover for death due to any reason. The contractor shall pay the premium per annum per member for all his workers during the contract period.

#### **5.9.5 Contract Labour Records and Management System**

A comprehensive record needed for contract labour management and monitoring attendance (Identity Cards, Labour Records, Attendance, Time Sheets, Training Record, acknowledgements of labour Codes) for efficient performance and safeguarding workers' welfare must be maintained by the contractor. It may be inspected during Site Inspections by the Contract Manager.

#### **5.9.6 The obligation of Contractor to ensure awareness of Labour Codes**

- 1) the contractor has to mandatorily provide a comprehensive day-long training carried out by a certified Third-Party agency for the awareness of Labour codes and the Rules, grievance redressal mechanism and other provisions applicable to his and his Sub-contractor's staff, workers, labour employed by him directly or indirectly in delivery of service to the Procuring Entity.
- 2) The contractor must provide a comprehensive booklet (Procuring Entity approves that) containing all the relevant updated labour codes, rules, and other applicable provisions, to every worker at the outset of the contract in the local vernacular language.
- 3) Procuring Entity, without any commitments or being obliged to do, may its discretion,

provide following facilities for Contractor's Contract Labour working on this Contract:

- a. Helpline for complaints from labour regarding payment of wages, work site facilities, sexual harassment etc
- b. Provision for recording anonymous complaints from workers, citizens etc., regarding violation of Labour codes and the Rules by Contractor.

#### **5.10 Insurance**

- 1) the contractor (a) shall take out and maintain, and shall cause any Sub-contractors to take out and maintain, at its (or the Subcontractors', as the case may be) own cost but on terms and conditions approved by the Procuring Entity, insurance against the risks, and for the coverage, as stipulated in the contract or any applicable law including Labour Codes; and at the Procuring Entity's request, shall provide evidence to the Procuring Entity showing that such insurance has been taken out and maintained and that the current premiums have been paid.
- 2) the contractor at his cost shall provide, in the joint names of the Procuring Entity and the contractor, insurance cover from the start date to the date of completion of the contract, in the amounts and deductibles as per the instructions of the contract manager and the contract for the following events which are due to the contractor's risks:
  - a) loss of or damage to Equipment, materials for which advances have been paid;
  - b) loss of or damage to property of the Procuring Entity in connection with the contract; and
  - c) Personal injury or death.
  - d) Penalties and demands by labour regulatory authorities
- 3) All such insurance shall provide compensation payable in Indian Rupees to rectify the loss or damage incurred.
- 4) Alterations to the terms of insurance shall not be made without the approval of the Contract Manager.
- 5) Both parties shall comply with any conditions of the insurance policies.

#### **5.11 Permits, Approvals and Licenses**

Whenever the delivery of Services and incidental Goods/ Works requires the Contractor to obtain permits, approvals, and licenses from local public authorities, it shall be the contractor's sole responsibility to obtain these and keep these current and valid. Such requirements may include but not be restricted to licenses or environmental clearance if required. If requested by the contractor, the Procuring Entity shall make its best effort to assist the contractor in complying with such requirements in a timely and expeditious manner, without any dilution of the Contractor's responsibility in this regard.

#### **5.12 Accounting, Inspections and Auditing**

the contractor shall keep accurate and systematic accounts and records regarding the provision of the Services under this Contract, as per accounting principles prescribed in India.

#### **5.13 Book Examination Clause**

*If explicitly invoked in the contract*, the Procuring Entity reserves the right for 'Book Examination' as follows:

- 1) the contractor shall, whenever called upon and required to produce or cause to be produced, for examination by any Government Officer duly authorised in that behalf, any cost or other book of account, voucher, receipt, letter, memorandum, paper or writing or any copy of or extract from any such document. The contractor shall also furnish information relating to the execution of this contract or relevant for verifying or ascertaining the cost of execution of this contract to such Government Officer in such manner as may be required. The decision of such Government Officer on the question of relevancy of any document, information of return being final and binding on the parties. The obligation imposed by this clause is without prejudice to the contractor's obligations under any other statute, rules or orders which shall be concurrently binding on the contractor.
- 2) The contractor shall, if the authorised Government Officer so requires (whether before or after the prices have been finally fixed), afford facilities to the Government Officer concerned to visit the contractor's premises to examine the processes of production and estimate or ascertain the cost of performance of Contract. The authorised Government Officer shall have power, mutadis mutandis, to examine all the relevant books of Contractor's subcontractor, or any subsidiary or allied firm or company, If any portion of the contract is entrusted or carried out by such entities.
- 3) If on such examination, it is established that the contracted price is more than the actual cost-plus reasonable margin of profit, the Procuring Entity shall have the right to reduce the price and determine the amount to a reasonable level.
- 4) The contractor or its agency is bound to allow examination of its books within 60 days from the date the notice is received by the contractor or its agencies calling for the production of documents under sub-clause (1) above. In the event of the contractor's or his agency's failure to do so, the contract price would be reduced and determined according to the best judgment of the Procuring Entity, which would be final and binding on the contractor and his agencies.

## **6. Procuring Entity's Obligations**

### **6.1 Facilities to be provided by the Procuring Entity**

- 1) No Facilities (including Reference Documents, Medical facilities, Rooms, Furniture, Transport, Access to IT Services etc.) other than those stipulated explicitly in the contract shall be provided or loaned by the Procuring Entity to the contractor for performance of the contract. Whenever such assets are required to be issued to the contractor as per the contract, these would be issued only as per terms and conditions and against appropriate safeguards (including Insurances, Bank Guarantee, Indemnity Bonds, Retention Money etc.) specified therein. The contractor shall use such property for the execution of the contract and for no other purpose whatsoever.

### **6.2 Provision of Utilities at Site by Procuring Entities**

Unless otherwise stipulated in the contract, The Procuring Entity may supply without any obligation to do so, to the contractor part or whole of the quantity of the water and electricity required for the delivery of Services from the Procuring Entity's existing water/ electricity supply system at or near the site

of Services on specified terms and conditions and on chargeable basis (unless specified otherwise), provided that the contractor shall arrange, at his own expense, to effect the connections and lay additional pipe/ power lines and accessories on the site. Nevertheless, it shall be the responsibility of the contractor to install adequate alternative arrangements to tide over outages in utilities or failure in supply by the Procuring Entity, and that the contractor shall not be entitled to any compensation– nor shall this be a reason for the delay in delivery of Services.

### **6.3 Custody and Return of the Procuring Entity's Assets loaned/provided to Contractor**

- 1) The contractors shall sign accountable receipts for all tools, plants and materials or other assets/ properties made over to him by the Contract Manager. All such assets shall be deemed to be in good condition when received by the contractor unless he has within twenty-four hours of the receipt thereof notified the Procuring Entity to the contrary. Otherwise, he shall be deemed to have lost the right to do so at any subsequent stage.
- 2) These assets shall remain the property of the Procuring Entity, and the contractor shall take all reasonable care of all such assets. The contractor shall be responsible for all damage or loss from whatever cause caused while assets are possessed or controlled by the contractor, staff, workmen, or agents.
- 3) Where the contractor insures such assets against loss or fire at the request of the Procuring Entity, such insurance shall be deemed to be by way of additional precaution and shall not prejudice the contractor's liability as aforesaid.
- 4) The contractor shall return all such assets in good order and repair, fair wear and tear excepted, before the completion/ closure/ termination of the contract and shall be responsible for any failure to account for the same or any damage done to that as assessed by the Procuring Entity whose decision shall be final and binding.

## **7. Scope of Services, Performance Standards and Quality Assurance**

### **7.1 Scope of Services**

- 1) This contract is for the performance/ delivery of Goods and Services of the description, scope/ quantum, performance standards and quality outlined in the contract during the contract Period specified therein. Unless otherwise specified, the Goods and Services shall conform to performance and quality standards as stipulated in the contract or as per best standards in the market, where not so specified.
- 2) The contractor shall deliver the Goods and Services and submit the reports, deliverables, outputs, and documents stipulated in Format 1.1: Description of Services to the Procuring Entity.
- 3) The Goods and Services shall include all such work-elements not mentioned explicitly in this Contract, but that can be reasonably inferred from this Contract as being required for attaining Completion of the Services as if such items were expressly mentioned in this Contract.
- 4) **Incidental Works/Goods:** The contractor shall be required to perform/ deliver specified incidental Works/ Goods as an integral part of the Services in the contract.

## 7.2 Performance Standards

- 1) the contractor shall perform the Services as per Format 1.1: Description of Services, and carry out its obligations with all due diligence, efficiency, and economy, observing sound management practices, and employ appropriate advanced technology and safe methods as per the performance standards and quality control parameters as stipulated in the contract. For matters where the contract does not specify any Standard, the Services delivered shall conform to National/ International Standards or generally accepted professional techniques and practices.
- 2) **The shortfall in Performance** : In cases where the performance or/and quality of Services is/are found to be unsatisfactory, Procuring Entity or his representatives shall impose damages for the shortfall in performance as per GCC-clause 10.5 below. This levy of damages shall not absolve the contractor from rectification or re-performance of the defective Service without further payment.

## 7.3 Quality Control and Defect Liability

- 1) The Procuring Entity shall check the quality of the Goods and Services and shall inspect the contractor's performance according to the relevant section(s) of Format 1.1: Description of Services. The Procuring Entity shall promptly notify the contractor of any identified defects, requesting the correction of the notified defect within a reasonable time.
- 2) If the contractor has not corrected notified defect within the time stipulated in the Procuring Entity's notice, the Procuring Entity shall assess the cost of having the defect corrected. Without prejudice to any of its other remedies under this Contract or applicable law, Procuring Entity shall be legally entitled to deduct such cost from the contract's payments, together with the damages for the shortfall in performance (as per clause above), a sum equivalent to the percentage stipulated in the contract.

## 7.4 Eligibility Services – Country of Origin and Minimum Local Content

Unless otherwise stipulated in SCC or Contract, country of origin of 'Services' and related 'Goods' under the contract shall have their origin in India or other countries and must conform to the declaration made by the contractor in its bid regarding but not limited to i) restrictions on certain countries with land-borders with India; ii) minimum local content and location of value addition (Make in India Policy); iii) Contractor's status as MSE or Start-up. The term "origin" used in this clause means the place from where the Services (and incidental Goods, including subcontracted components) are arranged and delivered, or incidental goods are mined, grown, produced, or manufactured. For purposes of this Clause, the term 'Services' shall have the meaning as defined in GCC-clause 1.2.

## 7.5 Quantity Tolerance

Unless otherwise stipulated in the contract, the obligation for completing Services shall be considered complete if the Services have been performed to the tolerance of plus or minus 5% of the quantum or the total value of Services ordered in the contract. Only the delivered quantity shall be paid for as per the terms of the contract.

## 7.6 Contract Period and Option Clause

### 7.6.1 Contract Period

The contract Period for which the Service shall be provided shall be one years from the effective date of the contract, unless terminated as per the contract.

## **7.6.2 Option Clause**

The Procuring Entity shall have the right to exercise the following options, by written notification to the contractor no later than thirty (30) days before Contract end:

- 1) extend the contract Period only once (unless otherwise stipulated in the contract) by one year period (unless otherwise stipulated in the contract).
- 2) increase the ordered quantum of Services upto the percentage specified therein at any time, till the completion date of the contract, by giving reasonable notice and providing a reasonable extension in delivery period for increased quantum, even though the quantum ordered initially has been delivered in full before the completion Period.

## **8. Measurement, Variations and Modifications**

### **8.1 Routes/Quantities in contract**

The routes/quantities set out in the contract are the estimated quantities of the Services, and they shall not be taken as the actual and correct routes/quantities of the Services to be executed by the contractor to fulfil his obligations under the contract. Payment shall be made for the actual routes or quantities deployed/ delivered; however, payments shall not be made for routes/quantities over and above those indicated in the contract unless extra routes/quantity has been asked in a written order by the contract manager.

### **8.2 The admeasurement of Inputs and Services**

- 1) Measurements shall be recorded based on the contractor's day-to-day records and authenticated by the Contract Manager or his representative as updated as per the agreed Works Programme.
- 2) The contractor shall be paid for the Inputs /Services at the rates in the contract and extra inputs/ Services at rates determined under GCC-clause 8.4 below on the measurements taken by the Contract Manager or his representative. Such measurements shall be taken of the Services in progress from time to time and at such intervals as in the opinion of the Contract Manager shall be proper regarding the progress of Service. The date and time on which 'on account' or 'final' measurements are to be made shall be communicated to the contractor who shall be present at the site and shall sign the results of the measurements (which shall also be signed by the Contract Manager or his representative) recorded in the official measurements book as an acknowledgement of his acceptance of the accuracy of the measurements.
- 3) If the contractor fails to attend, the service may be measured up in his absence, and such measurements shall, notwithstanding such absence, be binding upon the contractor whether or not he has signed the measurement books.
- 4) It shall be open to the contractor to take specific objection to any recorded measurements or Classification on any ground within seven days of the date of such measurements. Any re-measurement taken by the Contract Manager or his representative in the contractor's presence or absence after due notice has been given to him shall be final and binding on the contractor. No claim whatsoever shall after that be entertained regarding the accuracy and classification

of the measurements. If the Contract Manager finds an objection raised by the contractor to be incorrect, the contractor shall be liable to pay the actual expenses incurred in re-measurements.

### **8.3 Variations and Claims**

#### **8.3.1 Powers of Variations**

- 1) the Contract Manager, on behalf of the Procuring Entity, in consultation with the Contractor, order variations in writing to enlarge or extend, diminish, or reduce the Services or make any alterations in their design, inputs, site, quantities, sequence or timing, dimensions or the method of their execution or the combination and use of materials for the execution thereof or to order any additional service to be performed or any Services not to be performed. The contractor shall not be entitled to any compensation for any increase/reduction in the quantities of work but shall be paid only for the actual amount of work done. .
- 2) The accepted variation in the quantity of each contract item would be upto 100% for increasing the quantity and 25% for decreasing the quantity initially contracted. The contractor shall be bound to carry out the service at the agreed rates and shall not be entitled to any claim or compensation whatsoever upto the limit of 100% or 25% variation as indicated, in the quantity of the individual item of services.

#### **8.3.2 Valuation of Variations**

The variation referred to in sub-clause above shall in no degree affect the validity of the contract; but shall be performed by the contractor as provided therein and be subject to the same conditions, stipulations, and obligations as if they had been initially and expressly included and provided for in the contract and the amounts to be paid therefore shall be calculated as per the Price Schedule.

### **9. Deployment of Resources**

#### **9.1 Site and Assets thereon**

##### **9.1.1 Site of Services Delivery**

- 1) The site for Service delivery shall be the lands, spaces, and other places on, under, in or through which the Services are to be carried out and any other lands or places provided by the Procuring Entity for the contract.
- 2) No land or building or any other asset belonging to or in possession of the Procuring Entity shall be occupied by the contractor without the permission of the Procuring Entity. The contractor shall not use or allow to be used the site for any purposes other than executing or concerning the execution of the services.
- 3) **Facilities for Inspection:** the contractor shall afford the Contract Manager and his representative every facility for entering in and upon every portion of the site at all hours for inspection or otherwise and shall provide all facilities required for the purpose. The Contract Manager and his representative shall have free access to every part of the site and all places at which materials, tools, and plants are stored or prepared for the Services.

- 4) **Non-Obstruction of Access:** During the progress of Services in any street or thoroughfare, the contractor shall make adequate provision for the passage of traffic, for securing safe access to all premises approached from such street or thoroughfare and for any drainage, water supply or means of lighting which may be interrupted by reasons of the execution of the Services and shall react and maintain at his cost barriers, lights and other safeguards as prescribed by the Contract Manager, for the regulation of the traffic, and provide security staff necessary to prevent accidents.
- 5) **No Obstruction to Flow of Work and Personnel of Procuring Entity:** Contractor shall arrange his work in consultation with the Contract Manager or its representative in such a manner to avoid obstruction to the normal flow of work and personnel of the Procuring Entity at the site, preferably utilising non-business hours for such obstructive or hazardous activities.

### 9.1.2 Temporary Works at Site

- 1) the contractor shall at his own expense erect temporary works, e.g., sheds, yards, and storehouses in such situations, and such numbers as in the opinion of the Procuring Entity is requisite for performing the Services. The contractor shall keep at each such sheds, yards, and store-houses a sufficient quantity of materials/ plant in stock as not to delay the performance of the Services with due expedition. The Procuring Entity and its representative shall have free access to the said sheds/yards/ store houses at any time to inspect the stock of materials or plant so kept in hand, and any materials or plant which the Procuring Entity may object to shall not be brought upon or used in the services but shall be forthwith removed from the sheds/yards/store houses by the contractor.

### 9.1.3 Security Arrangements

- 1) The contractor shall secure security arrangements at the site against unauthorised access/ trespass, pilferage, theft, leakage or misuse of property or belongings of his or his staff or Procuring Entity and its Staff by his staff or third parties or trespassers.
- 2) Preservation of Peace
  - a. the contractor shall take requisite precautions and use their best endeavours to prevent any riotous or unlawful behaviour by or amongst their workers and others, employed directly or through the petty contractors or sub- contractors for services, and for the preservation of peace and protection of the inhabitants and security of property in the neighbourhood of the site of services.
- 3) **Prohibition of Smoking and Intoxicants:** the contractor or his staff or any labour employed through sub-contractors or petty contractors shall be prohibited from Smoking in 'No Smoking Zone' and in Public Places and also prohibited from the use of any intoxicating substances including, but not limited to, intoxicating beverages during the service period or on-site or near the site or in any of the facilities, sites, buildings, encampments, or tenements owned, occupied by or within the control of the contractor or any of his employees. The contractor shall exercise influence and authority to the utmost extent to secure strict compliance with this condition.

### 9.1.4 Safety Issues

- 1) the contractor shall be responsible for the safety of all activities on the Site.
- 2) The contractor shall be responsible for the safety of all persons employed by him on Site, directly or through petty contractors or Sub-Contractors, and shall report accidents to any of them, however, and wherever occurring on Works, to the contract manager or his representative, and shall make every arrangement to render all possible assistance and to provide prompt and proper medical attention. The compensation for affected Workers or their relatives shall be paid by the contractor in such cases expeditiously as per the Workmen's Compensation Act and other labour codes.
- 3) **Safety of Public and Third parties:** The contractor shall be *responsible for taking all precautions to ensure* the safety of the public and third parties, whether on public or Procuring Entity's property and shall post look out, such persons as may, in the opinion of the Contract Manager, be required to comply with regulations appertaining to the service. No explosives shall be used for the Services rendered or on the site by the contractor.

#### **9.1.5 Clearance of Site on Completion**

On completion of the services, the contractor shall clear away and remove all tools/plants and surplus materials, rubbish and temporary works of every kind and leave the whole of the site clean to the satisfaction of the Contract Manager.

- 1) No final payment in settlement of the accounts for the Services shall be paid, held to be due or shall be made to the contractor till, in addition to any other condition necessary for final payment, site clearance shall have been effected by him.
- 2) In the event of failure on the part of the contractor to comply with this provision within 7 days after receiving notice for clearance of Procuring Entity's site and lands, the Contract Manager shall cause them to be removed through public sales of such materials and property or in such a way as deemed fit and convenient and cost as increased by supervision and other incidental charges shall be recovered from the contractor. The Procuring Entity shall not be held liable for any loss or damage to the contractor's property as may be on the site and due to such removal.

## **9.2 Deployment of Personnel**

### **9.2.1 Deployment Plan**

- 1) the contractor must ensure deployment of Personnel as per the approved Works Programme as updated. If the Contract Manager believes that the contractor is not employing sufficient staff and workers as is specified or otherwise for the proper execution of the Services, he shall issue a notice to the contractor for remedial measures. The contractor shall forthwith on receiving intimation to this effect deploy the additional number of staff and labour as specified by the Contract Manager immediately, and failure on the part of the contractor to comply with such instructions shall entitle the Procuring Entity to penalize the contractor for the shortfall in performance or terminate the contract as per the contract and avail all the remedies thereunder.
- 2) The contractor shall also deploy efficient and competent supervisory staff to give the necessary directions to his workers and to see that they provide their services desirably and adequately

and shall employ only such supervisors, workers & labour in or about the execution of any of these Services as are careful and skilled in the various trades.

- 3) **Police Verification of Labour Employed by Contractor:** the contractor must submit Police Verification certificates in a format prescribed by the Police Department (or as directed by the Contract Manager) for all contractual staff hired for delivery of Services for Procuring Entity.
- 4) **Restrictions on the Employment of Retired Staff or Officers or Managers of Procuring Entity Services within One Year of their Retirement:** the contractor shall not, himself be a retired Government Manager of Gazetted rank, or engage any employee or associate who is a retired Government Manager of Gazetted rank, if such persons have not completed one year from the date of retirement, in connection with this Contract in any manner whatsoever without obtaining prior permission of the relevant authority. If the contractor is found to have contravened this provision, it shall constitute a breach of contract and Procuring Entity shall be entitled to terminate the contract and avail any or all the remedies thereunder.
- 5) The contractor shall, when he is not personally present on the site of the workplace, shall keep a responsible agent during working hours who shall, on receiving reasonable notice, present himself to the Contract Manager and orders given by the Contract Manager or his representative to the agent shall be deemed to have the same force as if they had been given to the contractor. Before absenting herself, the contractor shall furnish the name and address of his agent for this clause and failure on the contractor's part to comply with this provision at any time shall entitle the Procuring Entity to terminate the contract and avail any or all the remedies thereunder.

### **9.2.2 Removal of Personnel on Orders of Contract manager**

- 1) If the Procuring Entity finds that any of the Personnel have (i) committed severe misconduct or have been charged with having committed a criminal act, or (ii) have reasonable cause to be dissatisfied with the performance of any of the Personnel, then the contractor shall, at the Procuring Entity's written request specifying the grounds thereof, provide as a replacement a person with qualifications and experience acceptable to the Procuring Entity, subject to sub- clause 2) below.
- 2) The contractor shall have no claim for additional costs arising out of or incidental to any removal and/or replacement of Personnel.

### **9.3 Key and Non-Key Equipment, Tools and Plants**

- 1) Bidder shall deploy Key Equipment as per the approved Works Programme as updated.
- 2) Except as the Procuring Entity may otherwise agree, no changes shall be made in the deployment of Key Equipment. If it becomes necessary to replace any of the Key Equipment for any reason beyond the contractor's reasonable control, the contractor shall provide Equipment of equivalent or better performance as a replacement.
- 3) The contractor must ensure deployment of non-key Equipment as per the approved Works Programme as updated. In the event of the Contract Manager believing that the contractor is not employing on the Services sufficient Equipment/Tools/ Plant as is specified or otherwise for the proper execution of the Services within the prescribed procedure and time, the contractor shall forthwith on receiving intimation to this effect deploy the additional equipment/ tools/ plants as specified by the Contract Manager immediately

and failure on the part of the contractor to comply with such instructions shall entitle the Procuring Entity to penalize the contractor under shortfall in performance or terminate the contract as a breach of contract.

- 4) the Contract Manager or his representative shall be entitled to order within the time stipulated in the order:
  - a) The removal of any equipment/ tools/ plants which in their opinion are not as per the performance standards.
  - b) The substitution of proper and suitable equipment/ tools/ plants

#### **9.4 Equipment and Material brought to Site**

The materials and plant brought by the contractor upon the site or on the land occupied by the contractor in connection with the Services and intended to be used for the execution shall not be removed from the site without the approval of the Procuring Entity. However, materials/ equipment which the Contract Manager rejects under GCC-clause 7.3 during the progress of the Services, or which after the grant of the certificate of completion, are declared as not needed or those that remain unused, can be removed from the site or the said land by the Contractor. This clause shall not in any way diminish the liability of the contractor nor shall the Procuring Entity be in any way answerable for any loss or damage which may happen to or in respect of any such materials or plant either by the same being lost, stolen, damaged, or destroyed by fire, tempest or otherwise.

### **10. Delivery of Services and delays**

#### **10.1. Works Programme**

- 1) Before commencement of the Services, the contractor shall submit for approval of the Contract Manager a Works Programme showing the Methods; schedule of delivery of services, the deployment plans for Personnel; Equipment and Materials for the execution of the services. The programme of delivery of Services amended as necessary by discussions with the Contract Manager shall be treated as the agreed Works programme for this Contract. The Services shall be carried out and monitored as per the approved Program as updated.
- 2) The Works Programme shall be based on the daily exchange of BO bags except on Sunday & public holiday, as agreed by the Contract Manager, without violating statutory regulations.

#### **10.2. Compliance to Contract Manager's Instructions**

- 1) the Contract Manager shall direct the order in which the several components of the Services shall be provided, and the contractor shall execute without delay all orders given by the Contract Manager from time to time. Still, the contractor shall not be relieved thereby from responsibility for the due performance of the Services in all respects.
- 2) Any instructions or approval given by the Contract Manager's representative to Contractor in connection with the Services shall bind the contractor as though the Contract Manager had given it provided as follows -
  - a) Failure of the Contract Manager's representative to disapprove any work/ Services or materials shall not prejudice the power of the Contract Manager after

that to disapprove such Services or material and order the rectification thereof.

- b) If the contractor is dissatisfied because of any decision of the Contract Manager's representative, he shall be entitled to refer the matter to the Head of Procurement through the Contract Manager, who shall there upon confirm or vary such decision.
- 3) **Compliance with Contractor's Request for Details:** the Contract Manager shall furnish with reasonable promptness, after receipt of the contractor's request, additional instructions regarding procedures, specifications or otherwise, necessary for the proper performance of the Services or any part thereof. All such procedures, specifications and instructions shall be consistent with the contract Documents and reasonably inferable from them.

### **10.3. Commencement of Services**

- 1) **Effective Date of Contract:** Contractor shall commence the Services and shall proceed with due expedition and without delay, from the effective date of Contract (all dates of delivery shall be counted from such a date), which shall be the date mentioned as the effective date in the contract, or if not so mentioned:
  - a) 15 days from (unless specified otherwise in that order) the date of an order to this effect from the Contract Manager, or if no such order is issued,
  - b) 15 days from the date Contract has been signed by the Procuring Entity.

### **10.4. Time for Delivery of services and Extensions thereof**

The time and uninterrupted delivery of Services shall be deemed to be the essence of the contract. Subject to any requirement in the contract as to the completion of any portions or portions of the Services before completion of the whole; the contractor shall fully and finally complete the whole of the services comprised in the contract as per the Delivery and Completion Schedule stipulated in Format 1.1: Description of Services. If at any time during the currency of the contract, the contractor encounters conditions hindering the timely performance of services, the contractor shall promptly inform the Procuring Entity in writing about the same and its likely duration. He must make a request to the Procuring Entity for an extension of the delivery schedule. On receiving the contractor's communication, the Procuring Entity shall examine the situation and, at its discretion, may agree to extend the completion schedule, with or without liquidated damages and with and without denial clause by issuing an amendment to the contract in terms of the following clauses.

#### **10.4.1 Extension Due to Modification**

The Contract Manager might grant a reasonable extension of the completion date if any modifications ordered materially increase the time for delivery of the services. The contractor shall be responsible for requesting such extension of the date as soon as the cause thereof shall arise and in any case not less than one month before the expiry of the date fixed for completion of the services.

#### **10.4.2 Extension for Delay Not Due to Contractor**

- 1) If in the opinion of the contractor, the progress of Services has any time been delayed

due to following reasons, then within 15 days of such happening causing delay, he shall give notice thereof in writing to the Contract Manager, but shall nevertheless do due diligence to bring down or make good the delays and to proceed with the services:

- a) any act or neglect of other contractor employed by the Procuring Entity or in executing the work/service not forming part of the contract but on which Contractor's performance necessarily depends or
  - b) proceeding taken or threatened by or dispute with external third parties arising otherwise than from the contractor's own default etc. or
  - c) any act or neglect of Procuring Entity's employees or
  - d) delay authorized by the Contract Manager pending arbitration or
  - e) the contractor not having received in due time necessary instructions from the Procuring Entity for which he shall have especially applied in writing to the Contract Manager or his authorized representative.
  - f) hand over possession of the site or the necessary facilities/ documents/ data or instructions by the Procuring Entity to the contractor or
  - g) give the necessary notice to commence the services, or
  - h) any other delay caused by the Procuring Entity due to any other cause whatsoever.
- 2) the contractor may also indicate the period for which the Services is likely to be delayed and ask for a necessary extension of time. On receipt of such request from the contractor, the Contract Manager shall consider the same and grant such extension of time as in his opinion is reasonable regarding the nature and period of delay and the type and quantum of work affected thereby. No other compensation shall be payable for works so carried forward to the extended period. The same rates, terms, and conditions as the original Contract shall apply during the extended period.

#### **10.4.3. Extension of Time for Delay Due to Contractor**

- 1) If the contractor fails to deliver the Services within the fixed/ extended period for reasons other than those stipulated in GCC-clause 10.4.1 and 10.4.2 above, the Procuring Entity may, if satisfied that the service delivery can still be completed within a reasonable time, extend the period further.
- 2) On such extension, the Procuring Entity shall be entitled without prejudice to any other right and remedy available on that behalf to recover from the contractor as agreed damages and not by way of penalty Liquidated Damages as per GCC- clause 10.5 below.
- 3) Provided further, that if the Procuring Entity is not satisfied that the service can be completed by the contractor or in the event of failure on the part of the contractor to complete the service within the extension of time allowed further as aforesaid, the Procuring Entity shall be entitled without prejudice to any other right or remedy available in that behalf, treat the delay as a breach of contract and avail any or all the remedies thereunder, whether or not actual damage is caused by such default.
- 4) **Inordinate Delays:** Delays due to the contractor of more than one-fourth (25%) of the total completion period shall be treated as inordinate delays. Such inordinate delays shall be noted as poor performance and be held against the contractor in future tenders. A show-cause notice shall be issued to the contractor before declaring it a poor performance. Such

delays may be considered as a breach of the contract at the option of the Procuring Entity.

## **10.5. Damages and Deductions Thereof**

### **10.5.1 Right of the Procuring Entity to recover Damages.**

Procuring Entity shall be entitled to, and it shall be lawful for him to recover damages for the shortfall in performance and Liquidated damages as detailed in this clause from all payments due or any Performance Security or any retention money. This clause does not limit Procuring Entity from imposing more than one damages under the contract, and such damages shall be applied concurrently.

### **10.5.2 Damages for Shortfall in Performance**

The Procuring Entity shall, without prejudice to other rights and remedies under the contract, recover as damages for the shortfall in performance, but not as a penalty, 0.5% percent (or any other percentage prescribed) of the delivered daily price of the route (including elements of GST & freight) of the defective Services, If the contractor fails to perform the Services i.e. operationalize the route on any given day or BO bag exchange delayed by more than 1 hour, as per Performance Standards and Quality, without having to prove actual loss incurred.

### **10.5.3 Liquidated damages**

- 1) Subject to GCC-clause 10.4, if the contractor fails to perform the Services within the time frame(s) incorporated in the contract, the Procuring Entity shall, without prejudice to other rights and remedies available to the Procuring Entity under the contract, deduct from the contract price, as liquidated damages for each week of delay or part thereof until actual delivery or performance, but not as a penalty, a sum equivalent to the 0.125% percent (or any other percentage if prescribed) of the related monthly bill of the Services. Besides liquidated damages during such a delay, the denial clause as per GCC-clause 10.5.4 shall also apply.
- 2) Any failure or delay by any sub-contractor, though their employment may have been sanctioned, shall not be admitted as a ground for any extension of time or for exempting the contractor from liability for any such loss or damage as aforesaid.

### **10.5.4 Denial Clause**

- 1) No increases in price on account of any statutory increase in or fresh Imposition of GST, or on account of any other taxes/ duty/ cess/ levy) leviable in respect of the Services and incidental goods/ works stipulated in the said Contract which takes place after the original delivery date shall be admissible on such of the said Services, as are delivered after the said date; and
- 2) Notwithstanding any stipulation in the contract for an increase in price on any other ground, including the price variation clause, no such increase after the original delivery date shall be admissible on such Services delivered after the said date.
- 3) Nevertheless, the Procuring Entity shall be entitled to the benefit of any decrease in price on

account of reduction in or remission of GST, or on account of any other Tax or duty or any other ground as stipulated in the price variation clause, which takes place after the expiry of the original delivery date.

#### **10.5.5 Limit on total Damages**

However, deduction on account of damages for delays and performance under this clause GCC 10.5, put together shall be subject to a maximum of 10% of the entire value of Contract of Services. Penalties/ liabilities outside this clause shall be covered by clause GCC 13.1.5.

### **10.6. Suspension of Services**

#### **10.6.1 Suspension Ordered by Contract Manager**

the contractor shall, on the order of the Contract Manager, suspend the progress of the Services or any part thereof for such time or times and in such manner as the Contract Manager may consider necessary, and shall during such suspension, adequately protect and secure the site and assets so far as is necessary in the opinion of the Contract Manager. If such suspension is -

- 1) Provided for in the contract, or
- 2) Necessary for the proper execution of the Services or because of extraneous conditions or by some default on the part of the contractor and or
- 3) Necessary for the safety of the Services or any part thereof

#### **10.6.2 Extension of Time and Compensation**

the contractor shall not be entitled to the extra costs, if any, incurred by him during the period of suspension of the service, but in the event of any suspension ordered by the Contract Manager for reasons other than aforementioned and when each such period of suspension exceeds 14 days, the Contract Manager shall extend the time of service for completion of the Services as he may consider proper, having regard to the period or periods of such suspensions and such compensations as the Contract Manager may consider reasonable in respect of expenses incurred by the contractor during the periods of such suspension.

#### **10.6.3. Suspension Lasting More Than 3 Months**

If the Contract Manager suspends the Services or any part thereof for more than three months at a time, the contractor may serve a written notice on the Contract Manager requesting permission to proceed with the suspended part(s) of service. If such permission is not granted within 15 days from the receipt thereof, the contractor by further written notice may, treat the suspended part(s) of the service as deleted from the Contract. If the whole of the services has been suspended, he may treat it as a breach of the contract by the Procuring Entity and avail any or all remedies provided in this regard in the contract.

### **10.7. Force Majeure**

- 1) On the occurrence of any unforeseen event, beyond the control of either Party, directly interfering with the delivery of Services arising during the currency of the contract, such as

war, hostilities, acts of the public enemy, civil commotion, sabotage, fires, floods, explosions, epidemics, quarantine restrictions, strikes, lockouts, or acts of God, the affected Party shall, within a week from the commencement thereof, notify the same in writing to the other Party with reasonable evidence thereof. Unless otherwise directed by the Procuring Entity in writing, the contractor shall continue to perform its obligations under the contract as far as reasonably practicable and shall seek all reasonable alternative means for performance not prevented by the Force Majeure event. If the force majeure condition(s) mentioned above be in force for 90 days or more at any time, either party shall have the option to terminate the contract on expiry of 90 days of commencement of such force majeure by giving 14 days' notice to the other party in writing. In case of such termination, no damages shall be claimed by either party against the other, save and except those which had occurred under any other clause of this Contract before such termination.

- 2) Notwithstanding the remedial provisions contained in GCC-clause 10.5 and 13, none of the Party shall seek any such remedies or damages for the delay and/ or failure of the other Party in fulfilling its obligations under the contract if it is the result of an event of Force Majeure.

## **11. Prices and Payments**

### **11.1. Prices**

#### **11.1.1 Firm Prices**

Prices shall be fixed and firm.

### **11.2. Taxes and Duties**

- 1) the contractor shall be entirely responsible for all taxes, duties, fees, levies etc., incurred until delivery of the Services to the Procuring Entity.
- 2) If applicable under relevant tax laws and rules, the Procuring Entity shall deduct from all payments and deposit required taxes to respective authorities on account of GST Reverse Charge Mechanism; Tax Deducted at Source (TDS), and Tax Collected at Source (TCS) relating to Income Tax, labour cess, royalty etc.

#### **3) Payment of GST Tax under the contract:**

- (a) The payment of GST and GST Cess to the contractor shall be made only on the latter submitting a GST compliant Bill/ invoice indicating the appropriate HSN code and applicable GST rate thereon duly supported with documentary evidence as per the provision of relevant GST Act and the Rules made there under. The delivery of Services shall be shown being made in the name, location/ state, and GSTIN of the beneficiary of the Services only, the location of the procurement office of the procuring entity has no bearing on the invoicing.
- (b) While claiming reimbursement of duties, taxes etc. (like GST) from the Procuring Entity, as and if permitted under the contract, the contractor shall also certify that in case it gets any refund out of such taxes and duties from the concerned authorities at a later date, it (the contractor) shall refund to the Procuring Entity, the Procuring Entity's share out of such refund received by the contractor.

The contractor shall also refund the appropriate amount to the Procuring Entity immediately after receiving the same from the concerned authorities.

- (c) All necessary adjustment vouchers such as Credit Notes/ Debit Notes for any short/ excess delivery of Services or revision in prices or any other reason under the contract shall be submitted to the Procuring Entity in compliance with GST provisions.
  - (d) GST shall be paid as per the rate at which it is liable to be assessed or has been assessed provided the provision of Services is legally liable to such taxes and is payable as per the terms of the contract subject to the following conditions:
    - i) The Procuring Entity shall not pay a higher GST rate if leviable due to any misclassification of HSN number or incorrect GST rate incorporated in the contract due to contractor's fault. Wherever the contractor invoices the Goods at GST rate or HSN number, which is different from that incorporated in the contract, payment shall be made as per GST rate, which is lower of the GST rates incorporated in the contract or billed.
    - ii) However, the Procuring Entity shall not be responsible for the contractor's tax payment or duty under a misapprehension of the law.
    - iii) Bidder is informed that he shall be required to adjust his basic price to the extent required by a higher tax rate billed as per invoice to match the all-inclusive price mentioned in the contract.
    - iv) In case of profiteering by the contractor relating to GST tax, the contractor shall treat it as a violation of the Code of Integrity in the contract and avail any or all punitive actions thereunder, in addition to recovery and action by the GST authorities under the Act.
  - (e) The contractor should issue Receipt vouchers immediately on receipt of all types of payments along with tax invoices after adjusting advance payments, if any, as per Contractual terms and GST Provisions.
  - (f) Liquidated damages or any other recoveries should be shown as deductions on the invoice, and GST shall be applicable only on the net balance payment due.
- 4) **Statutory Variation Clause:** Unless otherwise stated in the contract, statutory increase in applicable GST rate only during the original delivery period shall be to Procuring Entity's account. Any increase in the rates of GST beyond the original completion date during the extended delivery period shall be borne by the contractor. The benefit of any reduction in GST rate must be passed on to the Procuring Entity during the original and extended delivery period. However, GST rate amendments shall be considered for quoted HSN code only, against documentary evidence, provided such an increase of GST rates takes place after the last date of bid submission.

### 11.3. Terms and Mode of Payments

- 1) The usual payment term is 100% on delivery and acceptance of Services at 'the Site' by the Procuring Entity and the contractor's production of all required documents.
- 2) The payments shall be made in the manner as per Procuring Entity's payment procedures. Payments above INR 5,000 (or any other threshold specified) to

Contractors shall be made through electronic mode only. The contractor shall give his consent in a mandate form for receipt of payment through NEFT. In case of non-payment through EFT, or where the EFT facility is not available, payment may be released through cheque.

- 3) Payments shall only be made in Indian Rupees.
- 4) the contractor shall send its claim for payment in writing as per GST compliant Invoice and documents, when contractually due, along with relevant documents etc., as stipulated in Contract and a manner as also specified therein.
- 5) While claiming payment, the contractor is also to certify in the bill that the payment being claimed is strictly in terms of the contract and all the obligations on the part of the contractor for claiming that payment has been fulfilled as required under the contract.

#### **11.4 Withholding and lien in respect of sums claimed:**

- 1) Whenever any claim or claims for payment of a sum of money arises against the contractor, out of or under the contract, the Procuring Entity shall be entitled, and it shall be lawful on his part, to withhold and also have a lien to retain such sum or sums, in whole or in part pending finalisation or adjudication of any such claim from -
  - a) any security or retention money, if any, deposited by the contractor.
  - b) any sum(s) payable till now or hereafter to the contractor under the same Contract or any other contract with the Procuring Entity if the security is insufficient or if no security has been taken from the contractor.
- 2) Where the contractor is a partnership firm or a limited company, the Procuring Entity shall be entitled, and it shall be lawful on his part, to withhold and also have a lien to retain towards such claimed amount or amounts in whole or in part from any sum found payable to any partner/ limited company, as the case may be, whether in his capacity or otherwise.
- 3) It is an agreed term of the contract that the sum(s) of money so withheld or retained under the lien referred above shall be kept withheld or retained till the claim arising out of or under the contract is determined under clause GCC 12 and/ or 13. The contractor shall have no claim for interest or damages whatsoever on any account in respect of such withholding or retention under the lien referred to supra and duly notified as such to the contractor.
- 4) **Lien in respect of Claims in other Contracts:** Any sum of money due and payable to the contractor (including the security deposit returnable to him) under the contract may be withheld or retained by way of lien by the Procuring Entity or Government against any claim of the Procuring Entity or Government in respect of payment of a sum of money arising out of or under any other contract made by the contractor with the Procuring Entity or Government.

#### **11.5. Payments to Contractor**

- 1) **Lumpsum:** Payments shall be made only on completion of Services and operationalization of the goods supplied.

##### **11.5.1 Advance Payments**

No advance payment will be made under the Contract.

### **11.5.2 Preconditions for Passing the Bills**

- 1) the Contract Manager or his/her representative shall ensure and cross-check with all relevant records before passing the bills of the contractor. Upon verification of the records by Procuring Entity, payments can be released to the contractor.
- 2) The contractor shall ensure that Minimum gross wages, including ESI, EPF etc., is paid as per the actuals by the contractor to all workers, and portions to be deposited with the relevant authorities has also been deposited by him. If the contractor fails to pay the gross minimum wages, the same shall be informed to the Regional Labour Commissioner.
- 3) the Contract Manager shall ensure that the contractor submits all the relevant records related to statutory obligations and agreement conditions for claiming bills.

### **11.6. Completion Certificate and Final Payment**

#### **11.6.1 Completion Certificate**

Upon a written intimation from the contractor, the Contract Manager shall issue a certificate of completion duly indicating the date of completion after satisfying himself of the following. The Contract Manager may also issue such a certificate indicating the date of completion concerning any part of the service (before the completion of the whole of service), which has been completed to the satisfaction of the Contract Manager:

- 1) that the whole of the Services to be done under the provisions of the contracts have been completed or when any such certificate is given in respect of part of a service, such part shall be considered completed.
- 2) that they have been inspected by him since their completion and found to be in good and substantial order,
- 3) that such completed services have satisfactorily passed any final test or tests that may be prescribed,
- 4) that all properties, works and things, removed, disturbed, or damaged in consequence of the Services have been adequately replaced and
- 5) that the contractor has returned in good condition, all assets loaned or hired from the Procuring Entity and has given a satisfactory account of payments made to or retained by the Procuring Entity for such loaned/ hired assets,
- 6) that the contractor has made good and satisfied in conformity with the contract all expenses and demands:
  - a. incurred by or made upon by the Procuring Entity.
  - b. for or in respect of damages or losses from or in consequence of the services.

#### **11.6.2 Approval Only by Completion Certificate:**

No certificate other than completion certificate referred to in sub-clause above shall be deemed to constitute approval of any service or other matter in respect of which it is issued or shall be taken as an admission of the due performance of the contract or any part thereof or of the accuracy of any claim or demand made by the contractor or of additional varied Services having been ordered by the Contract Manager nor shall any other certificate conclude or prejudice any of the powers of the Contract Manager.

#### **11.6.3 Cessation of Procuring Entity's Liability**

After the issue of Completion Certificate, the Procuring Entity shall not be liable to the contractor for any matter arising out of or in connection with the contract for the delivery of the Services, unless the contractor shall have claimed in writing in respect thereof before the issue of the Completion Certificate for service in Contract.

#### **11.6.4 Unfulfilled Obligations**

Notwithstanding the issue of Completion Certificate for service, the contractor and the Procuring Entity shall remain liable for the fulfilment of any obligation incurred under the provision of the contract before the issue of the Completion Certificate for service, which remains unperformed at the time such certificate is issued. The contract shall be deemed to remain in force till the nature and extent of any such obligations are determined.

#### **11.6.5 Final Payment**

The contractor shall submit a final bill on the Contract Manager's certificate of completion regarding the service. The Final payment shall be made as per the following calculations to the contractor after receiving a clear "No Claim Certificate" signed from him:

- 1) the total quantity of service executed by the contractor upto the completion date based on the Contract Manager or his representative's certified measurements.
- 2) priced at the rates in the Price Schedule in the contract.
- 3) necessary adjustment for any payments already made or retained
- 4) any deduction which may be made under the contract,
- 5) a complete account of all claims Contractor may have on the Procuring Entity, and the Contract Manager gave a certificate in writing that such claims are correct,

#### **11.6.6 No Claim Certificate and Release of Contract Securities**

The contractor shall submit a 'No-claim certificate' to the Procuring Entity in such form as shall be required by the Procuring Entity after the Services are finally admeasured and before the final payment/performance securities are released. The Procuring Entity shall release the contractual securities without any interest if no outstanding obligation, asset, or payments are due from the contractor. The contractor shall not be entitled to make any claim whatsoever against the Procuring Entity under or arising out of this Contract, nor shall the Procuring Entity entertain or consider any such claim, if made by the contractor, after he shall have signed a "No Claim" Certificate in favour of the Procuring Entity. The Contactor shall be debarred from disputing the correctness of the items covered by the "No Claim" Certificate or demanding a clearance to arbitration in respect thereof.

#### **11.6.7 Post Payment Audit**

Notwithstanding the issue of Completion Certificate and release of final Payment, the Procuring Entity reserves the right to carry out within 180 days (unless otherwise stipulated in the contract) of such completion/ final payment, a post-payment audit and/ or technical examination of the Services and the final bill including all supporting vouchers, abstracts etc. If any over-payment to the contractor is discovered due to such examination, the Procuring Entity shall claim such amount from the contractor.

#### **11.6.8 Signature on Receipts for Amounts**

Every receipt for money, which may become payable, or for any security which may become transferable to the contractors, under the contract, shall if signed in the partnership name by any one of the partners of a Contractor's firm, be a suitable and sufficient discharge to the Procuring Entity in respect of the sums of money or security purported to be acknowledged thereby. In the event of death of any contractor, partners during the pendency of the contract, every receipt by anyone of the surviving constituents shall be suitable and sufficient discharge as aforesaid. Nothing in this Clause shall be deemed to prejudice or effect any claim that the Procuring Entity may hereafter have against the legal representative regarding any breach of any contract conditions by any contractor partner/member so dying. Nothing in this clause shall be deemed to prejudice or effect the respective rights or obligations of the contractor partners/ members and the legal representatives of any deceased Contractor partners/ members.

### **11.7. Defects Liability Period**

- 1) the contractor warrants that the Services have been delivered as per description, scope/ quantum, performance standards and quality outlined in the contract. This Defect Liability shall be in effect for a period stipulated in the contract (or if not specified for ninety (90) days) from completing the Services. The contract shall be deemed alive during this period, even if final payment and/ or Performance Guarantee has been released.
- 2) During the Defects Liability Period, upon discovering any deficiencies in outputs/ outcomes attributable to a shortfall in scope/ quantum, performance standards and quality of the performed Services, the Procuring Entity shall give written notice to the contractor.
- 3) Upon receiving such notice, the contractor shall, within 21 days (or within any other period, if stipulated in the contract), expeditiously remedy or reperform the Services or parts thereof, free of cost, at the site.
- 4) If the contractor, having been notified, fails to rectify/ replace the defect(s) within 21 days (or within any other period, if stipulated in the contract), it shall amount to breach of Contract, and the Procuring Entity shall proceed to take such remedial action(s) as deemed fit by it as detailed.

### **11.8. Payments Against Time-Barred Claims**

All claims against the Procuring Entity shall be legally time-barred after three years calculated from the date when the payment falls due unless the payment claim has been under correspondence. The Procuring Entity is entitled to, and it shall be lawful for it to reject such claims.

### **11.9. Commissions and Fees**

The Service Provider shall disclose any commissions or fees that may have been paid or are to be paid to agents, representatives, or commission agents concerning the selection process or execution and performance of this Contract. The information disclosed must include the name and address of the agent, representative, or commission agent, the amount and currency, and the purpose of the commission or fee in a format similar to Form 1.3 of the Tender Document.

## **12. Resolution of Disputes**

### **12.1. Disputes and Excepted Matters**

All disputes and differences between the parties hereto, as to the construction or operation of this Contract, or the respective rights and liabilities of the parties on any matter in question; or any other account whatsoever, but excluding the Excepted Matters (detailed below); arising out of or in connection with the contract, within thirty (30) days from aggrieved Party notifying the other Party of such matters; whether before or after the completion/ termination of the contract, that cannot be resolved amicably between the Contract Manager and the contractor, shall be hereinafter called the "Dispute". The aggrieved party shall give a 'Notice of Dispute' indicating the Dispute and claims citing relevant Contractual clause to the designated authority requesting for invoking the following dispute resolution mechanism. The Dispute shall be resolved without recourse to courts through dispute resolution mechanisms detailed subsequently, in the sequence as mentioned below, and the next mechanism shall not be invoked unless the earlier mechanism has been invoked or has failed to resolve it within the deadline mentioned therein.

- 1) Adjudication
- 2) Conciliation
- 3) Arbitration

## **12.2. Excepted Matters**

Matters for which provision has been made in any Clause of the contract shall be deemed as 'excepted matters' (matters not disputable/arbitrable), and decisions of the Procuring Entity, thereon shall be final and binding on the contractor. The 'excepted matters' shall stand expressly excluded from the purview of the sub-clauses below, including Arbitration. However, where the Procuring Entity has raised the dispute, this sub-clause shall not apply. Unless otherwise stipulated in the contract, excepted matters shall include but not limited to:

- 1) any controversies or claims brought by a third party for bodily injury, death, property damage or any indirect or consequential loss arising out of or in any way related to the performance of this Contract ("Third Party Claim"), including, but not limited to, a Party's right to seek contribution or indemnity from the other Party in respect of a Third-Party Claim.
- 2) Issues related to the pre-award tender process or conditions
- 3) Issues related to ambiguity in Contract terms shall not be taken up after a Contract has been signed. All such issues should be highlighted before the signing of the contract by the contractor.
- 4) Provisions incorporated in the contract, which are beyond the purview of The Procurement Entity or are in pursuance of policies of Government, including but not limited to
  - a) Provisions of restrictions regarding local content and Purchase Preference to Local suppliers in terms of Make in India policy of the Government
  - b) Provisions regarding restrictions on Entities from Countries having land borders with India in terms of the Government's policies in this regard
  - c) Purchase preference policies regarding MSEs and Start-ups

## **12.3. Adjudication**

After exhausting efforts to resolve the Dispute with the Contract Manager executing the contract on behalf of the Procuring Entity, the contractor shall give a 'Notice of Adjudication' specifying the matters which are in question, or subject of the dispute or difference indicating the relevant contractual clause, as also the amount of claim item-wise to Head of Procurement or any other authority mentioned in the contract (hereinafter called the "Adjudicator") for invoking resolution of the dispute through

Adjudication. During his adjudication, the Adjudicator shall give adequate opportunity to the contractor to present his case. Within 60 days after receiving the representation, the Adjudicator shall make and notify decisions in writing on all matters referred to him. The parties shall not initiate, during the adjudication proceedings, any conciliation or arbitral or judicial proceedings in respect of a dispute that is the subject matter of the adjudication proceedings. If not satisfied by the decision in adjudication, or if the adjudicator fails to notify his decision within the abovementioned time-frame, the contractor may proceed to invoke the process of Conciliation as follows.

## **12.4 Conciliation of disputes**

- 1) Any party may invoke Conciliation by submitting "Notice of Conciliation" to the Head of the Procuring Organisation. Since conciliation is a voluntary process, within 30 days of receipt of "Notice of Conciliation", the Head of the Procuring Organisation shall notify a sole Conciliator if the other party is agreeable to enter Conciliation. If the other party is not agreeable to Conciliation, the aggrieved party may invoke Arbitration.
- 2) The Conciliator shall proactively assist the parties to reach an amicable settlement independently and impartially within the terms of Contract, within 60 days from the date of appointment of Conciliator.
- 3) If the parties reach an agreement on a dispute settlement, they shall draw up a written settlement agreement duly signed by the parties and conciliator. When the parties sign the settlement agreement, it shall be final and binding on the parties. The dispute shall be treated as resolved on the date of such agreement.
- 4) The parties shall not initiate, during the conciliation proceedings, any arbitral or judicial proceedings in respect of a dispute that is the subject matter of the conciliation proceedings.
- 5) **Termination of Conciliation:** Disputes shall remain alive if the conciliation is terminated as follows:
  - a. By written declaration of the conciliator, after consultation with the parties, to the effect that further efforts at conciliation are no longer justified, on the date of such declaration; or
  - b. By a written declaration of any party to the conciliator to the effect that the conciliation proceedings are terminated, on the date of such declaration; or
  - c. If the parties fail to reach an agreement on a settlement of the dispute, within 60 days of the appointment of Conciliator
- 6) On termination of Conciliation, if the dispute is still alive, the aggrieved party shall be free to invoke Arbitration.

## **12.5. Arbitration Agreement**

### **12.5.1 This Agreement**

- 1) This Arbitration Agreement (hereinafter referred to as this "Agreement") relating to this Contract (hereinafter called the "Main Agreement" for this agreement) is made under the provisions of The Arbitration and Conciliation Act, 1996 as amended from time to time and the rules thereunder (hereinafter called The Arbitration Act). This Agreement shall continue to survive termination, completion, or closure of the Main Agreement for 120 days after that.
- 2) Subject to aforesaid provisions, relevant clauses of the contract shall apply to the appointment of arbitrators and arbitration proceedings under this Agreement.
- 3) The Micro, Small and Medium Enterprises Development (MSMED) Act, 2006 provides parties to a dispute (where one of the parties is a Micro or Small Enterprise) to be referred to Micro and

Small Enterprises Facilitation Council if the dispute is regarding any amount due under Section 17 of the MSMED Act, 2006. If a Micro or Small Enterprise, being a party to dispute, refers to the provisions in MSMED Act 2006, these provisions shall prevail over this Agreement.

### **12.5.2 Notice for Arbitration**

- 1) Authority to Appoint Arbitrator(s): For this Arbitration Agreement 'The Appointing Authority', to appoint the arbitrator shall be Head of the Procuring Organisation named in the contract and includes if there be no such authority, the officer who is for the time being discharging the functions of that authority, whether in addition to other functions or otherwise.
- 2) In the event of any dispute as per GCC-clause 12.1 above, if the Adjudicator fails to decide within 60 days (as referred in 12.3 above), or the Conciliation is terminated (as referred in sub-clause 12.4 above) then, parties to the contract, after 60 days but within 120 days of 'Notice of Dispute' (clause 12.1 above) shall request the Appointing Authority through a "Notice for Arbitration" in writing requesting that the dispute or difference be referred to arbitration.
- 3) The "Notice for arbitration" shall specify the matters in question or subject of the dispute or difference indicating the relevant contractual clause, as well as the amount of claim item-wise.

### **12.5.3 Reference to Arbitration**

After appointing Arbitrator(s), the Appointing Authority shall refer the Dispute to them. Only such dispute or difference shall be referred to arbitration regarding which the demand has been made, together with counter-claims or set off. Other matters shall be beyond the jurisdiction of Arbitrator(s)

### **12.5.4 Appointment of Arbitration**

#### **1) Qualification of Arbitrator:**

- a) In the case of retired officers of The Procuring organisation, he shall have retired in the rank of Senior administrative grade (or equivalent) and shall have retired at least 1 years prior and must not be over 70 years of age on the date of Notice for arbitration.
- b) He/ they shall not have had an opportunity to deal with the matters to which the contract relates or who, in the course of his/ their duties as officers of the Procuring Organisation, expressed views on any or all of the matters under dispute or differences. A certification to this effect (as per Format 1.1.4) shall be taken from Arbitrators. The proceedings of the Arbitral tribunal or the award made by such Tribunal shall, however, not be invalid merely for the reason that one or more arbitrators had in the course of his service, an opportunity to deal with the matters to which the contract relates or who in the course of his/ their duties expressed views on all or any of the matters under dispute.
- c) An Arbitrator may be appointed notwithstanding the total no. of arbitration cases in which he has been appointed in the past.
- d) Not be other than the person appointed by The Appointing Authority and that if for any reason that is not possible, the matter shall not be referred to arbitration at all.

#### **2) Replacement of Arbitrator**

If one or more of the arbitrators appointed as above refuses to act as arbitrator, withdraws from his office as arbitrator, or in the event of the arbitrator dying, neglecting/ unable or unwilling or refusing to act for any reason, or his award being set aside by the court for any reason, or in the opinion of The Appointing Authority fails to act without undue delay, the Appointing Authority shall appoint new arbitrator/ arbitrators to act in his/ their place in the same manner in which the earlier arbitrator/ arbitrators had been appointed. Such a re-constituted Tribunal may, at its discretion, proceed with the reference from the stage at which it was left by the previous arbitrator (s).

### **3) Appointment of Arbitrator:**

In cases where the total value of all claims in question added together does not exceed Rs 50,00,000/ - (Rupees Fifty Lakh only), the Arbitral Tribunal shall consist of sole Arbitrator. For this purpose, The Appointing Authority shall send to the contractor, within 60 days from the day of receipt of a written and valid notice for arbitration, a panel of at least four (4) names of retired officers, duly indicating their retirement dates.

- a) The contractor shall be asked to nominate at least two names out of the panel for appointment as his nominee within 30 days from the dispatch date of the request by The Appointing Authority. The Appointing Authority shall appoint at least one out of them as the sole arbitrator within 30 days from the receipt of the names of the contractor's nominees.
- b) In cases where the total value of all claims in question added together exceeds Rs 50,00,000/ - (Rupees Fifty Lakh only), the Arbitral Tribunal shall consist of three (3) retired Officers of the Procuring Organisation. For this purpose, The Appointing Authority shall send a panel of at least four (4) names of such Officer(s) empanelled to work as Arbitrators duly indicating their retirement date to the contractor within 60 days from the day when a written and The Appointing Authority receives valid demand for arbitration.
- c) The contractor shall be asked to nominate at least 2 names out of the panel for appointment as his nominee within 30 days from the dispatch date of the request by The Appointing Authority. The Appointing Authority shall appoint at least one out of them as the contractor's nominee. It shall also simultaneously appoint the balance number of arbitrators either from the panel or outside the panel, duly indicating the 'Presiding Arbitrator' from amongst the 3 arbitrators so appointed, within 30 days from the receipt of the names of Contractor's nominees.
- d) If the contractor does not suggest his nominees for the arbitral tribunal within the prescribed timeframe, The Appointing Authority shall proceed for appointment of the arbitral tribunal within 30 days of the expiry of such time provided to the Contractor.

#### **12.5.5 Failure to appoint Arbitrator**

If The Appointing Authority fails to appoint an arbitrator within 60 (sixty) days, then subject to the survival of this Arbitration Agreement, in international commercial arbitration, the Supreme Court of India shall designate the arbitral institution for the appointment of arbitrators. In case of national arbitrations, the High Court shall designate arbitral institutions. The Arbitration Council of India must have graded these arbitration institutions. These arbitral institutions must complete the selection process within thirty days of accepting the request for the arbitrator's appointment.

#### **12.5.6 The Arbitral Procedure**

- 1) **Effective Date of Entering Reference:** The arbitral tribunal shall be deemed to have entered the reference on the date on which the arbitrator(s) have received notice of their appointment. All subsequent time limits shall be counted from such date.
- 2) **Seat and Venue of Arbitration:** The seat of arbitration shall be the place from which the Letter of Award or the contract is issued. The venue of arbitration shall be the same as the seat of arbitration. However, in terms of section 20 of The Arbitration Act, the arbitrator, at his discretion, may determine a venue other than the seat of the arbitration without in any way affecting the legal jurisdictional issues linked to the seat of the arbitration.
- 3) If the Adjudication and/ or Conciliation mechanisms had not been exhausted before such reference to Arbitration, the Arbitrator should ask the aggrieved party to approach designated authority for such mechanisms before the Arbitration proceedings are started.
- 4) The claimant shall submit to the Arbitrator(s) with copies to the respondent his claims stating the facts supporting the claims along with all the relevant documents and the relief or remedy sought against each claim within 30 days from the date of appointment of the Arbitral Tribunal unless otherwise extension has been granted by Arbitral Tribunal.
- 5) On receipt of such claims, the respondent shall submit its defence statement and counter-claim(s), if any, within 60 days of receipt of the copy of claims, unless otherwise extension has been granted by Arbitral Tribunal.
- 6) No new claim shall be added during proceedings by either party. However, a party may amend or supplement the original claim or defence thereof during arbitration proceedings subject to acceptance by the Tribunal having due regard to the delay in making it.
- 7) Statement of claims, counterclaims and defence shall be completed within six months from the effective reference date.
- 8) **Oral arguments to be held on a day-to-day basis:** Oral arguments as far as possible shall be heard by the arbitral tribunal on a day-to-day basis, and no adjournments shall be granted without sufficient cause. The arbitrator (s) may impose an exemplary cost on the party seeking adjournment without sufficient cause.
- 9) **Award within 12 (Twelve) months:** The arbitral tribunal is statutorily bound to deliver an award within 12 (twelve) months from the date when the arbitral tribunal enters reference. The award can be delayed by a maximum of six months only under exceptional circumstances where all parties consent to such extension of time. The court's approval shall be required for further extension if the award is not made out within such an extended period. During the period of an application for extension of time is awaiting before the court, the arbitrator's proceedings shall continue until the disposal of the application.
- 10) **Fast Track Procedure:** The parties to arbitration may choose to opt for a fast- track procedure either before or after the commencement of the arbitration. The award in fast-track arbitration is to be made out within six months, and the arbitral tribunal shall be entitled to additional fees. The salient features of the fast-track arbitration are:
  - a. The dispute is to be decided based on written pleadings only.
  - b. Arbitral Tribunal shall have the power to call for clarifications in addition to the written pleadings where it deems necessary.
  - c. An oral hearing may be held only if all the parties request or the arbitral tribunal considers it necessary.
  - d. The parties are free to decide the fees of the arbitrator(s) for fast-track procedure.
- 11) **Powers of Arbitral Tribunal to grant Interim Relief:** The parties to arbitration may approach the arbitral tribunal for seeking interim relief on the grounds available under section 9 of the act. The tribunal has the powers of a court while making interim awards in the proceedings before it.

- 12) **Confidentiality:** As provided in Section 42A of The Arbitration Act, all the details and particulars of the arbitration proceedings shall be kept confidential except in certain situations, like if the disclosure is necessary for the implementation or execution of the arbitral award.
- 13) **Obligation During Pendency of Arbitration:** Performance of the contract shall, unless otherwise directed by the Procuring Entity, continue during the arbitration proceedings, and no payment due or payable by the Procuring Entity shall be withheld on account of such proceedings, provided; however, it shall be open for Arbitral Tribunal to consider and decide whether or not the performance of the contract or payment therein should continue during arbitration proceedings.

### **12.5.7 The Arbitral Award**

- 1) In the case of the Tribunal, comprising of three members, any ruling on award shall be made by a majority of members of the Tribunal. In the absence of such a majority, the views of the Presiding Arbitrator shall prevail.
- 2) The arbitral award shall state item-wise the sum and reasons upon which it is based. The analysis and reasons shall be detailed enough so that the award can be inferred from it.
- 3) It is further a term of this arbitration agreement that where the arbitral award is for the payment of money, no interest shall be payable on whole or any part of the money for any period till the date on which the award is made in terms of Section 31 (7) (a) of The Arbitration Act.
- 4) The award of the arbitrator shall be final and binding on the parties to this Contract.
- 5) A party may apply for corrections of any computational errors, typographical or clerical errors, or any other error of similar nature occurring in the award or interpretation of a specific point of the award to the Tribunal within 60 days of receipt of the award.
- 6) A party may apply to the Tribunal within 60 days of receiving the award to make an additional award as to claims presented in the arbitral proceedings but omitted from the arbitral award.

### **12.5.8 Savings**

The Arbitral Tribunal shall decide any matter related to Arbitration not covered under this Arbitration Agreement as per the provisions of The Arbitration Act.

### **12.5.9 Cost of Arbitration and fees of the Arbitrator(s)**

- 1) The concerned parties shall bear the cost of arbitration in terms of section 31 (A) of The Arbitration Act. The cost shall inter-alia include fees of the Arbitrator. Further, the fees payable to the Arbitrator shall be governed by instructions issued on the subject by the Procuring Entity and/ or the Government from time to time, in line with the Arbitration and Conciliation Act, irrespective of the fact whether the Arbitrator is appointed by the Procuring Entity or the Government under this clause or by any court of law unless directed explicitly by Hon'ble court otherwise on the matter. A sole arbitrator shall be entitled to a 25% extra fee over such a prescribed fee.
- 2) The arbitrator shall be entitled to a 50 percent extra fee if the award is made within 6 months in terms of provisions contained in section 29(A) (2) of The Arbitration Act.
- 3) Besides the above, Arbitrator shall also be entitled to this extra fee in cases where Fast Track Procedure in terms of section 29 (B) of The Arbitration Act is followed.

## **13. Defaults, Breaches, Termination, and closure of Contract**

### **13.1. Termination due to Breach, Default, and Insolvency**

#### **13.1.1 Defaults and Breach of Contract**

In case the contractor undergoes insolvency or receivership; neglects or defaults, or expresses inability or disinclination to honour his obligations relating to the performance of the contract or ethical standards or any other obligation that substantively affects the Procuring Entity's rights and benefits under the contract, it shall be treated as a breach of Contract. Such defaults could include inter-alia:

- 1) **Default in Performance and Obligations:** if the contractor fails to deliver any or all of the Services or fails to perform any other contractual obligations (including Code of Integrity or obligation to maintain eligibility and Qualifications based on which contract was awarded) within the period stipulated in the contract or within any extension thereof granted by the Procuring Entity.
- 2) **Insolvency:** If the contractor being an individual or if a firm, any partner thereof, shall at any time, be adjudged insolvent or shall have a receiving order or order for the administration of his estate made against him or shall take any proceeding for composition under any Insolvency Act for the time being in force or make any conveyance or assignment of his effects or enter into any assignment or composition with his creditors or suspend payment or if the firm be dissolved under the Partnership Act, or
- 3) **Liquidation:** if the contractor is a company being wound up voluntarily, or by order of a Court or a Receiver, Liquidator or Manager on behalf of the Debenture-holders is appointed, or circumstances shall have arisen which entitle the Court or Debenture-holders to appoint a Receiver, Liquidator or Manager.

#### **13.1.2 Notice for Default:**

As soon as a breach of contract is noticed, a show-cause 'Notice of Default' shall be issued to the contractor, giving two weeks' notice, reserving the right to invoke contractual remedies. After such a show-cause notice, all payments to the contractor would be temporarily withheld to safeguard needed recoveries that may become due on invoking contractual remedies.

#### **13.1.3 Terminations for Default**

- 1) **Notice for Termination for Default:** In the event of unsatisfactory resolution of 'Notice of Default' within two weeks of its issue as per sub-clause above, the Procuring Entity, if so decided, shall by written Notice of Termination for Default sent to the contractor, terminate the contract in whole or in part, without compensation to the contractor.
- 2) Such termination shall not prejudice or affect the rights and remedies, including under sub-clause below, which have accrued and/ or shall accrue to the Procuring Entity after that.
- 3) Unless otherwise instructed by the Procuring Entity, the contractor shall continue to perform the contract to the extent not terminated.
- 4) All Defect Liability obligations, if any, shall continue to survive despite the termination.

### **13.1.4 Contractual Remedies for Breaches/ Defaults or Termination for Default**

If there is an unsatisfactory resolution within this period, the Procuring Entity shall take one; or more of the following contractual remedies.

- 1) Temporary withhold payments due to the contractor till recoveries due to invocation of other contractual remedies are complete.
- 2) Call back any loaned property or advances of payment, if any, with a levy of interest at the prevailing rate (MIBID - Mumbai Interbank Bid Rate).
- 3) Recover liquidated damages and invoke denial clause for delays.
- 4) Encash and/ or Forfeit performance or other contractual securities.
- 5) Prefer claims against insurances, if any.
- 6) Terminate Contract for default, fully or partially including its right for Risk-and- Cost Procurement as per following sub-clause.
- 7) **Risk and Cost Procurement:** In addition to termination for default, the Procuring Entity shall be entitled, and it shall be lawful on his part, to procure Services similar to those terminated, with such terms and conditions and in such manner as it deems fit at the "Risk and Cost" of the contractor. Such Risk and Cost Procurement must be contracted within six months from the breach of Contract. The contractor shall be liable for any loss which the Procuring Entity may sustain on that account provided the procurement, or, if there is an agreement to procure, such agreement is made. The contractor shall not be entitled to any gain on such procurement, and the manner and method of such procurement shall be in the entire discretion of the Procuring Entity. It shall not be necessary for the Procuring Entity to notify the contractor of such procurement. It shall, however, be at the discretion of the Procuring Entity to collect or not the security deposit from the firm/ firms on whom the contract is placed at the risk and cost of the defaulted firm.

*Note: Regarding the Services that are not readily available in the market and where procurement difficulties are experienced, the period for making risk procurement shall be nine months instead of six months provided above.*
- 8) Initiate proceedings in a court of law for the transgression of a law, tort, and loss, not addressable by the above means.

### **13.1.5 Limitation of Liability**

Except in cases of criminal negligence or wilful misconduct, the aggregate liability of the contractor to the Procuring Entity, whether under the contract, in tort or otherwise, shall not exceed the total Contract Price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment, or to any obligation of the contractor to indemnify the Procuring Entity concerning IPR infringement.

## **13.2. Termination for Default/ Convenience of Procuring Entity and Frustration**

### **13.2.1 Notice for Determination of Contract**

- 1) The Procuring Entity reserve the right to terminate the contract, in whole or in part for its (the Procuring Entity's) convenience or frustration of Contract as per sub-clause below, by serving written 'Notice for Determination of Contract' on the contractor at any time during the currency of the contract. The notice shall specify that the termination is for the convenience of the Procuring Entity or the frustration of the contract. The notice shall also indicate inter-

alia, the extent to which the contractor's performance under the contract is terminated, and the date with effect from which such termination shall become effective.

- 2) Such termination shall not prejudice or affect the rights and remedies accrued and/ or shall accrue after that to the Parties.
- 3) Unless otherwise instructed by the Procuring Entity, the contractor shall continue to perform the contract to the extent not terminated.
- 4) All Defect Liability obligations, if any, shall continue to survive despite the termination.
- 5) The Services and incidental goods/ works that can be delivered or performed within thirty days after the contractor's receipt of the notice of termination shall be accepted by the Procuring Entity as per the contract terms. For the remaining Services and incidental goods/ works, the Procuring Entity may decide:
  - a. To get any portion of the balance completed and delivered at the contract terms, conditions, and prices; and/ or
  - b. To cancel the remaining portion of the Services and incidental goods/ works and compensate the contractor by paying an agreed amount for the cost incurred by the contractor, if any, towards the remaining portion of the Services and incidental goods/ works.

### **13.2.2 Frustration of Contract**

- 1) **Notice of Frustration Event:** Upon a supervening cause occurring after the effective date of the contract, including a change in law, beyond the control of either party whether as a result of the Force Majeure clause or within the scope of section 56 of the Indian Contract Act, 1872, that makes it impossible to perform the contract within a reasonable timeframe, the affected party shall give a 'Notice of Frustration Event' to the other party giving justification. The parties shall use reasonable efforts to agree to amend the contract, as may be necessary to complete its performance. However, if the parties cannot reach a mutual agreement within 60 days of the initial notice, the Procuring Entity shall issue a 'Notice for Determining the contract' and terminate the contract due to its frustration as in the sub-clause above.
- 2) However, the following shall not be considered as such a supervening cause
  - a) Lack of commercial feasibility or viability or profitability or availability of funds
  - b) if caused by either party's breach of its obligations under this Contract or failure to act in good faith or use commercially reasonable due diligence to prevent such an event.

### **13.3. Closure of Contract**

The contract shall stand closed upon

- 1) successful performance of all obligations by both parties, including completion of Defect Liability obligations and final payment.
- 2) termination and settlements after that, if any, as per clause 13.1 or 13.2 above.

## **14. Code of Integrity in Public Procurement; Misdemeanors and Penalties**

### **14.1 Code of Integrity**

Procuring authorities as well as bidders, suppliers, contractors, and consultants - should observe the highest standard of ethics and should not indulge in following prohibited practices, either directly or indirectly, at any stage during the Tender Process or during the execution of resultant contracts:

- 1) **“Corrupt practice”** - making offer, solicitation or acceptance of a bribe, reward or gift or any material benefit, in exchange for an unfair advantage in the Tender Process or to otherwise influence the Tender Process;
- 2) **“Fraudulent practice”** - any omission or misrepresentation that may mislead or attempt to mislead so that financial or other benefits may be obtained or an obligation avoided. Such practices include a false declaration or false information, for participation in a tender process or to secure a Contract, or in the execution of the contract;
- 3) **“Anti-competitive practice”** - any collusion, bid-rigging or anti-competitive arrangement, or any other practice coming under the purview of the Competition Act, 2002, between two or more bidders, with or without the knowledge of the Procuring Entity, that may impair the transparency, fairness, and the progress of the Tender Process or to establish bid prices at artificial, non-competitive levels;
- 4) **“Coercive practice”** - harming or threatening to harm persons or their property to influence their participation in the Tender Process or affect the execution of a contract;
- 5) **“Conflict of interest”** –participation by a bidding firm or any of its affiliates who are either involved in the Consultancy Contract to which this procurement is linked; or if they are part of more than one bid in the procurement; or if their personnel have a relationship or financial or business transactions with any official of procuring entity who are directly or indirectly related to tender or execution process of contract; or improper use of information obtained by the (prospective) bidder from the Procuring Entity with an intent to gain unfair advantage in the Tender Process or for personal gain;
- 6) **“Obstructive practice”** - materially impede procuring entity’s investigation into allegations of one or more of the above mentioned prohibited practices either by deliberately destroying, falsifying, altering; or by concealing of evidence material to the investigation; or by making false statements to investigators and/ or by coercive practices mentioned above, to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or by impeding the Procuring Entity’s rights of audit or access to information;

#### **14.2. Obligations for Proactive Disclosure:**

- 1) Procuring authorities, bidders, suppliers, contractors, and consultants are obliged under this Code of Integrity to *suo-moto* proactively declare any conflict of interest (coming under the definition mentioned above - pre-existing or as and as soon as these arise at any stage) in any Tender Process or execution of the contract. Failure to do so shall amount to a violation of this code of integrity.
- 2) Any bidder must declare, whether asked or not in a bid-document, any previous transgressions of such code of integrity during the last three years or of being under any category of debarment by the Central Government or by the Ministry/ Department of the Procuring Organisation from participation in Tender Processes. Failure to do so shall amount to a violation of this code of integrity.

#### **14.3. Misdemeanours**

The following shall be considered misdemeanours - if a bidder/ contractor either directly or indirectly, at any stage during the Tender Process or during the execution of resultant contracts:

- 1) commits any of the following misdemeanours:

- a) violates the code of Integrity mentioned in GCC-clause 14.1 or the Integrity Pact if included in the Tender/ Contract;
  - b) any other misdemeanour, e.g., supply of sub-standard quality of material/ services/ work or non-performance or abandonment of contract or failure to abide by 'Bid Securing Declaration'.
- 2) commits any of the following misdemeanours:
- a. has been convicted of an offence:
    - i. under the Prevention of Corruption Act, 1988; or
    - ii. the Indian Penal Code or any other law for the time being in force for causing any loss of life or property or causing a threat to public health as part of the execution of a public procurement contract.
  - b. is determined by the Government of India to have doubtful loyalty to the country or on national security consideration.
  - c. employs a government servant, who has been dismissed or removed on account of corruption or employs a non-official convicted for an offence involving corruption or abetment of such an offence, in a position where he could corrupt government servants or employs a government officer within one year of his retirement, who has had business dealings with him in an official capacity before retirement.

#### **14.4. Penalties for Misdemeanours**

Without prejudice to and in addition to the rights of the Procuring Entity to other remedies as per the Tender-documents or the contract, If the Procuring Entity concludes that a (prospective) bidder/ contractor directly or through an agent has committed a misdemeanour in competing for the tender or in executing a contract, the Procuring Entity shall be entitled, and it shall be lawful on his part to take appropriate measures, including the following:

##### **14.4.1 If his bids are under consideration in any procurement**

- 1) Enforcement of Bid Security or Bid Securing Declaration, as applicable.
- 2) calling off of any pre-contract negotiations, and;
- 3) rejection and exclusion of Bidder from the Tender Process

##### **14.4.2 If a contract has already been awarded**

- 1) Termination of Contract for Default and availing all remedies prescribed thereunder;
- 2) Encashment and/ or Forfeiture of any contractual security or bond relating to the procurement;
- 3) Recovery of payments including advance payments, if any, made by the Procuring Entity along with interest thereon at the prevailing rate (MIBID - Mumbai Interbank Bid Rate);

##### **14.4.3 Remedies in addition to the above:**

In addition to the above penalties, the Procuring Entity shall be entitled, and it shall be lawful on his part to:

- 1) File information against Bidder or any of its successors, with the Competition Commission of India for further processing, in case of anti-competitive practices;

- 2) Initiate proceedings in a court of law against Bidder or any of its successors, under the Prevention of Corruption Act, 1988 or the Indian Penal Code or any other law for transgression not addressable by other remedies listed in this sub- clause.
- 3) Remove Bidder or any of its successors from the list of registered suppliers for a period not exceeding two years. Suppliers removed from the list of registered vendors or their related entities may be allowed to apply afresh for registration after the expiry of the period of removal.
- 4) Initiation of suitable disciplinary or criminal proceedings against any individual or staff found responsible.
- 5) Debar, a bidder/ contractor from participation in future procurements without prejudice to Procuring Entity's legal rights and remedies. Debarment shall automatically extend to all the allied firms of the debarred firm. In the case of a Joint Venture/ consortium, all its members shall also stand similarly debarred:
  - a. A Ministry/ Department (or any of its CPSUs, attached offices, autonomous bodies) may debar a bidder or any of its successors from participating in any Tender Process undertaken by all its procuring entities for a period not exceeding two years commencing from the date of debarment for misdemeanours listed in sub-clause GCC 14.3 - 1 above. The Ministry/Department shall maintain such a list which shall also be displayed on their website.
  - b. Central Government (Department of Expenditure (DoE), Ministry of Finance) may debar a bidder or any of its successors from participating in any Tender Process undertaken by all its procuring entities for a period not exceeding three years commencing from the date of debarment for misdemeanours listed in sub-clause GCC 14.3 - 2 above. DoE shall maintain such a list which shall be displayed on Central Public Procurement Portal (CPPP).

## Section V: Special Conditions of Contract (SCC)

Tender Document No. No. IR-27/2/2025-IR-DOP

**Tender Title: Deployment of Smart Lock Systems to Strengthen Mail Security and Service Quality**

(Ref ITB-clause 9.2)

*Note for Bidders: Following Special Conditions of Contract (SCC) shall apply for this procurement. These Special Conditions shall modify/ substitute/ supplement the corresponding (GCC) clauses as indicated below. Whenever there is any conflict between the provision in the GCC and that in the SCC, the provision contained in the SCC shall prevail.*

GCC Clause No	Topic	To be read as
<b>GCC 1,2,3 and 4: General, The Contract, Governing Laws and Jurisdiction, Communications</b>		
1.1, 1.2, 1.3, 1.4	General	<b>MMS -Mail Motor Service</b> (vehicle employed in the carriage of mails from point to point.) <b>Route</b> – The entire transportation plan of a MMS vehicle with multiple stops in its journey, both inter and intra city/state/circle/country will be treated as one route.
2.1	Language of Contract	<i>English</i>
3.1	Governing Laws and jurisdiction	Contract shall be governed by the Laws of India for the time being in force.  Courts at New Delhi shall only have jurisdiction to decide any dispute arising out or in respect of the Contract.
4.1, 4.3	Communications and notices	Address of Procuring Authority for sending communications:  DDG (International Relations & Global Business) IR & GB Division Room No. 334, Third Floor, Department of Posts, Dak Bhawan New Delhi – 110001  Address of Consultant for sending communication:  Address  Tel.  e-mail:
4.2	Persons Signing Communications	The Authorized Representative are:  For the “Procuring Entity”: DDG (International Relations & Global Business)

		For the Consultant:
<b>GCC 5 and 6: Contractor's and Procuring Entity's Obligations</b>		
5.7.	Confidentiality and Secrecy of information	All documents or information or associated correspondence or other information furnished by or on behalf of the Procuring Entity to the Consultant in connection with the contract are confidential and shall remain the property of the Procuring Entity and shall, without the prior written consent of Procuring Entity neither be divulged by the Consultant to any third party, nor be used by him for any purpose other than the activities required for the performance of this Contract.
5.8	Performance Bond/ Security	<i>3% of Contract value</i>
6.1	Facilities, Utilities to be provided by Procuring Entity	<i>As indicated in Section VI: Schedule of Requirements</i>
<b>GCC 7 and 8: Scope of Services, Performance Standards and Quality Assurance</b>		
7.2 and 7.3	Performance Standards, Quality Assurance	<i>Smart Locks with internet connectivity and dashboard management, to be deployed in MMS vehicles plying on routes as listed and subject to modifications in future. Computer Systems (preferably Laptops) as per specifications attached for setup of control room for monitoring the smart lock activity.</i>
7.6.1 & 7.6.2	Contract Period and Option Clause	<i>9 month from the effective date.  To be extended to additional 25% on same rate and terms &amp; condition</i>
<b>GCC 9: Deployment of Resources</b>		
9.2	Labour Codes and related Obligations	<i>Installation &amp; Training teams and other supporting staff to be deployed as per the Govt. guidelines</i>
<b>GCC 10 Delivery of Services and Delays</b>		
10.1	Works Programme	<i>Contractor to submit a work program for start of operations within 21 days of signing of contract  The Services shall be carried out as per Work Plan approved by the Procuring Entity.</i>
10.3	Effective Date of Contract	<i>15 days from the date Contract has been signed by the Procuring Entity.</i>

10.5.2	<b>Damages for Shortfall in Performance</b>	INR 100 per Smart Lock per day (including elements of GST & freight) of the defective Services, If the contractor fails to perform the Services i.e. operationalize the smart lock on the route on any given day, as per Performance Standards and Quality
10.5.3	<b>Liquidated damages</b>	0.125% percent of the contract value per month.
<b>GCC 11: Prices and Payments</b>		
11.1.2	Price Variation Clause	<i>Prices to be firm</i>
11.3	Terms and Mode of Payment	<b>Terms of Payment:</b>  The payments in respect of the Goods and Services shall be made in lumpsum after the satisfaction of the procuring entity.
11.5	Payment to Contractors	<i>No Advance payment to be made by the Department of Posts</i>
<b>GCC 12 Resolution of Disputes</b>		
12.2	Excepted Matters	<i>Decision of the Procuring Entity on the 'Excepted Matters' shall be final and binding on the Consultant.</i>
12.5	Arbitration	<i>Secretary, Department of Posts will be appointing authority to appoint the arbitrator.</i>

## Section VI: Schedule of Requirements

**Tender Document No.** IR-27/2/2025-IR-DOP

**Tender Title: Deployment of Smart Lock Systems to Strengthen Mail Security and Service Quality**

(Ref ITB-clause 9.2)

*Note for Bidders: Regarding this Schedule, Bidders must fill Form 2: 'Schedule of Requirements - Compliance' with their Technical Bids.*

### Background of Services

#### **1. Background of Procuring Organisation and Services and impact on Procuring Organisation's performance/ objectives;**

1.1 India Post offers a variety of mail and parcel products in the domestic and international sectors to its customers. These include EMS, Speed Post (document & parcel), India Post Parcel (Contractual), India Post Parcel (Retail) and Unregistered mail services in the country. Besides, India Post is also providing life insurance services as well as various citizen centric services of Central Government and different State Government to the people of the country.

1.2 The mail network (collection, processing, transmission and delivery) of India Post consists of Speed Post Network (77 National Sorting Hub & 187 Intra Circle Hub), Unregistered Mail Network (95 L-1 Unregistered Mail Offices & 169 L-2 Unregistered Mail Offices), Parcel Network (77 L-1 Parcel Hubs & 113 L-2 Parcel Hubs) and International mail network (8 Office of Exchange) spread across the length and breadth of the country. Complemented by transit mail offices, transshipment centres and Record offices, these sorting hubs are administered by 69 administrative RMS Divisions (which, in turn, are part of the Circle/Regional Administration). Speed Post (document) are processed at National Sorting Hubs & Intra Circles Hubs; Speed Post Parcel, India Post Parcel (Contractual), India Post Parcel (Retail) are processed at L-1/L-2 Parcel Hubs, Unregistered mail articles in L-1/L-2 Unregistered mail offices and EMS & other international mail articles are processed at Office of Exchange (OE).

1.3 India Post maintains a network of 25,347 Post offices and 1,40,247 Branch Post Offices. These Post offices act as a mail & parcel induction point and mainly booked mail & parcel, tendered by the retail customers on the Post office counters. Besides, India Post also maintains BNPL Centres, BPCs and Parcel Booking Centres (PBCs) for catering to the mail and parcels tendered by the bulk customers/corporate customers. Over the past years, India Post has also introduced Self Booking Kiosks to provide 24 X 7 booking services to the customers.

1.4 Transmission of various categories of mail & parcels is carried out through a combination of air, rail and road network. Transmission of Speed Post (document & parcel) is mainly carried out through air, whereas transmission of India Post Parcel (Contractual) and India Post Parcel (Retail) is primarily being carried out through the Road Transport Network (78 National routes & ~3000 State routes). Transmission of unregistered mail is primarily being carried out through 142 RMS sections functioning in 65 trains. Last mile connectivity

to the 19,540 Delivery Post offices from sorting hubs is mainly being provided through a combination of MMS vehicles and outsourced vehicles.

1.5 19,540 Delivery Post offices and 1,38,363 Branch Post offices provide last mile delivery link reaching out to the customers at their doorsteps. These delivery post offices are supplemented by 370 Delivery Centres (exclusive delivery offices) catering to the delivery of mail and Parcels to the customers.

1.6 Administrative hierarchy wise a Branch Post Office (BO) reports to a Sub Post office (SO) (also called Account office of BO) and a Sub Post office (SO) reports to a Head Post Office (HO). As indicated earlier, documents and parcels are being booked and delivered from the Branch Post office. Further, mail being booked at the Branch Post office and also mail to be delivered through the BO, is being received at or sent from the Account office of each of the Branch Post office in a BO Bag, containing the accounts for BO as well mail. On each day, a BO bag was sent by a Branch Post office to its Account office and also, a BO bag was sent by an Account office to its Branch Post offices.

1.7 The security and visibility of mail transport operations remain critical challenges for postal administrations in the Asia-Pacific region. Despite significant digital transformation in customer-facing services, the physical movement of mail often relies on traditional mechanical locks and manual monitoring. These methods are prone to theft, tampering, and lack of accountability in the event of irregularities.

1.18 Accordingly, Department of Posts through this 'Request of Proposal' propose to deploy Smart Lock Systems to in its mail network to strengthen mail security and service quality of its operations.

## **2. Purpose and Service Outcomes Statement**

### **Objectives:**

The overarching goal is to improve the safety, reliability, and efficiency of mail transport networks through adoption of Smart Security Locks.

### **Specific objectives include:**

1. Deploying Smart Locks to enable real-time monitoring of mail conveyance vehicles.
2. Reducing the risk of mail tampering, loss, or unauthorized access during transportation.
3. Establishing national monitoring centers with trained operators and analytics dashboards.
4. Sharing best practices and implementation models among the participating countries under UPU's coordination.
5. Building regional capacity to scale this technology to other postal administrations in subsequent phases.

### **Expected results:**

1. Establishment of secure, GPS-monitored mail conveyance network.
2. Reduction in mail theft, tampering, and loss incidents by at least 80 %.

3. Enhanced confidence of customers, regulators, and partner posts in regional mail security.
4. Improved cooperation and harmonized technical standards for mail transport security.
5. Creation of a regional best-practice model for replication by other UPU/ APPU member countries.

### **3. Short Description and Scope of Services**

The Department of Posts (DoP) intends to procure, deploy, and operationalize an end-to-end Smart Lock-based Mail Security System to enhance the security, traceability, and accountability of mail conveyance across its network under the QSF-approved project.

The selected bidder shall be responsible for the supply, installation, integration, commissioning, and maintenance of electronic smart locking devices along with handholding support in developing and deploying a centralized software platform for monitoring and analytics.

#### **3.1. Overview of the Solution**

The proposed solution shall comprise:

- Electronic Smart Locks capable of secure access through multiple authentication mechanisms such as PIN, RFID and/or mechanical override.
- A centralized cloud/web-based dashboard with Role-Based Access Control (RBAC) for monitoring, control, and analytics.
- Connectivity-enabled devices (4G/5G with fallback, GPS, Bluetooth, etc.) to ensure real-time communication and event reporting.
- A data-driven monitoring and analytics system to capture, analyze, and report incidents such as unauthorized access, tampering, or operational deviations and other MIS tools.

The solution shall be deployed across identified mail routes, vehicles, and operational points to ensure end-to-end visibility and security of mail movement.

#### **3.2. Scope of Work**

The scope of work for the selected bidder shall include, but not be limited to, the following:

##### **3.2.1 Supply of Smart Locks and Accessories**

- Supply of electronic smart locks conforming to the specifications provided (including multi-access capability, encryption, audit trail, connectivity and tamper alerts).
- Provision of all required accessories including mounting hardware, batteries, backup mechanisms, and connectivity modules.

- Supply of supporting infrastructure including computers/terminals and connectivity for monitoring centers, if required.

### **3.2.2 Installation and Commissioning**

- Installation of smart locks on mail vans, bags, containers, or designated assets as per Department requirements.
- Configuration of devices including:
  - User access credentials
  - Access levels (RBAC)
  - Connectivity settings (via provision of SIM cards)
- Testing and commissioning to ensure:
  - Proper lock/unlock functionality
  - Real-time communication with central system
  - Accurate event logging

### **3.2.3 Software Platform and Dashboard**

The bidder shall provide/assist in creating a comprehensive software ecosystem, including:

#### **a. Web-based Dashboard**

- Real-time monitoring of lock status (locked/unlocked, active/inactive)
- Device tracking (location, ID, usage logs)
- Alert system for:
  - Unauthorized access
  - Tampering attempts
  - Low battery
- Centralized control for lock/unlock operations (as per authorization levels)
- Reporting and analytics features (daily, weekly, monthly)

#### **b. Data Management**

- Secure storage of audit trails.
- Offline data buffering and synchronization upon network restoration.
- Data encryption and compliance with applicable standards.

### **3.2.4 Integration with Existing Systems**

- Integration with India Post IT systems (IT 2.0 / TMS / WMS / CSI) through APIs.
- Provision of standard APIs (REST/SOAP) for seamless data exchange.
- Enablement of centralized monitoring at:
  - Headquarters
  - Circle offices
  - Operational units

### **3.2.5 Security and Compliance**

The solution shall ensure:

- End-to-end data encryption
- Secure authentication mechanisms

Compliance with BIS standards (mandatory) and DoT, WPC ETA (Equipment Type Approval) certificate (mandatory) and preferred global certifications (ISO/CE/FCC/RoHS) The certificate/s shall be of latest but before the deadline for the bid submission.

- Tamper detection and automated alerting
- Secure audit logs for forensic analysis

### **3.2.6 Monitoring, Analytics and KPI Support**

The bidder shall support the Department in establishing a structured monitoring and analytics framework, including:

- Capture of all lock/unlock events with timestamp and location
- Identification of:
  - Unauthorized access
  - Route deviations
  - Tampering incidents
- Generation of reports to support:
  - Incident tracking
  - Performance benchmarking
  - KPI measurement (including reduction in mail theft/tampering/loss)

### **3.2.7 Training and Capacity Building**

- Conduct training sessions for Department personnel at:

- HQ level
  - Circle level
  - Field operational level
- Provide:
  - User manuals
  - Quick reference guides
  - Video tutorials

### **3.2.8 Maintenance and Support**

- Provide comprehensive warranty (minimum 1 year, extendable).
- Ensure:
  - Remote technical support
  - On-site support for critical issues
- Maintain:
  - Device uptime
  - Software availability
- Provide regular firmware updates (OTA where applicable)

### **3.2.9 Service Level Obligations**

The bidder shall ensure:

- Reliable device performance (minimum lifecycle of 50,000 cycles)
- Battery performance monitoring and timely alerts
- System uptime and data availability
- Timely resolution of faults and incidents

### **3.2.10. Deliverables**

The bidder shall deliver:

- Fully functional smart lock devices installed and operational
- Centralized dashboard
- Integration with DoP systems
- Training completion reports
- Documentation (technical, operational, API)

- Periodic performance and incident reports

### **3.2.11. Outcome Expectations**

The deployed solution is expected to:

- Significantly enhance mail security and accountability
- Provide end-to-end visibility of lock operations
- Enable data-driven monitoring and decision-making
- Support achievement of project KPIs, particularly:
  - Reduction in mail theft, tampering, and loss
  - Improved operational transparency

### **3.2.12. Government & Regulatory Significance**

The following compliances are mandatory -

1. BIS Compliance
2. WPC (ETA) Compliance

The following compliances are preferred –

1. ROHS
2. ISO
3. CE

**4.Contract Period:** 9 month from the effective date, along with 3 year warranty (mandatory and to be clearly specified along with technical specifications) on goods supplied. The services may be extended to additional 25% routes on same rate and terms & conditions.

**5.Form of BOQ/ Contract** – Lumpsum.

### **6.Facilities and Utilities to be provided by the Employer to service provider at Site:**

Necessary space at each location (Mail Office managing the MMS vehicles) as is required to setup the charging infra for the smart locks.

### **7.Institutional and organizational arrangement for Services**

The project will be executed under the overall supervision of the DDG (IR & GB), International Relations and Global Business Division, Postal Directorate.

\*\*\*\*

## Schedule VI-1: Services and Activities Schedule

Tender Document No. IR-27/2/2025-IR-DOP

**Tender Title: Deployment of Smart Lock Systems to Strengthen Mail Security and Service Quality**

Details of the Locations where the contractor has to supply the Smart Locks includes

1. All Regional and Divisional Headquarters of India Post in India (for 3200 smart locks (at locations in the list below), list of locations to be supplied with Smart Locks is attached below, quantities at these will be informed in due course.
2. Thimphu, Bhutan (for 4 smart locks and 4 computers)
3. Colombo, Sri Lanka (for some 30 smart locks and 8 computers)

<b>Andhra Pradesh</b>	
<b>Sl No</b>	<b>Name of the Division</b>
1	Anantapur
2	Chittoor
3	Cuddapah
4	Hindupur
5	Kurnool
6	Nandyal
7	Proddatur
8	Tirupati
9	Amalapuram
10	Anakapalli
11	Kakinada
12	Parvathipuram
13	Rajahmundry
14	Srikakulam
15	Visakhapatnam
16	Vizianagaram
17	Bhimavaram
18	Eluru
19	Gudivada
20	Gudur
21	Guntur
22	Machilipatnam
23	Markapur
24	Narasaraopet
25	Nellore
26	Prakasam
27	Tadepalligudem
28	Tenali
29	Vijayawada

<b>Assam Circle</b>	
Sl No.	Name of Division
1	Guwahati Postal Division
2	Nalbari-Barpeta Division
3	Goalpara Postal Division
4	Darrang Postal Division
5	Tinsukia Postal Division
6	Dibrugarh Postal Division
7	Sivasagar Postal Division
8	Nagaon Postal Division
9	Cachar Postal Division

<b>Bihar Circle</b>	
Sl. No.	Name of Division
1	Patna GPO
2	Aurangabad
3	Bhojpur
4	Gaya
5	Patna
6	Patna Sahib
7	Rohtas
8	Vaishali
9	Nalanda
10	Nawada
11	Begusarai
12	Bhagalpur
13	Katihar
14	Munger
15	Purnea
16	Saharsa
17	Darbhanga
18	East Champaran
19	Madhubani
20	Muzaffarpur
21	Samastipur
22	Saran
23	Sitamarhi
24	Siwan
25	West Champaran

<b>Chhattisgarh Circle</b>
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<b>S.No.</b>	<b>Name of Division</b>
1	Bastar
2	Bilaspur
3	Durg
4	Raigarh
5	Raipur
6	Surguja
7	Rajnandgaon
8	Kanker

<b>Delhi Circle</b>	
<b>S.No</b>	<b>Name of the Division</b>
1	New Delhi South
2	New Delhi South West Division
3	New Delhi West Division
4	Delhi North
5	New Delhi Central Division
6	Delhi East Division

<b>Gujarat Circle</b>	
<b>SL No</b>	<b>Name of the Division</b>
1	AHMEDABAD GPO
2	AHMEDABAD CITY DIVISION
3	GANDHINAGAR DIVISION
4	PATAN DIVISION
5	MEHSANA DIVISION
6	BANASKANTHA DIVISION
7	SABARKANTHA DIVISION
8	ANAND DIVISION
9	BARDOLI DIVISION
10	BHARUCH DIVISION
11	KHEDA DIVISION
12	NAVSARI DIVISION
13	PANCHMAHAL DIVISION
14	SURAT DIVISION
15	VADODARA EAST DIVISION
16	VADODARA WEST DIVISION
17	VALSAD DIVISION
18	AMRELI DIVISION
19	BHAVNAGAR DIVISION

20	GONDAL DIVISION
21	JAMNAGAR DIVISION
22	JUNAGADH DIVISION
23	KACHCHH DIVISION
24	RAJKOT DIVISION
25	PORBANDAR DIVISION
26	SURENDRANAGR DIVISION

**Haryana Circle**

<b>S.no.</b>	<b>Name of the Division</b>
1	Ambala
2	Bhiwani
3	Faridabad
4	Gurgaon
5	Hisar
6	Karnal
7	Kurukshetra
8	Rohtak
9	Sonipat

**Himachal Pradesh Circle**

<b>S. No.</b>	<b>Name of the Division</b>
1	Chamba
2	Dehra
3	Dharamsala
4	Hamirpur
5	Mandi
6	Rampur
7	Shimla
8	Solan
9	Una

**Jammu & Kashmir Circle**

<b>S.no</b>	<b>Name of Divison</b>
1	Jammu
2	Srinagar
3	Udhampur
4	Baramulla
5	Rajouri
6	leh
7	RMS

<b>Jharkhand Circle</b>	
<b>Sl.No.</b>	<b>Name of the Division</b>
1	Ranchi
2	Dhanbad
3	Singhbhum
4	S.P. Dn, Dumka
5	Hazaribagh
6	Giridih
7	Palamau
8	Gumla
9	B. Deoghar

<b>Karnataka Circle</b>	
<u>Sl.No</u>	<b>Name of the Division</b>
1	Bagalkot
2	Ballari
3	Belagavi
4	Bidar
5	Chikodi
6	Dharwad
7	Gadag
8	Gokak
9	Haveri
10	Kalaburagi
11	Karwar
12	Raichur
13	Sirsi
14	Vijayapura
15	Yadgiri
16	Koppal
17	BG West Division
18	BG East Division
19	BG South Division
20	Channapatna Division
21	Chikkamagaluru
22	Chitradurga
23	Davanagere
24	Hassan
25	Kodagu
26	Kolar
27	Mandya
28	Mangaluru
29	Mysuru

30	Nanjangud
31	Puttur
32	Shivamogga
33	Tumakuru
34	Udupi

### **Kerala Circle**

**TABLE-1**

<b>SNo</b>	<b>Name of the Division</b>
1	Thiruvananthapuram North
2	Thiruvananthapuram South
3	Kollam
4	Pathanamthitta
5	Tiruvalla
6	Mavelikkara
7	Changanacherry
8	Idukki
9	Alapuzha
10	Kottayam
11	Lakshadhweep
12	Ernakulam
13	Aluva
14	Irinjalakuda
15	Thrissur
16	Palakkad
17	Ottapalam
18	Tirur
19	Manjeri
20	Calicut
21	Vadakara
22	Thalassery
23	Kasaragode
24	Kannur

### **Madhya Pradesh**

<b>S No.</b>	<b>Name of the Divisions</b>
1	Morena
2	Gwalior
3	Guna
4	Narmadapuram
5	Vidisha
6	Bhopal
7	Indore Mfl
8	Indore City
9	Khandwa

10	Mandsaur
11	Ratlam
12	Sehore
13	Ujjain
14	Balaghat
15	Chhatarpur
16	Chhindwara
17	Jabalpur
18	Mandla
19	Rewa
20	Sagar
21	Satna
22	Shivpuri
23	Shahdol

<b>Maharashtra Circle</b>	
<b>Sr. No.</b>	<b>Name of the Division</b>
1	Thane
2	Navi Mumbai
3	Nashik
4	Malegaon
5	Raigad
6	Palghar
7	Akola
8	Amravati
9	Buldana
10	Gadchiroli
11	Chandrapur
12	Nagpur City
13	Nagpur Mfl
14	Wardha
15	Yavatmal
16	Sindhudurg
17	Chiplun Postal Division
18	Ratnagiri
19	Sangli
20	Goa
21	Kolhapur
22	Mumbai GPO
23	Mumbai East Division
24	Mumbai West Division
25	Mumbai North Division
26	Mumbai South Division
27	Mumbai North East Division
28	Mumbai North West Division
29	Chh Sambhajinagar Dn

30	Beed
31	Bhusawal
32	Dhule Division
33	Jalgaon
34	Nanded
35	Latur Dn
36	Dharashiv
37	Parbhani
38	Pune City East Dn
39	Pune City West Dn
40	Pune MFL Dn
41	Satara Dn
42	Solapur Dn
43	Shrirampur Dn
44	Ahilyanagar Dn
45	Pandharpur Dn
46	Baramati Dn
47	Karad Dn

<b>North East Postal Circle</b>	
<b>S. No.</b>	<b>Name of the Division</b>
1	Agartala Division
2	Arunachal Pradesh Division
3	Dharmanagar Division
4	Manipur Division
5	Meghalaya Division
6	Mizoram Division
7	Nagaland Division

<b>Odisha Circle</b>	
<b>S. No.</b>	<b>Name of the Division</b>
1	Bhubaneswar
2	Puri
3	Cuttack City
4	Berhampur
5	Koraput
6	Sundargarh
7	Cuttack South
8	Kendrapara
9	Jajpur
10	Bhadrak
11	Balasore
12	Mayrubjanj
13	Nayagarh

14	Rairangpur
15	Aska
16	Rayagada
17	Kalahandi
18	Phulbani
19	Bolangir
20	Dhenkanal
21	Sambalpur
22	Rourkela
23	Keonjhar

<b>Punjab Circle</b>	
S.No.	Name of the Division
1	Chandigarh
2	Patiala
3	Ludhiana City
4	Ludhiana (M)
5	Sangrur
6	Amritsar
7	Bathinda
8	Faridkot
9	Ferozepur
10	Gurdaspur
11	Hoshiarpur
12	Jalandhar
13	Kapurthala

<b>Rajasthan Circle</b>	
S.No.	Name of Division
1	Barmer
2	Bikaner
3	Churu
4	Jaisalmer
5	Jodhpur
6	Nagaur
7	Pali
8	Sirohi
9	Sriganganagar
10	Ajmer
11	Beawar
12	Bhilwara
13	Chittorgarh
14	Dungarpur
15	Jhalawar

16	Kota
17	Tonk
18	Udaipur
19	Alwar
20	Bharatpur
21	Dholpur
22	Jaipur City
23	Jaipur Mfl
24	Jhunjhunu
25	Sikar
26	Rajsamand Dn
27	Sawaimadhopur

<b>Tamil Nadu Circle</b>	
<b>S.No</b>	<b>Name of the Division</b>
1	chennai GPO
2	Anna Road HPO
3	Chennai City Central
4	Chennai City North
5	Chennai City South
6	Tambaram Division
7	Chengalpat Division
8	Vellore Division
9	Arakonam Division
10	Pondicherry Division
11	Kanchipuram Division
12	Tiruvannamalai Division
13	Cuddalore
14	Karur
15	Kumbakonam
16	Mayiladuthurai
17	Nagapattinam
18	Pattukottai
19	Pudukkottai
20	Srirangam
21	Thanjavur
22	Tiruchirappalli
23	vridhachalam
24	Dindigul
25	Kanniyakumari
26	Karaikudi
27	Kovilpatti
28	Madurai
29	Ramanathapuram
30	Sivaganga
31	Theni
32	Tirunelveli

33	Tuticorin
34	Virudhunagar
35	Coimbatore Division
36	Dharmapuri Division
37	Erode Division
38	Krishnagiri Division
39	Namakkal Division
40	Nilgiris Division
41	Pollachi Division
42	Salem East Division
43	Salem West Division
44	Tirupattur Division
45	Tirupur Division

**Telangana Circle**

<b>Sl.No.</b>	<b>Name of the Division</b>
1	Hyderabad GPO
2	Secunderabad
3	Hyderabad City
4	Sangareddy
5	Hyderabad South East
6	Medak
7	Adilabad
8	Hanamkonda
9	Karimnagar
10	Khammam
11	Mahabubnagar
12	Nalgonda
13	Nizamabad
14	Peddapalli
15	Suryapet
16	Wanaparthy
17	Warangal

**U P Circle**

<b>Sl. No.</b>	<b>Name of Division</b>
1	Agra
2	Aligarh
3	Mathura
4	Jhansi
5	Etah
6	Etawah
7	Mainpuri
8	Bulandsahar
9	Prayagraj
10	Pratapgarh

11	Mirzapur
12	Sultanpur
13	Bijnor Division
14	Saharanpur Division
15	Budaun Division
16	Kheri Division
17	Baghpat Division
18	Meerut Division
19	Hardoi Division
20	Moradabad Division
21	Bareilly Division
22	Muzaffarnagar Division
23	Shahjahanpur Division
24	Gorakhpur
25	Azamgarh
26	Basti
27	Bahraich
28	Deoria
29	Gonda
30	Kanpur City Division
31	Kanpur Mufossil Division
32	Banda Division
33	Fatehgarh Division
34	Fatehpur Division
35	Lucknow
36	Ayodhya
37	Barabanki
38	Raebareli
39	Sitapur
40	Varanasi East
41	Varanasi West
42	Ghazipur
43	Jaunpur
44	Ballia
45	Ghaziabad
46	Gautam Buddha Nagar

### **Consolidated report Postal Divisions**

<b>Sl.N.</b>	<b>Name of Division</b>
1	Almora
2	Chamoli
3	Dehradun
4	Nainital
5	Pauri
6	Pithoragarh
7	Tehri

<b>West Bengal</b>	
Sl. No	Name of the Division
1	Kolkata GPO
2	East Kolkata
3	North Kolkata
4	Central Kolkata
5	South Kolkata
6	Barrackpore
7	Barasat
8	Baruipur
9	Nadia North
10	Nadia South
11	Murshidabad
12	Birbhum
13	Asansol
14	Bankura
15	Burdwan
16	Contai
17	Howrah
18	Midnapore
19	North Hooghly
20	South Hooghly
21	Purulia
22	Tamluk
23	Darjeeling
24	Malda
25	Jalpaiguri
26	Coochbehar
27	Uttar Dinajpur
28	Dakshin Dinajpur

## Section VII: Performance Standards and Quality Assurance

**Tender Document No.** IR-27/2/2025-IR-DOP

**Tender Title: Deployment of Smart Lock Systems to Strengthen Mail Security and Service Quality**

(Ref ITB-clause 9.2)

1) **BIS and WPC Compliance:** Goods supplied are to be compliant with the BIS and WPC standards as mandated for the category of goods supplied.

**2) Performance standards/ Service Levels; functional/ technical specifications**

a) *All identified locations as identified by the Department under this tender and to be identified in future and communicated to the Contractor.*

b) *Minimum Specification of the Smart Locks and Laptops/Computers to be supplied by the Contractor –*

Category	Specification
Lock Type	Electronic / Smart Lock (capable of keypad, RFID, Mechanical & mobile-app based access)
Access Methods	Minimum 2 access modes: PIN / RFID / Mobile App / Mechanical Key
Connectivity	Following connectivity options: Bluetooth + Wi-Fi + GSM-4G / NB-IoT   4G/5G + fallback to 2G/3G, with GPS and Bluetooth support.
Power Supply	Battery-operated (/Lithium); emergency backup (USB acceptable)
Battery Life	Minimum 6 months (preferably 12 months) under normal usage   If Rechargeable minimum 5-7 days. Low-battery alert integrated with dashboard.
Emergency Access	Mechanical override key OR remote admin unlock
User Management	Support minimum 50 users per lock; configurable access levels
Memory	Sufficient onboard storage to cache lock/unlock history in case of network outage
Audit Trail	Record at least last 100 events; viewable via app/cloud/local storage, stored in device memory
Security Features	Encryption, auto-lock, tamper alert, low-battery alert
Durability	Minimum 50,000 lock/unlock cycles
Operating Conditions	Temp: 0°C to +75°C (recommended -10°C to +75°C); Humidity: up to 85% RH , Waterproof - IP rating 67
Compliance	BIS & WPC(ETA) mandatory: CE/FCC/RoHS preferred
Warranty	Minimum 3 years
Form Factor	Suitable for lockers, parcel boxes, wooden/metal doors
Future-Ready Features	Biometric access, OTA firmware updates, cloud dashboard, APIs for IT2.0 (India Post)/WMS/TMS

Support	Remote assistance through Support engineers, On-site support for critical support
Software	<ul style="list-style-type: none"> <li>• Mobile App (future scope but devices supplied have to be compliant) and Web App with Role-Based Access Control (RBAC)</li> <li>• Dashboard with: <ul style="list-style-type: none"> <li>○ Live tracking of locks</li> <li>○ Alerts/notifications (tampering, unauthorized access, low battery)</li> <li>○ Device details (ID, location, usage logs)</li> <li>○ Status monitoring (locked/unlocked, active/inactive)</li> <li>○ Battery percentage (for rechargeable devices)</li> <li>○ Lock &amp; Unlock control with RBAC</li> </ul> </li> </ul>

**Laptop/ Computer:**

<b>Specifications</b>
<i>Laptop -cum- tablet , SnapdragonX elite (12 core) processor, 16 GB RAM and 512 GB Storage, With Pen and Keyboard.</i> OR <i>Equivalent/Higher Specs</i>

**Note for Bidders:** *Regarding this Schedule and its sub-schedules, Bidders shall submit the following forms, as relevant for the form of BOQ/ Contract, or if asked:*

1. *Form 3: Performance Standards and Quality Assurance - Compliance*
2. *Form 3.1: Method Statement*
3. *Form 3.2: Work-Plan*

## **Schedule VII-1: Method Statement**

**Tender Document No.** IR-27/2/2025-IR-DOP

**Tender Title: Deployment of Smart Lock Systems to Strengthen Mail Security and Service Quality**

(Ref ITB-clause 9.2)

### **Method Statement**

- a) Contractor to ensure deployment of necessary manpower and devices at each of the locations to ensure smooth operations.
- b) Contractor to ensure receipt of all the mandatory approval for operations of the Smart Locks from Central Ministries/Department, State Government and local authorities.
- c) Contractor to ensure imparting of necessary training to the DoP staff for successful operations.
- d) Contractor to show entire programming and sequencing of all main activities and sub-activities in the **Form 3.1: Method Statement**

### **Tentative Sequence of Operations** **(vendor to present via flowchart)**

## Section VIII: Qualification Criteria

**Tender Document No.** IR-27/2/2025-IR-DOP

**Tender Title: Deployment of Smart Lock Systems to Strengthen Mail Security and Service Quality**

(Ref ITB-clause 9.2)

**Note for Bidders:** Regarding this Schedule, Bidders shall submit the following forms, considering necessary for the stipulated form of BOQ/ Contract.

- 1) Form 4: Qualification Criteria - Compliance
- 2) Form 4.1: Performance Statement
- 3) Form 4.2: Non-performance, Litigation Statement
- 4) Form 4.3: Financial Capability Statements
- 5) Form 4.4: Contract Manager Curriculum Vitae (CV)

Relevant Date when the specified period ends for different supporting reports shall be:

- 1) For all annual reports, periods mentioned are ending with the financial year *31<sup>st</sup> March, 2025*
- 2) For other statements, periods mentioned ending on the month of March prior to the Bid opening.

<b>Criteria 1- Experience and Past Performance</b>	<b>Please submit evidence in</b>
<p>a) Vendor/contractor must have at least 3 years' experience in the field of wireless and IoT devices deployment in logistics and supply chain space. (ending on the month of March prior to the Bid opening)</p> <p>b) <b>Similar Experience:</b> Completed or substantially (at least 80% payments received) completed similar works (wireless and IoT devices deployment in logistics and supply chain space) over the last (7) seven financial years previous to the current financial year, should be either of the following:</p> <ol style="list-style-type: none"> <li>i. Three similar completed works each costing not less than the amount equal to <u>40 % of Estimated Annualised Cost</u> i.e. Rs. 14.80 Crore; or</li> <li>ii. Two similar completed works each costing not less than the amount equal to <u>50% of Estimated Annualised Cost</u> i.e. Rs. 14.80 Crore; or</li> <li>iii. One similar completed work costing not less than the amount equal to <u>80% of Estimated Annualised Cost</u> i.e. Rs. 14.80 Crore ;</li> </ol>	<p>Form 4.1: Performance Statement</p>
<p><b>Relaxation for MSEs and Startups in criteria related to experience</b></p> <ol style="list-style-type: none"> <li>i. Three similar completed works each costing not less than the amount equal to 25% of Rs. 50 lakh; or</li> <li>ii. Two similar completed works each costing not less than the amount equal to 50% of Rs. 50 lakh; or</li> </ol>	

<p>iii. One similar completed work costing not less than the amount equal to 75% of Rs. 50 lakh;</p>	
<p>Similar works means wireless and IoT devices deployment in logistics and supply chain space.</p>	
<p>Note: Work experience certificate from the Central Government Ministries, State Government, public sector or from public listed company/private company/Trusts having annual turnover of Rs 500 crore and above subject to the same being issued on their letterhead by relevant competent authority. Certificate from the private individual shall not be accepted. Contract Award letter from the above mentioned authorities shall also be acceptable.</p>	
<p><b>Criteria 2 – Financial Capability</b></p>	
<p>Note: Bidder shall furnish documentary evidence to demonstrate his current Financial Capability and demonstrate it as per the following sub-criteria.</p>	<p>Form 4.3: Financial Capability Statement</p>
<p><b>Turnover:</b> Minimum average annual turnover of at least 45 Crore with at least 50% of which should be from wireless and IoT devices related service Contracts, calculated as total certified payment received for contracts in progress or completed, within the last 3 years.</p>	
<p><b>Relaxation for MSEs and Startups in criterial related to Turnover</b></p> <p><b>Turnover:</b> Minimum average annual turnover of at least 50 lakh with at least 50% of which should be from wireless and IoT devices related service Contracts, calculated as total certified payment received for contracts in progress or completed, within the last 3 years.</p>	

Note to Bidder:

- 1) The audited balance sheet certified by a chartered accountant with stamp, signature and UDIN number shall be submitted by Bidder along with the bid. Failure to submit the documents as evidence of financial capacity may result in the rejection of the bid. The statement must be counter- signed by an authorized representative of the Bidder.
- 2) Sub-contractors' experience and resources shall not be considered in determining the Bidder's compliance with the qualifying criteria unless otherwise stated in the Qualification Requirements above.

## **BIDDING FORMS**

## Form 1: Bid Form (Covering Letter)

(Ref ITB-clause 9.2)

(To be submitted as part of Technical bid, along with supporting documents, if any)  
(on Bidder's Letter-head)

(Strike out alternative phrases not relevant to you)

Bidder's Name \_\_\_\_\_  
[Address and Contact Details]

Bidder's Reference No. \_\_\_\_\_ Date.....

To

The President of India, through  
Head of Procurement  
Procuring Organisation

[Complete address of the Procuring Entity]

Ref: Your Tender Document No. Tend No./ xxxx;

Sir/ Madam

Having examined the abovementioned Tender Document, we, the undersigned, hereby submit/upload our Techno-commercial and Financial bid (Price Schedule) for the performance of Services and incidental Goods/ Works in conformity with the said Tender Documents.

(Please tick appropriate boxes or strike out sentences/ phrases not applicable to you)

### 1) Our Credentials:

a) We are submitting this bid -

- on our behalf, and there are no agents/ dealers involved in this tender, and hence no agency agreement or payments/ commissions/ gratuity is involved. Our company law and taxation regulatory requirements and authorization for signatories and related documents are submitted in Form 1.1 (Bidder Information).

Or

- as agents/ associates of our foreign principals. Our foreign principal's law and taxation regulatory requirements and authorization for signatories and related documents are submitted in Form1.3 (Declaration by Agents/ Associates of Foreign Principals).

b) We..... hereby certify that  We/  Our Principals M/s ..... are a firm of proven, established, and reputed Service Provider having Experience, past performance, Personnel, Machinery and Financial capability, with offices at -----.

### 2) Our Eligibility and Qualifications to participate

We comply with all the eligibility criteria stipulated in this Tender Document, and the relevant declarations are made along with documents in Form 1.2 of this bid-form. We fully meet the qualification criteria stipulated in this Tender Document, and the relevant details are submitted along with documents in Form 4: 'Qualification Criteria – Compliance'. We authorise the Procuring Entity to contact our Banker to seek references and clarifications.

### **3) Our Bid to deliver Service**

We offer to deliver the subject Services of requisite Performance Standards and within Delivery Schedules in conformity with the Tender Document. The relevant details are submitted in Form 2: 'Schedule of Requirements - Compliance and Form3: 'Performance Standards and Quality Assurance - Compliance' (and its sub-forms).

### **4) Price:**

We hereby offer to perform the Services at our lowest prices and rates mentioned in the separately uploaded Price-Schedule. It is hereby confirmed that the prices quoted therein by us are:

- (a) based on terms of delivery and delivery schedule confirmed by us; and
- (b) Cost break-up of the quoted cost, showing inter-alia costs (including taxes and duties thereon) of all the included incidental Goods/ Works considered necessary to make the proposal self-contained and complete, has been indicated therein, and
- (c) based on the terms and mode of payment as stipulated in the Tender Document. We have understood that if we quote any deviation to terms and mode of payment, our bid is liable to be rejected as non-responsive, and
- (d) have been arrived at independently, without restricting competition, any consultation, communication, or agreement with any other bidder or competitor relating to:
  - i) those prices; or
  - ii) the intention to submit an offer; or
  - iii) the methods or factors used to calculate the prices offered.
- (e) have neither been nor shall be knowingly disclosed by us, directly or indirectly, to any other bidder or competitor before bid opening or contract award unless otherwise required by law.

### **5) Affirmation to terms and conditions of the Tender Document:**

We have understood the complete terms and conditions of the Tender Document. We accept and comply with these terms and conditions without reservations, although we are not signing and submitting some of the sections of the Tender Document. Deviations, if any, are submitted by us in Form 5: 'Terms and Conditions - Compliance'. We also explicitly confirm acceptance of the Arbitration Agreement as given in the Tender Document.

### **6) Bid Securing Declaration**

We have submitted the Bid Security/Bid Securing Declaration, (BSD, in lieu of Bid Security) in stipulated format vide Form 7/Form 7A: 'Documents Relating to bid security'.

### **7) Abiding by the Bid Validity**

We agree to keep our bid valid for acceptance for a period 120 days, as required in the Tender Document, or for a subsequently extended period, if any, agreed to by us, and are aware of penalties in this regard stipulated in the Tender Document in case we fail to do so.

### **8) Non-tempering of Downloaded Tender Document and Upload Scanned Copies**

We confirm that we have not changed/ edited the contents of the downloaded Tender Document. We realise that any such change noticed at any stage, including after the contract award, shall be liable

to punitive action in this regard stipulated in the Tender Document. We also confirm that scanned copies of documents/ affidavits/ undertakings uploaded along with our Technical bid are valid, true, and correct to the best of our knowledge and belief. If any dispute arises related to the validity and truthfulness of such documents/ affidavits/ undertakings, we shall be responsible for the same. Upon accepting our Financial bid, we undertake to submit for scrutiny, on- demand by the Procuring Entity, originals, and self-certified copies of all such certificates, documents, affidavits/ undertakings.

**9) A Biding Contract:**

We further confirm that, if our bid is accepted, all such terms and conditions shall continue to be acceptable and applicable to the resultant contract, even though some of these documents may not be included in the contract Documents submitted by us. We do hereby undertake that, until a formal Contract is signed or issued, this bid, together with your written Letter of Award, shall constitute a binding contract between us.

**10) Performance Guarantee and Signing the contract**

We further confirm that, if our bid is accepted, we shall provide you with performance security of the required amount stipulated in the Tender Document for the due performance of the contract. We are fully aware that in the event of our failure to deposit the required security amount and/ or failure to execute the agreement, the Procuring Entity has the right to avail any or all punitive actions laid down in this regard, stipulated in the Tender Document.

**11) Signatories:**

We confirm that we are duly authorized to submit this bid and make commitments on behalf of the Bidder. Supporting documents are submitted in Form 1.1 annexed herewith. We acknowledge that our digital/digitized signature is valid and legally binding.

**12) Rights of the Procuring Entity to Reject bid(s)**

We further understand that you are not bound to accept the lowest or any bid you may receive against your above-referred Tender Document.

.....  
(Signature with date)

.....  
(Name and designation)

Duly authorized to sign bid for and on behalf of [name  
& address of Bidder and seal of company]

## Form 1.1: Bidder Information

(Ref ITB-clause 9.2)  
(To be submitted as part of Technical bid)  
(on Company Letter-head)  
(Along with supporting documents, if any)

Bidder's Name \_\_\_\_\_  
[Address and Contact Details]

Bidder's Reference No. \_\_\_\_\_ Date.....

Tender Document No. Tend No./ xxxx;

*Note: Bidder shall fill in this Form following the instructions indicated below. No alterations to its format shall be permitted, and no substitutions shall be accepted. Bidder shall enclose certified copies of the documentary proof/ evidence to substantiate the corresponding statement wherever necessary and applicable. Bidder's wrong or misleading information shall be treated as a violation of the Code of Integrity. Such Bids shall be liable to be rejected as nonresponsive, in addition to other punitive actions provided for such misdemeanours in the Tender Document.*

(Please tick appropriate boxes or strike out sentences/ phrases not applicable to you)

### 1) Bidder/ Contractor particulars:

- a) Name of the Company:.....
- b) Corporate Identity No. (CIN): .....
- c) Registration, if any, with The Procuring Entity: .....
- d) GeM Supplier ID (if registered with GeM).....
- e) Place of Registration/ Principal place of business .....
- f) Complete Postal Address: .....
- g) Pin code/ ZIP code: .....
- h) Telephone nos. (with country/ area codes): .....
- i) Mobile Nos.: (with country/ area codes): .....
- j) Contact persons/ Designation: .....
- k) Email I D s : .....

*Submit documents to demonstrate eligibility as per NIT-clause 3 and ITB-clause 3.2 - A self-certified copy of registration certificate – in case of a partnership firm – Deed of Partnership; in case of Company – self certified copy of its Registration; and in case of Society – its Byelaws and registration certificate of the firm.*

### 2) Taxation Registrations:

- a. PAN number: .....
- b. Type of GST Registration as per the Act (Normal Taxpayer, Composition, Casual Taxable Person, SEZ, etc.): .....
- c. GSTIN number: .....
- d. Registered/ Certified Offices from where the Services would be supported and Place of Service Site for GST Purpose: .....
- e. Contact Names, Nos. & email IDs for GST matters (Please mention primary and secondary contacts): .....

*Documents to be submitted: Self-attested Copies of PAN card and GSTIN Registration.*

### 3) Trade Registrations and Licence

We have the following registrations/ licences required for the performance of this Service (tick as applicable). Authenticated copies of these are enclosed herewith:

- MSME
- Startup-India (issued by DPIIT)
- Any other required -----.

### 4) Authorization of Person(s) signing the bid on behalf of the Bidder

- a) Full Name: \_\_\_\_\_
- b) Designation: \_\_\_\_\_
- c) Signing as:
  - A sole proprietorship firm. The person signing the bid is the sole proprietor/ constituted attorney of the sole proprietor,
  - A partnership firm. The person signing the bid is duly authorised being a partner to do so, under the partnership agreement or the general power of attorney,
  - A company. The person signing the bid is the constituted attorney by a resolution passed by the Board of Directors or in pursuance of the Authority conferred by Memorandum of Association.
  - A Society. The person signing the bid is the constituted attorney.

*Documents to be submitted: Registration Certificate/Memorandum of Association/ Partnership Agreement/ Power of Attorney/ Board Resolution*

### 5) Bidder's Authorized Representative Information

- a) Name:
- b) Address:
- c) Telephone/ Mobile numbers:
- d) Email Address:

(Signature with date)

.....

(Name and designation)

Duly authorized to sign bid for and on behalf of

[name & address of Bidder and seal of company]

DA: As above

## Form 1.2: Eligibility Declaration.

(Ref ITB-clause 9.2)  
(To be submitted as part of Technical bid)  
(On Company Letter-head)  
(Along with supporting documents, if any)  
Tender Document No. Tend No./ xxxx;

Bidder's Name \_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No. \_\_\_\_\_ Date.....

*Note: The list below is indicative only. You may attach more documents as required to confirm your eligibility criteria.]*

### Eligibility Declaration

*(Please tick appropriate boxes or cross out any declaration not applicable to the bidder)*

We hereby confirm that we comply with all the stipulations of NIT-clause 3 and ITB- clause 3.2 and declare as under and shall provide evidence of our continued eligibility to the Procuring Entity as may be requested:

1. Legal Entity of Bidder \_\_\_\_\_
2. Bidder/ Agent Status \_\_\_\_\_
3. We solemnly declare that we (including our affiliates or subsidiaries or constituents):
  - (a) are not insolvent, in receivership, bankrupt or being wound up, not have our affairs administered by a court or a judicial officer, not have our business activities suspended and are not the subject of legal proceedings for any of these reasons;
  - (b) (including our Contractors/ subcontractors for any part of the contract):
    - i. Do not stand declared ineligible/ blacklisted/ banned/ debarred by the Procuring Organisation or its Ministry/ Department from participation in its Tender Processes; and/ or
    - ii. Are not convicted (within three years preceding the last date of bid submission) or stand declared ineligible/ suspended/ blacklisted/ banned/ debarred by appropriate agencies of Government of India from participation in Tender Processes of all of its entities, for offences mentioned in Tender Document in this regard. We have neither changed our name nor created a new "Allied Firm", consequent to the above disqualifications.
  - (c) Do not have any association (as bidder/ partner/ Director/ employee in any capacity) with such retired public official or near relations of such officials of Procuring Entity, as counter-indicated, in the Tender Document.
  - (d) We certify that we fulfil any other additional eligibility condition if prescribed in Tender Document.
  - (e) We have no conflict of interest, which substantially affects fair competition. The prices quoted are competitive and without adopting any unfair/ unethical/ anti-competitive means. No attempt has been made or shall be made by us to induce any other bidder to submit or not to submit an offer to restrict competition.

4. Restrictions on procurement from bidders from a country or countries, or a class of countries under Rule 144 (xi) of the General Financial Rules 2017:

*“We have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India and on sub-contracting to contractors from such countries, and solemnly certify that we fulfil all requirements in this regard and are eligible to be considered. We certify that:*

- a) *we are not from such a country or, if from such a country, we are registered with the Competent Authority (copy enclosed). and;*
- b) *we shall not subcontract any work to a contractor from such countries unless such contractor is registered with the Competent Authority.*

**5. MSME Status**

Having read and understood the Public Procurement Policy for Micro and Small Enterprises (MSEs) Order, 2012 (as amended and revised till date), and solemnly declare the following:

- a) We are - Micro/ Small/ Medium Enterprise/ SSI/ Govt. Deptt. / PSU/ Others:.....
- b) We attach herewith, Udhyam Registration Certificate with the Udhyam Registration Number as proof of our being MSE registered on the Udhyam Registration Portal. The certificate is the latest up to the deadline for submission of the bid.
- c) Whether Proprietor/ Partner belongs to SC/ ST or Women category. (Please specify names and percentage of shares held by SC/ ST Partners):.....

**6. Start-up Status**

we confirm that we are/ are not a Start-up entity as per the definition of the Department of Promotion of Industrial and Internal Trade – DPIIT. (Certificate attached)

**7. Make in India Status:**

Having read and understood the Public Procurement (Preference to Make in India PPP - MII) Order, 2017 (as amended and revised till date) and related notifications from the relevant Nodal Ministry/ Department, and solemnly declare the following:

**a) Self-Certification for the category of suppliers:**

(Provide a certificate from statutory auditors/ cost accountant for Class-I or Class-II Local Suppliers). Details of local content and location(s) at which value addition is made are as follows:

Local Content and %age	
Location(s) of value addition	

Therefore, we certify that we qualify for the following category of the supplier (tick the appropriate category):

- Class-I Local Supplier/
- Class-II Local Supplier/
- Non-Local Supplier.

**b) We also declare that.**

- There is no country whose bidders have been notified as ineligible on a reciprocal basis under this order for the offered Services, or
- We do not belong to any Country whose bidders are notified as ineligible on a reciprocal basis under this order for the offered Services.

**8. Self-Declaration by Indian Agent of Foreign Principals**

- a. Self-attested documentary evidence about their identity (PAN, Aadhar Card, GSTIN

registration, proof of address etc.), business details (ownership pattern and documents, type of firm, year of establishment, sister concerns etc.) to establish that they are a bonafide business as per Indian Laws – are submitted as part of Form 1.3 annexed herewith.

- b. Agency Agreement shall be submitted with Form 1.3. It shall cover
  - i) the precise relationship, services to be rendered, mutual interests in business - generally and/ or specifically for the tender and
  - ii) any payment the agent or associate receives in India or abroad from the foreign principal, whether a commission or a general retainer fee.
- c. Our Foreign principals, explicitly authorizing us to make an offer in response to the tender, either directly or in association with them, are listed in Form 1.3 annexed herewith. That also indicates their name, address, nationality, status (i.e., whether manufacturer or agents of manufacturer holding the Letter of Authority of the Principal).
- d. The amount of commission/ remuneration included in the price (s) quoted by Bidder for agents or associated bidder is detailed in Form 1.3.
- e. Confirmation is given in Form 1.3 herewith from the foreign principals that the commission/ remuneration, reserved for Bidder in the quoted price(s), if any, shall be paid by the Procuring Entity in India, in equivalent Indian Rupees on satisfactory completion of the Project or delivery of Services.

**9. Penalties for false or misleading declaration:**

We hereby confirm that the particulars given above are factually correct and nothing is concealed and undertake to advise any future changes to the above details. We understand that any wrong or misleading self-declaration would violate the Code of Integrity and attract penalties as mentioned in this Tender Document.

.....

(Signature with date)

.....

(Name and designation)

Duly authorized to sign bid for and on behalf of

.....

.....

[name & address of Bidder and seal of company]

DA: As above, as applicable

## Form 1.3: Declaration by Agents/ Associates of Foreign Principals

(Required only for Agents/ Associates of Foreign Principals)

(Ref ITB-clause 3.5)

(On Company Letter Head)

(Along with supporting documents, if any)

(To be submitted as part of Technical bid)

Agent's Name \_\_\_\_\_

[Address and Contact Details]

Principal's Reference No. \_\_\_\_\_ Date.....

The President of India, through

Head of Procurement

Procuring Organisation

[Complete address of the Procuring Entity]

Dear Sirs,

Ref. Your Tender Document No. Tend No./ xxxx; Tender Title: Non-consultancy Services

- 1) We, \_\_\_\_\_, are a bonafide business as per Indian Laws. We have been retained as agent/ associates by our foreign Principals, Messrs. \_\_\_\_\_ (name and address of the principal) to associate with them for participation in this Tender Process.
- 2) We understand that any failure or non-disclosures, or mis-declarations by us, shall be treated as a violation of the Code of Integrity. Our Bids shall be liable to be rejected as nonresponsive, in addition to other punitive actions by the Procuring Entity as per the Tender Document.
- 3) The required details as per ITB-clause 3.5 are as follows.
  - a) Name of the Agent/ Associate:.....
  - b) Documents regarding ownership pattern: as appropriate – Bye Laws/ Registration Certificate/ Memorandum of Association/ Partnership Agreement/ Power of Attorney/ Board Resolution.
  - c) Year of establishment.....
  - d) Sister Concerns.....
  - e) Corporate Identity No. (CIN): .....
  - f) Aadhar Card of Owner/ CEO/ Partner
  - g) PAN number: .....
  - h) Complete Postal Address: .....
  - i) Pin code/ ZIP code: .....
  - j) Telephone nos. (with country/ area codes): .....
  - k) Mobile Nos.: (with country/ area codes): .....
  - l) Contact persons/ Designation: .....
  - m) Email IDs: .....
  - n) Type of GST Registration (Registered, Unregistered, Composition, SEZ, RCM etc.): .....
  - o) GSTIN number: ..... in Consignor and Consignee States

- p) Registered office from where agency/ association services would be mainly provided to Foreign Principals for GST Purpose: .....
- q) Contact Names, Nos. & email IDs for GST matters (Please mention primary and secondary contacts): .....
- 4) Details required under ITB-clause 3.5 regarding the foreign Principals are given below.
- a) Name of the Company:.....
- b) Nationality/ Country of operation/ incorporation.....
- c) Complete Postal Address: .....
- d) Telephone nos. (with country/ area codes): .....
- e) Fax No.: (with country/ area codes): .....
- f) Mobile Nos.: (with country/ area codes): .....
- g) Contact persons/ Designation: .....
- h) Email IDs: .....
- 5) *Because of price-sensitive information agency/ dealership/ any other agreement with foreign principals shall be submitted as per ITB-clause 3.5, on-demand, after the Financial bid opening. It shall contain details of payments of all commissions, gratuities, or fees concerning the tender process or execution of the contract that we have paid/ received, or shall pay/ receive, as per the following format*

Name of Recipient	Address	Services to be provided	Amount and Currency

- 6) Our principals have authorized us to confirm that the commission/ remuneration, if any, to us under the contract shall be paid in India, in equivalent Indian Rupees, on satisfactory completion of the Project or delivery of Services.
- 7) We enclose herewith as appropriate, our ----- Bye-Laws/ Registration Certificate/ Memorandum of Association/ Partnership Agreement/ Power of Attorney/ Board Resolution

Yours faithfully,

.....  
 .....

[signature with date, name, and designation]

for and on behalf of Messrs.....

[name & address of the Principal Service Provider and seal of company]

DA: As above

**Form 2: Schedule of Requirements – Compliance**  
**Service and Activities schedule**

(Ref ITB-clause 9.2, Schedule VI-1: Services and Activities Schedule)

(To be submitted as part of Technical bid)

(Along with supporting documents, if any)

(on Company Letter-head)

Tender Document No. Tend No./ xxxx;

Tender Title: Non-consultancy Services Bidder's Name \_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No. \_\_\_\_\_ Date.....

	<b>Contract Period Extendable by -&gt;</b>					
<b>Description and Scope of Service;</b>	<b>Units</b>	<b>Local Content (%)</b>	<b>HSN code</b>	<b><sup>6</sup>GST %</b>	<b>Regd. Service Site/ State</b>	<b>Bidder's GSTIN</b>
<b>[Description of Service]</b>						

*Note: Bidders must fill up this Form regarding 'Services and Activities Schedule' in Section VI: 'Schedule of Requirements' maintaining the same numbering and structure as in Schedule VI-1: Services and Activities Schedule. Add additional details not covered elsewhere in your bid in this regard. Include details:*

1. Description/ Scope of Service (indicate what is (and more important – what is not) included in the Services and conditions under which Services are to be performed);
2. Outcomes, Deliverables, reports etc
3. Frequency/ Quantum/ Length & Duration of Activities

<sup>6</sup> Mention total % and breakup into CGST, SGST, IGST, Cess etc.

**Deviation from Schedule of Requirements**

(Ref ITB-clause 9.2, Schedule VI: Schedule of Requirements)

(To be submitted as part of Technical bid)

(on Company Letter-head)

Tender Document No. Tend No./ xxxx;

Bidder's Name \_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No. \_\_\_\_\_ Date.....

Note to Bidders: Highlight in this Form any deviations, if any, from Section VI: Schedule of Requirements, Background and Services and Activities Schedule. For clarity, you may add your Background.

SI. No	Ref of Tender Document Section, Clause		Subject	Confirmation/ Deviation/ Exception/ Reservation	Justification/ Reason
	Section	Clause			

We shall comply with, abide by, and accept without variation, deviation, or reservation all requirements detailed in Section VI: Schedule of Requirements, except those mentioned above. If mentioned elsewhere in our bid, contrary terms and conditions shall not be recognised and shall be null and void.

.....

(Signature with date)

.....

(Name and designation)

Duly authorized to sign bid for and on behalf of

.....

[name & address of Bidder and seal of company]

### Form 3: Performance Standards and Quality Assurance - Compliance

(Ref ITB-clause 9.2, Schedule VII: Performance Standards and Quality Assurance)

(To be submitted as part of Technical bid)

(on Company Letter-head)

Tender Document No. Tend No./ xxxx;

Bidder's Name \_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No. \_\_\_\_\_ Date.....

*Note to Bidders: Also highlight deviations from Section VII: Performance and Quality Assurance requirements maintaining the same numbering and structure. Add additional details not covered elsewhere in your bid in this regard.*

SI. No	Ref of Performance Standards and Quality Assurance Clause		Subject	Confirmation/ Deviation/ Exception/ Reservation	Justification/ Reason
	Section	Clause			

We shall comply with, abide by, and accept without variation, deviation, or reservation all Performance Standards and Quality Assurance requirements in the Tender Document, except those mentioned above. If mentioned elsewhere in our bid, contrary terms and conditions shall not be recognised and shall be null and void.

.....

(Signature with date)

.....

(Name and designation)

Duly authorized to sign bid for and on behalf of

.....

[name & address of Bidder and seal of company]

DA: Relevant documents like technical data, literature, drawings, and other documents

## Form 3.1: Method Statement

(Ref ITB-clause 9.2, Schedule VII-1: Method Statement)

(To be submitted as part of Technical bid)

(on Company Letter-head)

Tender Document No. Tend No./ xxxx;

Bidder's Name\_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No.\_\_\_\_\_ Date.....

### *Note to Bidders:*

- 1) Bidders must detail the method of performing the activity, indicating quantum and type of - personnel, equipment, and materials to be used. Indicate supervision, reports/ reporting procedure, performance parameters/ specification – target and measurements, documentation, quality control records, and any other relevant detail to distinguish your performance from other bidders. Also indicate complaint redressal procedures and response times.
- 2) Bidders must demonstrate a complete understanding of the scope, nature and resources needed to deliver the Services.
- 3) The Method Statement shall, therefore, include, but shall not necessarily be limited to, the following:
  - a) *Description of the Bidder's proposed programming and sequencing of all main activities, identifying those for which timing may be critical.*
  - b) *Description of the measures included in the bid, which shall be implemented to achieve the performance standards and quality of execution required under the contract*
  - c) *If the Tender Document so require a proposed Service Level Agreement shall also be included – in appropriate details/ format*
  - d) *Description of arrangements to ensure compliance with the environmental, social, gender, health and safety requirements called for in the Tender Document, which the Bidder proposes to adopt and has included in the bid*
  - e) *Commentary on the Procurement Entity's Requirements, including the status of the information available and relevant issues for the Works, detailing how the critical requirements shall be achieved.*
  - f) *Method Statement must be supplemented by information in Work Plan. Also include Personnel Deployment Plan; Equipment Deployment Plan and Materials Deployment Plan.*
  - g) *Anything else, as may be appropriate.*

**Form 3.2: Work-Plan**

(Ref ITB-clause 9.2, Schedule VII-2: Work Plan)

(To be submitted as part of Technical bid) (on Company Letter-head)

Tender Document No. Tend No./ xxxx;

Bidder's Name\_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No..... Date.....

*Note to Bidders: Show the timelines and milestones of performance and delivery of activities, bringing out the dependencies and sequencing of activity. Work Plan may be preferably in a Gantt Chart format.*

## Form 4: Qualification Criteria - Compliance

(Ref ITB-clause 9.2, Schedule VIII: Qualification Criteria)

(To be submitted as part of Technical bid)

(Along with supporting documents, if any)

(on Company Letter-head)

Tender Document No. Tend No./ xxxx;

Bidder's Name \_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No. \_\_\_\_\_ Date.....

*Note to Bidder: Furnish stipulated documents in support of the fulfilment of qualifying criteria. The list below is indicative only. You may attach more documents as required. Non-submission or incomplete submission of documents may lead to rejection of the bid as nonresponsive. Also highlight in this form deviations, if any, from Section VIII: Qualification Criteria.*

### Summary of Response to Qualification Criteria

<b>Criteria 1 – Experience and Past Performance</b>	<b>Summary</b>
1) Similar Experience (as per Form 4.1): Number of service contracts (at least [80] percent completed) of similar nature over the last 7 years	
2) Non-performing Contracts and Litigation: (as per Form 4.2) a) Number of non-performance of contracts within the last five (5) years i. Value of all pending litigation as a percentage of the Bidder's net worth. ii. The number of occurrences of failure to sign a contract after receiving a notice of award in the past five (5) years	
<b>Criteria 2 – Performance Capability</b>	
3) <b>Key Personnel:</b> i. Managerial Capability: a Contract Manager with three years' experience in Services of an equivalent nature (Details to be attached in Form 4.4) ii. Capability to deploy Personnel needed to perform the Service to the specified performance standards. (Details to be attached)	Yes/ No
4) <b>Critical Equipment:</b> Capability to supply Smart Locks & other Critical Equipments needed to perform the service to the specified performance standards. (Details to be attached)	Yes/ No
<b>Criteria 3 – Financial Capability: (as per form 4.3)</b>	
<b>Average Turnover:</b> Within last (3) Years	

*Note: Bidders shall provide such evidence of their continued qualification to perform the Services (including any changes in their litigation history) in a manner satisfactory to the*

*Procuring Entity, as the Procuring Entity may reasonably request at any time before the award of contract.*

**8) Documents Attached supporting the compliance to qualification criteria:**

<b>Sr</b>	<b>Document Attached, duly filled, signed, and copies self-attested</b>

.....

(Signature with date)

.....

(Name and designation)

Duly authorized to sign bid for and on behalf of

.....

[name & address of Bidder and seal of company]

**DA: As above, if any**

## Form 4.1: Performance Statement

### Statement of Performance of Services During Last Seven Years and Outstanding Current Orders

(Ref ITB-clause 9.2, Schedule VIII: Qualification Criteria)

(To be submitted as part of Technical bid)

(on Company Letter-head)

Tender Document No. Tend No./ xxxx;

Bidder's Name \_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No. \_\_\_\_\_ Date.....

*Note to Bidder: Bidder must fill in this form to prove conformance to Criteria 1 – Experience and Past Performance in Section VIII – Qualification Criteria. Mention contracts in which Bidder is or has been a party, whether as a Service Provider, affiliate, associate, subsidiary, Subcontractor, or any other role. The list below is indicative only. You may attach more documents as required to showcase your past performance. Add additional details not covered elsewhere in your bid in this regard. Statements and Documents may be mentioned/ attached here.*

Contracting Entity – Name and Address	Contract Title, Number and Date	Role in Contract	The total value of the order	Status as on date ----

.....

(Signature with date)

.....

(Name and designation)

Duly authorized to sign bid for and on behalf of

.....

.....

[name & address of Bidder and seal of company]

DA: Performance records/ contracts

## Form 4.2 Non-performance, Litigation Statement

(Ref ITB-clause 9.2, Schedule VIII: Qualification Criteria)

(To be submitted as part of Technical bid)

(on Company Letter-head)

Tender Document No. Tend No./ xxxx;

Bidder's Name \_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No. \_\_\_\_\_ Date.....

*Note to Bidders: Fill this Form for Bidder and each member of a joint venture or other association that is a party to Bidder to highlight conformance to Criteria 1(b): Non-performing Contracts and Litigation. The list below is indicative only. You may attach more documents as required. Add additional details not covered elsewhere in your bid in this regard.*

<b>Non-Performing Contracts as per Criteria 1 (b) Section VIII, Qualification Criteria</b>			
<input type="checkbox"/> Contract non-performance did not occur during the five years before the deadline for the bid submission as per the above criteria			
or			
<input type="checkbox"/> Contract(s) not performed during the five years before the deadline for the bid submission as per the above criteria are listed below			
Year	Non Performed a portion of the contract	Contract Identification	Total Contract Amount
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract identification: <i>[indicate complete contract title, number, and any other identification]</i>  Name of institution: <i>[insert full name]</i>  Address of institution: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>

**Current and Past Proceedings, Litigation, Arbitration, Actions, Claims, Investigations and Disputes:** the process or outcome of which the Procuring Entity could reasonably interpret may impact or have the potential to impact the financial or operational condition of Bidder in a manner that may adversely affect the Bidder's ability to satisfy any of its obligations under the contract as per Section VIII. Qualification Criteria.

*Note: Bidder and each member of a Joint Venture/Consortium making up a Bidder must complete this table.*

No or  Yes

*If Yes, Describe:*

Year	Matter in Dispute	Contract Identification	Value of Award (Actual or Potential) Against Bidder
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract identification: <i>[indicate complete contract title, number, and any other identification]</i> Name of institution: <i>[insert full name]</i> Address of institution: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>

.....

(Signature with date)

.....

(Name and designation)

Duly authorized to sign bid for and on behalf of

.....

.....

[name & address of Bidder and seal of company]

## Form 4.3 Financial Capability Statements

(Ref ITB-clause 9.2, Schedule VIII: Qualification Criteria)

(To be submitted as part of Technical bid)

(on Company Letter-head)

Tender Document No. Tend No./ xxxx;

Bidder's Name\_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No.\_\_\_\_\_ Date.....

*Note to Bidders: Fill this Form for Bidder and each member of a joint venture or other association that is a party to Bidder to highlight conformance to Criteria 3: Financial Capability. The list below is indicative only. You may attach more documents as required. Add additional details not covered elsewhere in your bid in this regard.*

### Form 4.3.1: Financial Statement and Ratios

*Note: Each Bidder or member of a Joint Venture/Consortium making up a Bidder must fill in this form.*

<b>Financial Data for Previous Three (3) Years</b>			
	<b>Year 1:</b>	<b>Year 2:</b>	<b>Year 3:</b>
<b>Information from Balance Sheet</b>			
<b>Total Assets</b>			
<b>Total Liabilities</b>			
<b>Net Worth</b>			
<b>Current Assets</b>			
<b>Current Liabilities</b>			
<b>Information from Income Statement</b>			
<b>Total Revenues</b>			
<b>Profits Before Taxes</b>			
<b>Profits After Taxes</b>			

- Attached are copies of financial statements (either audited financial statements supported by audit letters or certified financial statements supported by tax returns) as indicated above, complying with the following conditions.
  - All such documents reflect the financial situation of Bidder or a member of a Joint Venture or other Association and not a sister or parent company.
  - A Chartered accountant must audit historical financial statements.
  - Historical financial statements must be complete, including all notes to the financial statements.
  - Historical financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).

**Form 4.3.2: Average Annual Turnover**

(Ref ITB-clause 9.2)

(To be submitted as part of Technical bid)

(on Company Letter-head)

Tender Document No. Tender No./ xxxx;

Bidder's Name \_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No. \_\_\_\_\_ Date.....

*Note: Each Bidder or member of a Joint Venture/Consortium making up a Bidder must fill in these forms.*

<b>Annual Turnover Data for the Last Three (3) Years</b>		
<b>Year</b>	<b>Total Turnover</b>	<b>Turnover from wireless and IoT devices related Operations</b>
<b>Average Annual Turnover</b>		

**Form 4.4: Contract Manager Curriculum Vitae (CV)**

(Ref ITB-clause 9.2)

(To be submitted as part of Technical bid)

(on Company Letter-head)

Tender Document No. Tender No./ xxxx;

Bidder's Name\_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No.\_\_\_\_\_ Date.....

Consultant's Reference No.\_\_\_\_\_ Date.....

Position Title	Contract Manager
Name of Expert:	{Insert full name}
Date of Birth:	{day/month/year}
Country of Citizenship/Residence	

Education and Training: *{List college/university/ Institution or other specialized education/ Training, giving names of institutions, dates attended, degree(s)/diploma(s)/ Certificate(s) obtained. Highlight relevance, if any}*

Employment record relevant to the assignment: *{Starting with present position, list in reverse order. Please provide dates, name of employing organization, titles of positions held, types of activities performed and location of the assignment, and contact information of previous Procuring Entities and employing organization(s) who can be contacted for references. Past employment irrelevant to the assignment does not need to be included.}*

<b>Period</b>	<b>Employing organization and your title/position. Contact information for references</b>	<b>Country</b>	<b>Summary of activities performed and relevance to the Assignment</b>
<i>[e.g., May 2021-present]</i>			

.....

(Signature with date)

.....

(Name and designation)

Duly authorized to sign Proposal for and on behalf of

.....

[name, address, and seal of Consultant]

DA: As above, if any

## Form 5: Terms and Conditions - Compliance

(Ref ITB-clause 9.2)

(To be submitted as part of Technical bid)

(on Company Letter-head)

Tender Document No. Tend No./ xxxx;

Bidder's Name \_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No. \_\_\_\_\_ Date.....

*Note to Bidders: Fill up this Form regarding Terms and Conditions in the Tender Document, maintaining the same numbering and structure. Add additional details not covered elsewhere in your bid in this regard.*

SI.No	Ref of Tender Document Section, Clause		Subject	Confirmation/ Deviation/ Exception/ reservation	Justification/ Reason
	Section	Clause			

We shall comply with, abide by, and accept without variation, deviation, or reservation all terms and conditions of the Tender Document, except those mentioned above. If mentioned elsewhere in our bid, contrary terms and conditions shall not be recognised and shall be null and void.

.....  
(Signature with date)

.....  
(Name and designation)

Duly authorized to sign bid for and on behalf of

.....  
.....

[name & address of Bidder and seal of company]

**DA: If any, at the option of the Bidder**

## Form 6: Checklist for Bidders

(Ref ITB-clause 9.2)

(To be submitted as part of Technical bid)

(on Company Letter-head)

Bidder's Name \_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No. \_\_\_\_\_ Date.....

Tender Document No. Tend No./ xxxx;

*Note to Bidders: This check-list is merely to help the bidders to prepare their bids, it does not over-ride or modify the requirement of the tender. Bidders must do their own due diligence also.*

Sr.	Documents submitted, duly filled, signed	Yes/No/ NA
1.	Form 1.- Bid Form (to serve as covering letter and declarations applicable for both the Techno-commercial and Financial bid)	
2.	Form 1.1: Bidder Information along with Power of attorney and Registration Certificates etc.	
3.	Form 1.2: Eligibility Declarations, along with supporting documents	
3.a	Self-attested copy of Registration certificate for bidders/ subcontractors from restricted neighbouring countries	
3.b	Self-attested copy of MSME registration	
3.c	Self-attested copy of Start-up registration/ status	
3.d	Self-attested copy of the certificate of Local Supplier Status for Make in India policy, from auditors/ cost accountant in case of Tenders above Rs 10 Crore	
4.	If applicable, Form 1.3: Declaration by Agents/ Associates of Foreign Principals	
4.a	Self-attested copy of Registration certificates etc., of the agent/dealer.	
4.b	Self-attested copy of Power of Attorney etc. authorizing signatories on stamp paper to sign Form 1.3 of Agent Dealer	
5.	Form 2: Schedule of Requirements - Compliance	
6.	Form 3: Performance Standards and Quality Assurance - Compliance	
6.a	Form 3.1, and 3.2 to support Form 3	
7.	Form 4: Qualification Criteria - Compliance	
7.a	Form 4.1, 4.2, 4.3, 4.4 to support Form 4	
8.	Form 5: Terms and Conditions - Compliance	
9.	Form 6: This Checklist	
10.	Form 7 or Form 7A: Documents relating to Bid Security	

11.	Form 8: Duly signed Integrity Pact	
12.	Price Schedule (BOQ) Excel Sheet downloaded from the Portal and filled and uploaded	
13.	Any other requirements, if stipulated in TIS/ AITB; or if considered relevant by the Bidder	

.....

(Signature with date)

.....

(Name and designation)

Duly authorized to sign bid for and on behalf of.....

[name & address of Bidder and seal of company]

**Form 7: Bank Guarantee Format for Earnest Money Deposit**

*(The Bank Guarantee shall be on a Stamp Paper of appropriate value in accordance with Stamp Act and shall be purchased in the name of the guarantee issuing Bank or the Party on whose behalf the BG is being issued.)*

(Ref ITB-clause 9.2)

Ref Bank Guarantee No.....

Date.....

To

The President of India, through

Head of Procurement

Procuring Organisation

[Complete address of the Procuring Entity]

Ref: Tender Document No. Tend No./ xxxx;

Whereas M/s.....with its Registered/ Head Office at..... (name and address of the Bidder, hereinafter called "the Bidder", which expression shall, unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns) has undertaken to submit a proposal, in pursuance of Tender no ..... date..... (hereinafter called "the Tender").

And Whereas you (unless repugnant to the context or meaning thereof, including your successors, administrators, executors, and assigns) have stipulated in the said Tender that the Bidder shall furnish you with a bank guarantee by a Commercial bank for the sum specified therein as Earnest Money Deposit for compliance with its obligations as per the Tender;

And Whereas we ..... with our Head Office at..... (name and address of the Bank, hereinafter referred to as the 'Bank', which expression shall, unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns) have agreed to give the Bidder such a bank guarantee.

Now, Therefore, we hereby affirm that we are guarantors and responsible to you, on behalf of the Bidder, up to a total of .....(amount of the guarantee in words and figures), and we undertake to pay you, upon your first written demand declaring the Bidder to be in default under the Tender and without cavil or argument, any sum or sums within the limits of (amount of guarantee) as aforesaid, without your needing to prove or to show grounds or reasons for your demand or the sum specified therein, notwithstanding any difference between you and the Bidder or any dispute pending before any Court, Tribunal,

Arbitrator or any other authority.

We hereby waive the necessity of your demanding the said debt from the Bidder before presenting us with the demand.

The Bank undertakes not to revoke this guarantee during its currency without your previous consent and further agrees that the guarantee herein contained shall continue to be enforceable till you discharge this guarantee. This guarantee will not be discharged due to a change in the constitution of the Bank or the Bidder's.

We further agree that no change or addition to or other modification of the terms of the Tender made by you shall in any way release us from any liability under this guarantee, and we hereby waive notice of any such change, addition, or modification.

This guarantee shall be valid until the .....day of .....20.....

Our.....branch at.....\*(Name & Address of the .....\*(branch) is liable to pay the guaranteed amount depending on the filing of a claim and any part thereof under this Bank Guarantee only and only if you serve upon us at our..... \* branch a written claim or demand and received by us at our .....\* branch on or before Dt..... otherwise, the bank shall be discharged of all liabilities under this guarantee after that.

(Signature of the authorized officer of the Bank)

.....  
.....

Name and designation of the officer

.....

Seal, name & address of the Bank and address of the Branch

\*Preferably at the authority's headquarters competent to sanction the expenditure for procurement of goods/services or at the concerned district headquarters or the state headquarters

## Form 7A: Documents Relating To Bid Security

(Ref ITB-clause 9.2)

*Note: Submit Form 7A as part of Technical bid, a Bid Securing Declaration In lieu of bid security in the following format. Bidders exempted from submission of bid security are also required to submit this*

### Bid Securing Declaration

(on Company Letter-head)

Bidder's Name \_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No. \_\_\_\_\_ Date.....

To

The President of India, through

Head of Procurement

Procuring Organisation

[Complete address of the Procuring Entity]

Ref: Tender Document No. Tend No./ xxxx;

Sir/ Madam

We, the undersigned, solemnly declare that:

We understand that according to the conditions of this Tender Document, the bid must be supported by a Bid Securing Declaration in lieu of Bid Security.

We unconditionally accept the conditions of this Bid Securing Declaration. We understand we shall stand automatically suspended from being eligible for bidding in any tender in Procuring Organisation for 2 years from the date of opening of this bid if we breach our obligation(s) under the tender conditions if we:

- 1) withdraw/ amend/ impair/ derogate, in any respect, from our bid, within the bid validity; or
- 2) being notified within the bid validity of the acceptance of our bid by the Procuring Entity:
  - a) refused to or failed to produce the original documents for scrutiny or the required Performance Security within the stipulated time under the conditions of the Tender Document.
  - b) Fail or refuse to sign the contract.

We know that this bid-Securing Declaration shall expire if the contract is not awarded to us, upon:

- 1) receipt by us of your notification
  - (a) of cancellation of the entire tender process or rejection of all bids or

(b) of the name of the successful bidder or

2) forty-five days after the expiration of bid validity any extension to it.

(Signature with date)

.....

(Name and designation)

Duly authorized to sign bid for and on behalf of.....

[name & address of Bidder and seal of company]

Dated on ..... Day of.....[insert date of signing]

Place.....[ insert place of signing]

DA:.....

## Form 8: Integrity Pact

(Ref Claus 8.2.1 of ITB)

(To be signed on Plain Paper)

(To be submitted as part of Technical bid)

Tender Document No. Tend No./ xxxxx;

This Agreement (hereinafter called the Integrity Pact) is made on \_\_\_\_ day of the month of \_\_202\_\_\_\_ at \_\_\_\_, India.

### BETWEEN

Procuring Organisation, ----- through Head of the Procuring Organisation, for and on behalf of President of India (hereinafter called the “The Principal”, which expression shall mean and include unless the context otherwise requires, his successors in office and assigns) of the First Part

### AND

M/ s. \_\_\_\_ (hereinafter called the “The Bidder/ Contractor” which expression shall mean and include, unless the context otherwise requires, his successors and permitted assigns) of the Second Part.

### PREAMBLE

‘The Principal’ intends to award, under laid down organizational procedures, contract/ s for \_\_\_\_\_, ‘The Principal’ values full compliance with all relevant laws of the land, rules, regulations, economic use of resources and of fairness/ transparency in its relations with its Bidder(s) and/ or Contractor(s).

In order to achieve these goals, the Principal shall appoint Independent External Monitors (IEMs) who shall monitor the tender process and the execution of the contract for compliance with the principles mentioned above.

### Section 1 – Commitments of the ‘The Principal’

- 1) ‘The Principal’ commits itself to take all measures necessary to prevent corruption and to observe the following principles: -
  - a) No employee of the Principal, personally or through family members, shall in connection with the tender for, or the execution of a contract, demand, take a promise for or accept, for self or third person, any material or immaterial benefit which the person is not legally entitled to.
  - b) The Principal shall, during the tender process, treat all Bidder(s) with equity and reason. The Principal shall in particular, before and during the tender process, provide to all Bidder(s) the same information and shall not provide to any Bidder(s) confidential/ additional information through which the Bidder(s) could obtain an advantage in relation to the tender process or the contract execution.
  - c) The Principal shall exclude from the process all known prejudiced persons.

- 2) If the Principal obtains information on the conduct of any of its employees, which is a criminal offence under the IPC/ PC Act, or if there be a substantive suspicion in this regard, the Principal shall inform the Chief Vigilance Officer and in addition, can initiate disciplinary actions.

## **Section 2 – Commitments of the ‘Bidder/Contractor’**

(1) The ‘Bidder/ Contractor’ commit themselves to take all measures necessary to prevent corruption. The ‘Bidder/ Contractor’ commit themselves to observe the following principles during participation in the tender process and during the contract execution.

- a. The ‘Bidder/ Contractor’ shall not, directly or through any other person or firm, offer, promise, or give to any of the Principal’s employees involved in the tender process or the execution of the contract, or to any third person any material or other benefit which he is not legally entitled to, in order to obtain in exchange any advantage of any kind whatsoever during the tender process or during the execution of the contract.
- b. The ‘Bidder/ Contractor’ shall not enter with other Bidders into any undisclosed agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelisation in the tender process.
- c. The ‘Bidder/ Contractor’ shall not commit any offence under the relevant IPC/ PC Act; further, the ‘Bidder/ Contractor’ shall not use improperly, for purposes of competition or personal gain, or pass on to others, any information or document provided by the Principal as part of the business relationship, regarding plans, technical proposals, and business details, including information contained or transmitted electronically.
- d. The ‘Bidder/ Contractor’ of foreign origin shall disclose the name and address of the Agents/ representatives in India if any. Similarly, the Bidder/ Contractors of Indian Nationality shall furnish the name and address of the foreign principals, if any. Further details as mentioned in the “Guidelines on Indian Agents of Foreign Suppliers” shall be disclosed by the Bidder/ Contractor. Further, as mentioned in the Guidelines, all the payments made to the Indian agent/ representative have to be in Indian Rupees only. Copy of the “Guidelines on Indian Agents of Foreign Suppliers” is placed in Appendix to this agreement.
- e. The ‘Bidder/ Contractor’ shall, when presenting their bid, disclose any and all payments made, is committed to, or intends to make to agents, brokers, or any other intermediaries in connection with the award of the contract.
- f. Bidder/ Contractor who have signed the Integrity Pact shall not approach the Courts while representing the matter to IEMs and shall wait for their decision in the matter.

(2) The ‘Bidder/ Contractor’ shall not instigate third persons to commit offences outlined above or be an accessory to such offences.

## **Section 3 – Disqualification from tender process and exclusion from future contracts**

If the ‘Bidder/ Contractor’, before award or during execution, has committed a transgression through a violation of Section 2, above or in any other form such as to put their reliability or credibility in question, the Principal is entitled to disqualify the ‘Bidder/ Contractor’ from the tender process or take action as per the procedure mentioned in the “Guidelines on Banning of business dealings”.

#### **Section 4- Compensation for Damages**

- 1) If the Principal has disqualified the 'Bidder/ Contractor' from the tender process prior to the award according to Section 3, the Principal is entitled to demand and recover from 'Bidder/ Contractor' the damages equivalent to Earnest Money Deposit/ Bid Security.
- 2) If the Principal has terminated the contract according to Section 3, or if the Principal is entitled to terminate the contract according to Section 3, the Principal shall be entitled to demand and recover from the contractor liquidated damages of the contract value or the amount equivalent to Performance Bank Guarantee.

#### **Section 5- Previous transgression**

- (1) Bidder declares that no previous transgressions occurred in the last three years with any other Company in any country conforming to the anti-corruption approach or with any Public Sector Enterprise in India that could justify his exclusion from the tender process.
- (2) If Bidder makes an incorrect statement on this subject, he can be disqualified from the tender process, or action can be taken as per the procedure mentioned in "Guidelines on Banning of business dealings".

#### **Section 6- Equal treatment of all Bidders/ Contractors/ Subcontractors**

- (1) In the case of Sub-contracting, the Principal Contractor shall take responsibility for the adoption of the Integrity Pact by the Sub-contractor.
- (2) The Principal shall enter into agreements with identical conditions as this one with all Bidders and Contractors.
- (3) The Principal shall disqualify from the tender process all bidders who do not sign this Pact or violate its provisions.

#### **Section 7- Criminal charges against violating Bidder(s)/ Contractor(s)/ Subcontractor(s)**

If the Principal obtains knowledge of the conduct of a Bidder, Contractor or Subcontractor, or of an employee or a representative or an associate of a Bidder, Contractor or Subcontractor which constitutes corruption, or if the Principal has substantive suspicion in this regard, the Principal shall inform the same to the Chief Vigilance Officer.

#### **Section 8 – Independent External Monitor**

- (1) The Principal appoints a competent and credible Independent External Monitor for this Pact after approval by Central Vigilance Commission. The task of the Monitor is to review independently and objectively whether and to what extent the parties comply with the obligations under this agreement.
- (2) The Monitor is not subject to instructions by the representatives of the parties and performs his functions neutrally and independently. The Monitor would have access to all Contract documents whenever required. It shall be obligatory for him/ her to treat the information and documents of the Bidders/ Contractors as confidential. He/ she reports to the Head of the Procuring Organisation.
- (3) The Bidder(s)/ Contractor(s) accepts that the Monitor has the right to access without restriction to all Project documentation of the Principal, including that provided by the contractor. The contractor shall also grant the Monitor, upon his request and demonstration of a valid interest, unrestricted and unconditional access to their project documentation. The same is applicable to Sub-

contractors.

(4) The Monitor is under contractual obligation to treat the information and documents of the Bidder/ Contractor(s)/ Sub-contractor(s) with confidentiality. The Monitor has also signed declarations on 'Non-Disclosure of Confidential Information' and of 'Absence of Conflict of Interest'. In case of any conflict of interest arising at a later date, the IEM shall inform the Head of the Procuring Organisation and recuse himself/ herself from that case.

(5) The Principal shall provide to the Monitor sufficient information about all meetings among the parties related to the Project provided such meetings could have an impact on the contractual relations between the Principal and the contractor. The parties offer the Monitor the option to participate in such meetings.

(6) As soon as the Monitor notices, or believes to have noticed, a violation of this agreement, he shall so inform the Management of the Principal and request the Management to discontinue or take corrective action, or to take other relevant action. The monitor can, in this regard, submit non-binding recommendations. Beyond this, the Monitor has no right to demand from the parties that they act in a specific manner, refrain from action, or tolerate action.

(7) The Monitor shall submit a written report to the Head of the Procuring Organisation within 8 to 10 weeks from the date of reference or intimation to him by the Principal and, should the occasion arise, submit proposals for correcting problematic situations.

(8) If the Monitor has reported to Head of the Procuring Organisation, a substantiated suspicion of an offence under relevant IPC/ PC Act, and Head of the Procuring Organisation has not, within the reasonable time, taken visible action to proceed against such offence or reported it to the Chief Vigilance Officer, the Monitor may also transmit this information directly to the Central Vigilance Commissioner.

(9) The word 'Monitor' would include both singular and plural.

### **Section 9- Pact Duration**

This Pact begins when both parties have legally signed it. It expires for the contractor 12 months after the last payment under the contract and for all other Bidders 6 months after the contract has been awarded. Any violation of the same would entail disqualification of the bidders and exclusion from future business dealings.

If any claim is made/ lodged during this time, the same shall be binding and continue to be valid despite the lapse of this pact as specified above unless it is discharged/ determined by the Head of the Procuring Organisation.

### **Section 10 – Other provisions**

(1) This agreement is subject to Indian Law. The place of performance and jurisdiction is the Registered Office of the Principal, i.e., New Delhi.

(2) Changes and supplements, as well as termination notices, need to be made in writing. Side agreements have not been made.

(3) If the contractor is a partnership or a consortium, this agreement must be signed by all partners or consortium members.

(4) Should one or several provisions of this agreement turn out to be invalid, the remainder of this agreement remains valid. In this case, the parties shall strive to come to an agreement with their

original intentions.

(5) Issues like Warranty/ Guarantee etc., shall be outside the purview of IEMs.

(6) In the event of any contradiction between the Integrity Pact and its Appendix, the Clause in the Integrity Pact shall prevail.

For and on behalf of the Principal

(Name of the Officer and designation)

(Office Seal)

For and on behalf of 'Bidder/ Contractor.'

(Name of the Officer and Designation)

(Office Seal)

For and on behalf of the Principal

Place

Date

Witness 1:

(Name & Address)

Witness 2:

(Name & Address)

## **Appendix to Integrity Pact**

### **Guidelines for Indian Agents of Foreign Suppliers**

1.0 There shall be compulsory registration of agents for all Global (Open) Tender and Limited Tender. An agent who is not registered with 'The Principal' shall apply for registration in the registration form with the appropriate unit.

1.1 Registered agents shall file an authenticated Photostat copy duly attested by a Notary Public/ Original certificate of the Principal confirming the agency agreement. It should cover - the precise relationship, services to be rendered, mutual interests in business - generally and/ or specifically for the tender. Any commission/ remuneration/ salary/ retainership, which the agent or associate receives in India or abroad from the Principal, whether should be brought on record in the Agreement and be made explicit.

1.2 Wherever the Indian representatives have communicated on behalf of their principals and the foreign parties have stated that they are not paying any commission to the Indian agents, and the Indian representative is working on the basis of salary, or a retainer, a written declaration to this effect should be submitted by the party (i.e., Principal) before finalizing the order.

2.0 Disclosure of particulars of agents/ representatives in India, if any.

2.1 Bidders of Foreign nationality shall furnish the following details in their offers:

2.1.1 The 'Bidder/ Contractor' of foreign origin shall disclose the name and address of the agents/ representatives in India, if any and the extent of authorization and authority given to commit the Principals. In case the agent/ representative be a foreign Company, it shall be confirmed whether it is an existing Company and details of the same shall be furnished.

2.1.2 The amount of commission/ remuneration included in the quoted price(s) for such agents/ representatives in India.

2.1.3 Confirmation of Bidder that the commission/ remuneration, if any, payable to his agents/ representatives in India, may be paid by 'The Principal' in Indian Rupees only.

2.2 Bidders of Indian Nationality shall furnish the following details in their offers:

2.2.1 The 'Bidder/ Contractor' of Indian Nationality shall furnish the name and address of the foreign principals, if any, indicating their nationality as well as their status, i.e., whether manufacturer or agents of manufacturer holding the Letter of Authority of the Principal authorizing the agent specifically to make an offer in India in response to tender either directly or through the agents/ representatives.

2.2.2 The amount of commission/ remuneration included in the price (s) quoted by Bidder for himself.

2.2.3 Confirmation of the foreign principals of Bidder that the commission/ remuneration, if any, reserved for Bidder in the quoted price(s), may be paid by 'The Principal' in India in equivalent Indian Rupees on satisfactory completion of the Project or supplies of Services.

2.3 In either case, in the event of contract materializing, the terms of payment shall provide for payment of the commission/ remuneration, if any, payable to the agents/ representatives in India in Indian Rupees on expiry of 90 days after the discharge of the obligations under the contract.

2.4 Failure to furnish correct and detailed information as called for in clauses above shall render the concerned bid liable to rejection or, in the event of a contract materializing, the same liable to termination by 'The Principal'. Besides this, there would be a penalty of banning business dealings with 'The Principal' or damage or payment of a named sum.

## Format 1: Contract Form

(Ref ITB-clause 13.2)

The President of India, through

Head of Procurement

Procuring Organisation

[Complete address of the Procuring Entity]

Contract No..... dated.....

To

Contractor [Write Name]

Unique GeM Supplier ID:.....

[Complete address of the contractor]

**Subject:**.....

**Ref: 1.** *This office' Letter of Award No..... dated .....*

*2. This office Tender Document No. Tend No./ xxxx; Tender Title: Non-consultancy Services, dated..... and subsequent Amendment No....., dated..... (If any).*

*(Hereinafter referred to as 'the Tender Document')*

*3. Your Tender No..... dated ..... and subsequent communication(s)/ Revised Offer No..... dated ..... (If any), exchanged between you and this office in connection with this tender. (Hereinafter referred to as 'Your Offer')*

Dear Sir/ Madam,

Your bid referred above, read with subsequent letters mentioned above, for the Services stipulated in the Schedules annexed herewith, have been accepted. Terms and conditions in this Contract and the documents listed in the clause below shall apply.

2. Terms and conditions in the documents mentioned under Reference no: 1, 2 and 3 above (including General and Special Conditions of Contract) shall also be part of this contract.

*Note: The words, expressions, definitions, and abbreviations used in this Contract shall have the same meanings as are respectively assigned to them in the General Condition of Contract of 'the Tender Document'.*

(Signature, name and address of [Procuring Entity]'s authorized, official)

For and on behalf of.....

Received and accepted this Contract .....

(Signature, name, and address of the contractor's executive duly authorized to sign on behalf of the contractor)

For and on behalf of .....

(Name and address of the contractor)

.....

(Seal of the contractor)

Place: \_\_\_\_\_ Date: \_\_\_\_\_

## Format 1.1: Description of Services

Procuring Entity  
Procuring Organisation  
[Complete address of the Procuring Entity]

Tender Document No. Tend No./ xxxx;  
Tender Title: Non-consultancy Services Contract No \_\_\_\_; Date \_\_\_\_\_  
Contractor's Name \_\_\_\_\_  
[Address and Contact Details]

*[Give detailed descriptions of the Services to be provided, dates for delivery, place of performance, specific tasks to be approved by Procuring Entity, etc. This Description of Services is based on Section VI: Schedule of Requirements in the Tender Document and incorporates changes agreed upon during evaluation. It must be noted that this Description of Services takes precedence over the Service Provider's bid, so any changes recommended or requested by the Service Provider do not alter the Services the Service Provider is required to perform unless agreed to during evaluation and incorporated into this Description of Services]*

In the event of any inconsistency between this Description of Services and the 'bid', the priority of interpretation shall be given to this Description of Services.

This format shall cover all details from Sections VI and VII; Forms 2; 3; 3.1; 3.2 of the bid.

## **Format 1.2: Price Schedule**

Procuring Entity

Procuring Organisation

[Complete address of the Procuring Entity]

Tender Document No. Tend No./ xxxx;

Tender Title: Non-consultancy Services

Contract No \_\_\_\_\_; Date\_

Contractor's Name\_

[Address and Contact Details]

*[Price Schedule as per BOQ submitted along with all Schedules]*

### Format 1.3: Bank Guarantee Format for Performance Security

(Ref ITB-clause 13.2.4 and GCC-clause 5.8)

To

The President of India, through  
Head of Procurement  
Procuring Organisation  
[Complete address of the Procuring Entity]

Whereas..... (name and address of the contractor)  
(hereinafter called "the contractor") has undertaken, in pursuance of contract no ..... date.....  
to delivery ..... (description of Services) (hereinafter called "the contract").

And Whereas you have stipulated it in the said contract that the contractor shall furnish you with a bank guarantee by a Commercial bank for the sum specified therein as security for compliance with its obligations as per the contract;

And Whereas we have agreed to give the contractor such a bank guarantee.

Now Therefore we hereby affirm that we are guarantors and responsible to you, on behalf of the contractor, up to a total of .....(amount of the guarantee in words and figures), and we undertake to pay you, upon your first written demand declaring the contractor to be in default under the contract and without cavil or argument, any sum or sums within the limits of (amount of guarantee) as aforesaid, without your needing to prove or to show grounds or reasons for your demand or the sum specified therein.

We hereby waive the necessity of your demanding the said debt from the contractor before presenting us with demand.

We further agree that no change or addition to or other modification of the terms of the contract to be performed thereunder or of any of the contract documents which may be made between you and the contractor shall in any way release us from any liability under this guarantee, and we hereby waive notice of any such change, addition, or modification.

This guarantee shall be valid until the .....day of .....20.....

Our.....branch at.....\*(Name & Address of the.....\*(branch) is liable to pay the guaranteed amount depending on the filing of a claim and any part thereof under this Bank Guarantee only and only if you serve upon us at our.....\* branch a written claim or demand and received by us at our .....\* branch on or before Dt.....otherwise, the bank shall be discharged of all liabilities under this guarantee after that.

(Signature of the authorized officer of the Bank)

.....  
.....

Name and designation of the officer

.....

Seal, name & address of the Bank and address of Branch

\*Preferably at the headquarters of the authority competent to sanction the expenditure for procurement of goods/ service or at the concerned district headquarters or the state headquarters.

## Format 1.4: No Claim Certificate

(Refer GCC-Clause 11.6.6)

(On company Letter-head)

Contractor's Name\_\_

[Address and Contact Details]

Contractor's Reference No.\_\_\_\_\_ Date.....

To

The President of India, through

Head of Procurement

Procuring Organisation

[Complete address of the Procuring Entity]

### No Claim Certificate

Sub: Contract Agreement no. ----- dated -----for the supply of .....

We have received the sum of Rs. (Rupees\_\_\_\_only) as final settlement due to us for the supply of \_\_\_\_\_ under the abovementioned contract agreement.

We have received all the amounts payable to us with this payment and have no outstanding dispute of any description whatsoever regarding the amounts worked out as payable to us and received by us. We hereby unconditionally and without any reservation whatsoever, certify that we shall have no further claim whatsoever, of any description, on any account, against the Procuring Entity, under contract above. We shall continue to be bound by the terms and conditions of the contract agreement regarding its performance.

Yours faithfully,

Signatures of contractor or

officer authorised to sign the contract documents.

on behalf of the contractor

(company Seal)

Date: \_

Place: \_

## Format 1.5: Certification by Prospective Arbitrators

(Ref GCC-clause 12.5)

To

Head of the Procuring Organisation

Procuring Organisation

[Complete address of the Procuring Entity]

### Certification by Prospective Arbitrators

- 1) Name: \_\_\_\_\_
- 2) Contact Details: \_\_\_\_\_
- 3) I hereby certify that I am retired officer of *[Name of Organisation]* retired as \_\_\_\_\_ in \_\_\_\_\_ grade.
- 4) I have no past or present relationship concerning the subject matter in dispute, whether financial, business, professional or another kind.

Or

I have past or present relationships concerning the subject matter in dispute, whether financial, business, professional or another kind. The list of such interests is as under:--

- 5) I have no past or present relationship/ interest financial, business, professional or other, in any of the parties, which may raise justifiable doubts about my independence or impartiality in terms of the Arbitration and Conciliation Act 1996 amended from time to time.

Or

I have past or present relationship/ interest financial, business, professional or other, in any of the parties, which may raise justifiable doubts about my independence or impartiality in terms of the Arbitration and Conciliation Act 1996 as amended from to time. The details of such relationship or interest are as under:-----

- 6) There are no concurrent circumstances that are likely to affect my ability to devote sufficient time to the arbitration and finish the entire arbitration within twelve months.

Or

Some circumstances are likely to affect my ability to devote sufficient time to the arbitration and finish the entire arbitration within twelve months. The list of such circumstances is as under:-----

(Signature)

(Name & Designation)

**Format 2: Authorization for Attending Pre-Bid Conference.**

(Refer ITB-clause 8)

(on Company Official Letter Head)

Bidder's Name \_\_\_\_\_

[Address and Contact Details]

Bidder's Reference No. \_\_\_\_\_ Date.....

To

The President of India, through Head of Procurement Procuring Organisation

[Complete address of the Procuring Entity]

Ref: Tender Document No. Tend No./ xxxx;

Subject: Authorization for attending Pre-bid Conference on \_\_ (date).

Following persons are hereby authorized to attend the Pre-bid Conference for the tender mentioned above on behalf of ..... (Bidder) in order of preference given below.

<b>Sr.</b>	<b>Name</b>	<b>Government Photo ID Type/ Number</b>
I.		
II.		
Alternate Representative		

Note:

1. Maximum of two representatives (carrying valid Government photo IDs) shall be permitted to attend the Pre-bid opening. An alternate representative shall be permitted when regular representatives are not able to attend.
2. Permission to enter the hall where the pre-bid conference is conducted may be refused if authorization as prescribed above is not submitted.

Signatures of bidder

or

Officer authorized to sign the bid.

Documents on behalf of the bidder

[name & address of Bidder and seal of company]